

2011

2011 6 30

2011 8 27

§ 1

1.1

2011 8 24

2011 1 1 6 30

1.2

| | | |
|-----|-------|----|
| § 1 | | 2 |
| 1.1 | | 2 |
| 1.2 | | 3 |
| § 2 | | 5 |
| 2.1 | | 5 |
| 2.2 | | 5 |
| 2.3 | | 5 |
| 2.4 | | 6 |
| 2.5 | | 6 |
| § 3 | | 7 |
| 3.1 | | 7 |
| 3.2 | | 7 |
| § 4 | | 9 |
| 4.1 | | 9 |
| 4.2 | | 10 |
| 4.3 | | 11 |
| 4.4 | | 11 |
| 4.5 | | 12 |
| 4.6 | | 13 |
| 4.7 | | 13 |
| § 5 | | 15 |
| 5.1 | | 15 |
| 5.2 | | 15 |
| 5.3 | | 15 |
| § 6 | | 16 |
| 6.1 | | 16 |
| 6.2 | | 17 |
| 6.3 | | 18 |
| 6.4 | | 19 |
| § 7 | | 36 |
| 7.1 | | 36 |

| | | |
|------|-------|----|
| 7.2 | | 36 |
| 7.3 | | 37 |
| 7.4 | | 39 |
| 7.5 | | 40 |
| 7.6 | | 41 |
| 7.7 | | 41 |
| 7.8 | | 41 |
| 7.9 | | 41 |
| § 8 | | 43 |
| 8.1 | | 43 |
| 8.2 | | 43 |
| § 9 | | 44 |
| § 10 | | 45 |
| 10.1 | | 45 |
| 10.2 | | 45 |
| 10.3 | | 45 |
| 10.4 | | 45 |
| 10.5 | | 45 |
| 10.6 | | 45 |
| 10.7 | | 45 |
| 10.8 | | 47 |
| § 11 | | 49 |
| 11.1 | | 49 |
| 11.2 | | 49 |
| 11.3 | | 49 |

§ 2

2 1

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|--|-----------------------|--------|
| | | |
| | | |
| | 519994 | |
| | 519995 | 519994 |
| | | |
| | 2006 4 30 | |
| | | |
| | | |
| | 10, 419, 576, 872. 69 | |

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|--|--------------|--------------|
| | 021-61009800 | 021-63602540 |
| | 68 9 | 500 |
| | 68 9 | 12 |
| | 200120 | 200120 |
| | | |

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| | www.cxfund.com.cn | |
| | 68 | 9 12 |

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§ 3

3.1

| | |
|-------|----------------------|
| 3.1.1 | 2011 1 1 - 2011 6 30 |
| | 230,503,620.81 |
| | 100,173,235.63 |
| | 0.0098 |
| | 1.27% |
| | 1.05% |
| 3.1.2 | 2011 6 30 |
| | -421,762,475.38 |
| | -0.0405 |
| | 8,112,589,445.56 |
| | 0.7786 |
| 3.1.3 | 2011 6 30 |
| | 134.09% |

1

2

3

3.2

3.2.1

| | | | | | | |
|--|--|--|--|--|---|---|
| | | | | | - | - |
|--|--|--|--|--|---|---|

| | | | | | | |
|---------------------------|---------|-------|--------|-------|--------|-------|
| | 4.48% | 1.09% | 0.54% | 0.86% | 3.94% | 0.23% |
| | -0.14% | 0.96% | -4.59% | 0.82% | 4.45% | 0.14% |
| | 1.05% | 1.04% | -1.05% | 0.89% | 2.10% | 0.15% |
| | 27.01% | 1.13% | 12.40% | 1.02% | 14.61% | 0.11% |
| | 19.71% | 1.58% | 2.72% | 1.51% | 16.99% | 0.07% |
| 2006-04-30 -2011-06-30 | 134.09% | 1.83% | 71.49% | 1.69% | 62.60% | 0.14% |

