

2010 1

1、基金管理人的董事会人员情况

			213
			NBA
			Loftus Capital Partners Limited, Australia
			KVB Kurun

			Pty Ltd, Australia

2

			10
			792

3

			ENBA

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		2006 12 7	2006 5 2006 12 7 2008
		6 19	
		2006 3 31 2007 4 4	
		2005 1 17 2006	

		9 22	
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	100 2010 1 17																					
	<table border="1"> <tr><td></td><td></td><td></td></tr> <tr><td></td><td>6</td><td>6%</td></tr> <tr><td></td><td>48</td><td>48%</td></tr> <tr><td></td><td>38</td><td>38%</td></tr> <tr><td></td><td>6</td><td>6%</td></tr> <tr><td></td><td>2</td><td>2%</td></tr> <tr><td></td><td>100</td><td>100%</td></tr> </table>					6	6%		48	48%		38	38%		6	6%		2	2%		100	100%
	6	6%																				
	48	48%																				
	38	38%																				
	6	6%																				
	2	2%																				
	100	100%																				

二、基金托管人

69

100

2009 1 15

2600

010-68424199

2009 1 15

500

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2004

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” 2007

SAS70

SAS70

1998 5

2004 9

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130

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20

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2010 1 17

74

300

100

(LOF)

180

180 300

500 (LOF)

1	
	68
	9
	68
	9
021-61009916	021-61009917
400-700-5566	www.cxfund.com.cn
2	
1	
	69
	69
010-85109219	010 85109219
95599	www.abchina.com
2	
	25

: 95533		www.ccb.com
(3)		
188		
188		
021-58781234		021-58408842
95559		www.bankcomm.com
4		
7088		
7088		
0755 83198888		0755 83195109
95555		www.cnibchi na.com
5		
500		
12		
021-61618888		021-63604199
95528		www.spdb.com.cn
(6)		
154		
154		
0591-87839338		0591-87841932
95561		www.cib.com.cn
7		
4		
2		
010-58351666		010 83914283
95568		www.cnbc.com.cn
8		
8		C
8		C
010-65557018		

95558	bank.eciti.com
9	
171	
171	
021-54033888	021-54035333
021-962505	www.syvg.com.cn
10	
8	
8	
021-63219781	021-51062920
400-8888-999 027-85808318	www.95579.com
11	
618	
168 29	
021-62580818-177 021-38676666	021-62583439
400-8888-666 021-962588	www.gtj.com
12	
35 C	
35 C	
010-66568888	010-66568532
4008-888-888	www.chinastock.com.cn
13	
63 12	
188	
021-68634518	021-68865938
400-888-1551	www.xcsc.com
14	
98	
689	

021- 23219000	021- 23219100
400- 8888- 001 95553	www.htsec.com
15	
66 4	
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188	
010- 85130577	010- 65182261
400- 8888- 108	www.csc108.com
16	
183	43
183	36 38 41 42
020- 87555888	020- 87557985
95575	www.gf.com.cn
17	
99	
1199	• 1 21
021- 38565785	
400- 8888- 123	www.xyzq.com.cn
18	
128	
128	
0531- 81283728 0531- 81283731	0531- 81283735
95538	www.qfzq.com.cn
19	
318 2	22- 29
318	2 21- 29
021- 63325888	021- 63327888
95503	www.dfzq.com.cn
20	
233	
43	B

010-62267799	010-62296854
0991-96562 010-62294600	www.ehongyuan.com
21	
1508	
1508 3	
021-22769081 021-22169089	021 22169134
4008-888-788 10108998	www.ebscn.com
22	
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118	
021-65526481	021-65526481
400-8811-177	www.xzsec.com
23	
510 9	
500 26	
021-68761616	021-68767981
400-8888-128	www.teban.com.cn
24	
90	
90	
0086-25-84457777	0086-25-84579763
95597	www.htsc.com.cn
25	
81	
5 A 6-9	
010-59355974	010-66553791
40088-95618	www.e5618.com
26	
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56	

0451-82336863	0451-82269290
400-666-2288	www.jhzq.com.cn
27	
4018	35 28 A02
4018	35 28 A02
0755-82558305	0755-28558355
4008-001-001	www.essence.com.cn
28	
291	
291	
0791-6768763	0791-6789414
400-8866-567	www.scstock.com
29	
336	
336	
021-53519888	021-53519888
021-962518	www.962518.com
30	
181	
181	18-21
0512-65581136	0512-65588021
0512-33396288	www.dwzq.com.cn
31	
157	7 8
157	7 8 10
0591-87841160	0591-87841150
0591-96326	www.gfzfzq.com.cn
32	
3	9 10
3	9 10

010-84183389		010-84183311-3389	
400-818-8118		www.guodu.com	
33			
	95		
	95		
028-86690126		028-86690126	
4006 600109		www.gjq.com.cn	
34			
	166	23	
	166	23	
021-50122222		021-50122078	
021- 38929908		www.cnhbstock.com	
35			
	308		
	308		
0931-4890100		0931-4890118	
0931-8730264		www.hlzqgs.com	
36			
	5047	10 24 25	
	5047	10 24 25	
0755-82492000		0755-82492962	
95513 400-8888-555		www.lhzq.com	
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http://www.sse.com.cn/sseportal/ps/zhs/hyzq/zxgz_szjj.t.jsp			