3.2.2



§ 4

4.1

4.1.1

2003 63

1.5

49%

34.33%

16.67%

2011 6 30

12

100 LOF

100

ODI

4.1.2

| | | 2010 06 28 | | 10 | 2006 1 2010 2 2008 6 2010 2 2010 3 |
|--|--|------------|---|----|---------------------------------------------|
| | | 2010 5 11 | — | 5 | |

| | | | | | |
|--|------------|---------------|-----------|----|-------------------------------------------------|
| | | | | | 2006 2007 2008 2008 11 2010 5 11 |
| | 100 LOF | 2008 06 02 | 2011 4 23 | 12 | 9 LOF 2007 100 |

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3

4.2

4.3

4.3.1

4.3.2

5%

4.3.3

4.4

4.4.1

1.64% 300

2.7%

14.8%

25.6%

4.4.2

0.7786

1.05%

2.2949

-1.05%

1.04%

4.5

70%

6-7

6

CPI

6.4%

QE3

4.6

2008 9 12

[2008] 38

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80%

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§ 6

6.1

2011 6 30

| | | 2011 6 30 | 2010 12 31 |
|--|---------|------------------|------------------|
| | | | |
| | 6.4.6.1 | 247,737,012.24 | 134,790,859.71 |
| | | 10,652,336.04 | 3,310,545.26 |
| | | 2,859,049.78 | 2,264,899.28 |
| | 6.4.6.2 | 7,858,369,522.64 | 7,799,604,097.95 |
| | | 7,412,454,522.64 | 6,518,114,097.95 |
| | | | |
| | | 445,915,000.00 | 1,281,490,000.00 |
| | | | |
| | 6.4.6.3 | | |
| | 6.4.6.4 | | 80,000,240.00 |
| | | | |
| | 6.4.6.5 | 4,077,101.96 | 28,435,870.73 |
| | | 9,620,558.28 | |
| | | 312,761.27 | 12,619.90 |
| | | | |
| | 6.4.6.6 | | |
| | | 8,133,628,342.21 | 8,048,419,132.83 |
| | | | |
| | | 2011 6 30 | 2010 12 31 |
| | | | |
| | | | |
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| | | | |
|--|----------|------------------|------------------|
| | | | 28,332.48 |
| | | 4,754,952.64 | 2,815,927.72 |
| | | 9,514,799.10 | 10,243,494.63 |
| | | 1,585,799.85 | 1,707,249.10 |
| | | | |
| | 6.4.6.7 | 2,607,016.55 | 3,971,120.92 |
| | | 14,920.00 | 14,920.00 |
| | | | |
| | | | |
| | 6.4.6.8 | 2,561,408.51 | 1,754,529.66 |
| | | 21,038,896.65 | 20,535,574.51 |
| | | | |
| | 6.4.6.9 | 4,296,807,620.43 | 4,296,660,125.93 |
| | 6.4.6.10 | 3,815,781,825.13 | 3,731,223,432.39 |
| | | 8,112,589,445.56 | 8,028,558.32 |

| | | | |
|----|----------|-----------------|-------------------|
| | | | |
| | 6.4.6.13 | -21,091,450.00 | |
| | | | |
| | 6.4.6.14 | | |
| | 6.4.6.15 | 52,652,243.20 | 27,003,444.31 |
| 3. | " - " | -130,330,385.18 | -2,091,771,131.73 |
| 4. | " " | | |
| 5. | " - " | 615,081.79 | 476,673.13 |
| | | 78,878,249.40 | 79,154,275.07 |
| 1 | | 58,750,390.10 | 60,493,240.80 |
| 2 | | 9,791,731.61 | 10,082,206.81 |
| 3 | | | |
| 4 | 6.4.6.18 | 10,155,139.51 | 8,401,057.37 |
| 5 | | | |
| | | | |
| 6 | 6.4.6.19 | 180,988.18 | 177,770.09 |
| | " - " | 100,173,235.63 | -2,086,279,307.12 |