	23	256 1405	1 2 8
	23	256 1405	1266 50
	010-58598839	021-51150298	021-22122888
	010-58598907	021-51150398	021-62881889

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2

3

4

1

60%

2

“ ” “ ”
“ ”
“ ”

100 × 80%+

× 20%

2010 1

2009 12 31

			%
1		2,580,215,935.52	74.12
		2,580,215,935.52	74.12
2		503,261,450.21	14.46
		503,261,450.21	14.46
3			
4			
5		378,965,026.05	10.89
6		18,688,127.47	0.54
7		3,481,130,539.25	100.00

A			
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B		344,138,851.86	10.57
C		1,063,033,337.20	32.64
00		72,429,871.68	2.22
C1		29,646,894.54	0.91
C2			
C3			
C4		66,649,594.81	2.05
C5			
C6		386,663,190.01	11.87
C7		404,349,213.26	12.42
C8		103,294,572.90	3.17
C99			
D		28,629,599.18	0.88
E		76,020.00	
F		24,240,000.00	0.74
G		152,637,004.34	4.69
H		194,525,866.63	5.97
I		490,479,078.87	15.06
J		265,072,334.02	8.14
K			
L		17,383,843.42	0.53
M			
		2,580,215,935.52	79.23

				()	
1	600000		6,450,082	139,902,278.58	4.30
2	600048		6,187,557	138,601,276.80	4.26
3	600019		10,920,679	105,493,759.14	3.24
4	000623		2,099,910	103,294,572.90	3.17
5	601318		1,800,000	99,162,000.00	3.04
6	600036		5,349,570	96,559,738.50	2.96
7	600690		3,659,232	90,712,361.28	2.79
8	000063		2,019,954	90,635,335.98	2.78
9	600550		2,797,938	88,358,882.04	2.71
10	000825		9,200,000	87,768,000.00	2.69

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1			
2		457,800,000.00	14.06
3			
4		7,979,376.00	0.25
5			
6		37,482,074.21	1.15
7			
8		503,261,450.21	15.45

				()		
1	0801029	08	29	2,000,000	205,500,000.00	6.31
2	0801026	08	26	1,000,000	102,720,000.00	3.15
3	0901059	09	59	800,000	79,736,000.00	2.45
4	0901049	09	49	600,000	59,802,000.00	1.84
5	125709			262,871	32,046,603.61	0.98

1

2

3

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6		
7		
8		
9		18,688,127.47

4

			()	
1	125709		32,046,603.61	0.98
2	110003		2,751,600.00	0.08

5

2009

2009 10 1	16.76%	1.42%	14.31%	1.40%	2.45%	0.02%
2009 12 31						
2009 7 1	-1.96%	1.94%	-4.95%	1.89%	2.99%	0.05%
2009 9 30						
2009 1 1	49.03%	1.56%	53.47%	1.52%	-4.44%	0.04%
2009 6 30						
2008	-54.49%	2.38%	-54.36%	2.40%	-0.13%	-0.02%
2007	114.37%	1.91%	130.53%	1.96%	-16.18%	-0.05%
2006	120.57%	1.16%	74.33%	1.15%	46.24%	0.01%
2005 1 17	-1.56%	0.86%	-1.21%	1.05%	-0.35%	-0.19%
2005						

12 31						

2005 1 17

2009 12 31



2

3

4

5

6

7

2

1

G

	G
	1.5%

$H \text{ Ex } G \times 1/$

H

E

G%

2

2

T

	(T)
	0.25%

H Ex T x 1/

H

T

E

2

3

"

"

3

7

3

1

M	
M100	1.5%
100 M500	1.0%
M 500	1000

N	
N1	1.5%
1 N3	1.2%
3 N5	0.8%
N 5	0

/

$$= \quad \times T$$

$$= \quad \times$$

x

$$= \quad \times$$

$$= \quad -$$

2

N	
N-2	0.5
2 N-3	0.25
N-3	0

x

25

75

3

1

[]

	M < 100	1.50%
	100 ≤ M < 500	1.00%
	M ≥ 500	1000

	0.50%	
	$N < 1$	0.50%
	$1 \leq N < 2$	0.30%
	$2 \leq N$	0
	$N \geq 1$	0.50%
	$1 \leq N < 2$	0.20%
	$2 \leq N$	0
	$M < 100$	1.60%
	$100 \leq M < 500$	1.10%
	$M \geq 500$	0.10%
	$N \geq 1$	0.50%
	$1 \leq N < 2$	0.20%
	$2 \leq N$	0

N

M

2	$\leq N < 3$	0.25%	
3	$\leq N$	0.00%	

N

2

x

x

÷

3 2007 9 1

3

4

2

4

2009 9 3