6.3

2011 1 1 2011 6 30

| | 2011 1 1 2011 6 30 | | |
|-------|--------------------|------------------|------------------|
| | | | |
| () | 4,296,660,125.93 | 3,731,223,432.39 | 8,027,883,558.32 |
| () | | 100,173,235.63 | 100,173,235.63 |
| " - " | 147,494.50 | -15,614,842.89 | -15,467,348.39 |
| 1. | 274,206,106.15 | 230,576,832.00 | 504,782,938.15 |

| | | | | |
|----|-------|-------------------------|-------------------|-------------------|
| 2 | " - " | -274,058,611.65 | -246,191,674.89 | -520,250,286.54 |
| | " - " | | | |
| | | 4,296,807,620.43 | 3,815,781,825.13 | 8,112,589,445.56 |
| | | 2010 1 1 2010 6 30 | | |
| | () | 4,798,002,996.65 | 4,482,400,999.01 | 9,280,403,995.66 |
| | () | | -2,086,279,307.12 | -2,086,279,307.12 |
| | " - " | -196,804,657.12 | -157,556,078.06 | -354,360,735.18 |
| 1. | | 16,957,274.61 | 12,456,821.66 | 29,414,096.27 |
| 2 | " - " | -213,761,931.73 | -170,012,899.72 | -383,774,831.45 |
| | " - " | | | |
| | | 4,601,198,339.53 | 2,238,565,613.83 | 6,839,763,953.36 |

6.1 6.4

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| | | |
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6.4

6.4.1

(" ")
([2005] 168)

2006 4 30
613, 254, 516. 24

60% 95% 0% 35% 5% 15%
A × 70% × 30%

6.4.2

2007

6.4.4

6.4.3

- 38 (" ") 2006 2 15
(" ")

6.4.2

6.4.4

6.4.4.1

6.4.4.2

6.4.5

1

[1998] 55 [2001] 61

[2002] 128 [2004] 78

[2005] 11 (

) [2005] 102

[2005] 103

[2005] 107 [2007] 84

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1)

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3)

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20%

2005 6 13

50%

5)

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| | | |
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| | 2011 6 30 | 2010 12 31 |
| | 14,920.00 | 14,920.00 |

6.4.6

6.4.6.1

| | |
|--|----------------|
| | 2011 6 30 |
| | 247,737,012.24 |

| | |
|--|------------------|
| | 247, 737, 012.24 |
|--|------------------|

6.4.6.2

| | | 2011 6 30 | | |
|--|--|---------------------|---------------------|------------------|
| | | | | |
| | | 6, 911, 260, 019.99 | 7, 412, 454, 522.64 | 501, 194, 502.65 |
| | | | | |
| | | 447, 172, 600.00 | 445, 915, 000.00 | -1, 257, 600.00 |
| | | 447, 172, 600.00 | 445, 915, 000.00 | -1, 257, 600.00 |
| | | | | |
| | | | | |
| | | 7, 358, 432, 619.99 | 7, 858, 369, 522.64 | 499, 936, 902.65 |

6.4.6.3 /

/

6.4.6.4

6.4.6.5

| | | 2011 6 30 |
|--|--|----------------|
| | | 75, 316.73 |
| | | 4, 314.15 |
| | | 3, 992, 471.03 |
| | | |
| | | 5, 000.05 |
| | | |
| | | 4, 077, 101.96 |

6.4.6.6

6.4.6.7

| | | 2011 6 30 |
|--|--|----------------|
| | | 2, 600, 966.55 |
| | | 6, 050.00 |

| | |
|--|--------------|
| | 2,607,016.55 |
|--|--------------|

6.4.6.8

| | 2011 6 30 |
|--|--------------|
| | 2,250,000.00 |
| | 18,305.20 |
| | 229,095.94 |
| | 59,507.37 |
| | 4,500.00 |
| | 2,561,408.51 |

6.4.6.9

| | 2011 1 1 | 2011 6 30 |
|--|-------------------|------------------|
| | | |
| | 10,419,209,781.37 | 4,296,660,125.93 |
| | 664,947,284.82 | 274,206,106.15 |
| | -664,580,193.50 | -274,058,611.65 |
| | 10,419,576,872.69 | 4,296,807,620.43 |

6.4.6.10

| | | | |
|--|-----------------|------------------|------------------|
| | -653,400,732.68 | 4,384,624,165.07 | 3,731,223,432.39 |
| | 230,503,620.81 | -130,330,385.18 | 100,173,235.63 |
| | 1,134,636.49 | -16,749,479.38 | -15,614,842.89 |
| | -26,883,852.94 | 257,460,684.94 | 230,576,832.00 |
| | 28,018,489.43 | -274,210,164.32 | -246,191,674.89 |
| | | | |
| | -421,762,475.38 | 4,237,544,300.51 | 3,815,781,825.13 |

6.4.6.11

| | 2011 1 1 | 2011 6 30 |
|--|----------|--------------|
| | | 3,119,298.56 |
| | | 53,815.74 |
| | | 16,014.75 |
| | | 3,189,129.05 |

6.4.6.12

6.4.6.12.1 ---

| | 2011 1 1 | 2011 6 30 |
|--|----------|------------------|
| | | 3,065,254,094.64 |
| | | 2,808,580,281.71 |
| | | 256,673,812.93 |

6.4.6.13

| | 2011 1 1 | 2011 6 30 |
|--|----------|------------------|
| | | 2,058,044,336.80 |
| | | 2,042,801,300.00 |
| | | 36,334,486.80 |
| | | -21,091,450.00 |

6.4.6.14

6.4.6.15

| | 2011 1 1 | 2011 6 30 |
|--|----------|---------------|
| | | 52,652,243.20 |
| | | 52,652,243.20 |

6.4.6.16

| | 2011 1 1 | 2011 6 30 |
|-----|----------|-----------------|
| 1. | | -130,330,385.18 |
| --- | | -153,092,435.18 |
| --- | | 22,762,050.00 |
| --- | | |
| 2 | | |
| --- | | |
| 3 | | |
| | | -130,330,385.18 |

6.4.6.17

| | 2011 1 1 | 2011 6 30 |
|--|----------|------------|
| | | 559,715.38 |
| | | 55,366.41 |
| | | 615,081.79 |

25%

6.4.6.18

| | 2011 1 1 | 2011 6 30 |
|--|----------|---------------|
| | | 10,143,314.51 |
| | | 11,825.00 |
| | | 10,155,139.51 |

6.4.6.19

| | 2011 1 1 | 2011 6 30 |
|--|----------|------------|
| | | 59,507.37 |
| | | 109,095.94 |
| | | 9,000.00 |
| | | 3,384.87 |
| | | 180,988.18 |

6.4.7

6.4.7.1

6.4.7.2

6.4.8

6.4.8.1

6.4.8.2

| | |
|---|---|
| | |
| | |
| " | " |
| " | " |

6.4.9

6.4.9.1

6.4.9.1.1

| | 2011 1 1 2011 6 30 | | 2010 1 1 2010 6 30 | |
|--|-----------------------|--------|-----------------------|--|
| | | | | |
| | 1,087,862,634.21 | 15.77% | | |

6.4.9.1.2

6.4.9.1.3

| | | | | |
|--|-----------------------|--------|--|--|
| | 2011 1 1 2011 6 30 | | | |
| | | | | |
| | 924,681.39 | 16.07% | | |
| | 2010 1 1 2010 6 30 | | | |
| | | | | |
| | | | | |

$$\begin{aligned}
 &= () \times 1\% - () - \\
 &() \\
 &= () \times 1\% - () - () - \\
 &()
 \end{aligned}$$

6.4.9.2

6.4.9.2.1

| | | |
|--|-----------------------|-----------------------|
| | 2011 1 1 2011 6 30 | 2010 1 1 2010 6 30 |
| | 58,750,390.10 | 60,493,240.80 |
| | 16,402,943.26 | 17,144,467.04 |

1.5%

$$= \times 1.5\%$$

6.4.9.2.2

| | 2011 1 1 | 2011 6 30 | 2010 1 1 | 2010 6 30 |
|--|----------|--------------|----------|---------------|
| | | 9,791,731.61 | | 10,082,206.81 |
| | | | | 0.25% |

$$= \quad \times 0.25\%$$

6.4.9.3 ()

()

6.4.9.4

6.4.9.4.1

| | 2011 1 1 | 2011 6 30 | 2010 1 1 | 2010 6 30 |
|---|----------|---------------|----------|---------------|
| | | 10,251,020.72 | | 10,251,020.72 |
| / | | | | |
| / | | | | |
| | | 10,251,020.72 | | 10,251,020.72 |
| | | 0.10% | | 0.09% |

6.4.9.4.2

6.4.9.5

| | 2011 1 1 | 2011 6 30 | 2010 1 1 | 2010 6 30 |
|--|----------|-----------|----------|-----------|
| | | | | |

| | | | | |
|--|----------------|--------------|----------------|--------------|
| | | | | |
| | 247,737,012.24 | 3,119,298.56 | 635,884,953.46 | 4,408,940.71 |

"

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2011 6 30

10,652,336.04

(2010 12 31 3,310,545.26)

6.4.9.6

6.4.9.7

6.4.10

6.4.11 2011 6 30

6.4.11.1 /

2011 6 30 /

6.4.11.2

6.4.11.3

6.4.12

6.4.12.1

6.4.12.2

10%

10%

6.4.12.3

6.4.11

6 4. 12 4

6 4. 12 4. 1

6 4. 12 4. 1. 1

| | | | | | | |
|--------------|-------------------|---------|------------------|---|----------------------|----------------------|
| 2011 6 30 | 6 | 6 -1 | 1-5 | 5 | | |
| | 247, 737, 012. 24 | | | | | 247, 737, 012. 24 |
| | 10, 652, 336. 04 | | | | | 10, 652, 336. 04 |
| | | | | | 2, 859, 049. 78 | 2, 859, 049. 78 |
| | 397, 150, 000. 00 | | 48, 765, 000. 00 | | 7, 412, 454, 522. 64 | 7, 858, 369, 522. 64 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| | | | | | | |
|---------------|----------------|---------|---------------|---|------------------|------------------|
| | | | | | 4,077,101.96 | 4,077,101.96 |
| | | | | | 9,620,558.28 | 9,620,558.28 |
| | | | | | 312,761.27 | 312,761.27 |
| | | | | | | |
| | 655,539,348.28 | | 48,765,000.00 | | 7,429,323,993.93 | 8,133,628,342.21 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | 4,754,952.64 | 4,754,952.64 |
| | | | | | 9,514,799.10 | 9,514,799.10 |
| | | | | | 1,585,799.85 | 1,585,799.85 |
| | | | | | | |
| | | | | | 2,607,016.55 | 2,607,016.55 |
| | | | | | 14,920.00 | 14,920.00 |
| | | | | | | |
| | | | | | 2,561,408.51 | 2,561,408.51 |
| | | | | | 21,038,896.65 | 21,038,896.65 |
| | 655,539,348.28 | | 48,765,000.00 | | 7,408,285,097.28 | 8,112,589,445.56 |
| 2010 12 31 | 6 | 6 -1 | 1-5 | 5 | | |
| | | | | | | |
| | 134,790,859.71 | | | | | 134,790,859.71 |
| | 3,310,545.26 | | | | | 3,310,545.26 |
| | | | | | 2,264,899.28 | 2,264,899.28 |

| | | | | | | |
|--|----------------|--|----------------|--|------------------|------------------|
| | 350,760,000.00 | | 930,730,000.00 | | 6,518,114,097.95 | 7,799,604,097.95 |
| | | | | | | |
| | | | | | | |
| | 80,000,240.00 | | | | | 80,000,240.00 |
| | | | | | | |
| | | | | | 28,435,870.73 | 28,435,870.73 |
| | | | | | | |
| | | | | | 12,619.90 | 12,619.90 |
| | | | | | | |
| | 568,861,644.97 | | 930,730,000.00 | | 6,548,827,487.86 | 8,048,419,132.83 |
| | | | | | | |
| | | | | | | |
| | | | | | 28,332.48 | 28,332.48 |
| | | | | | 2,815,927.72 | 2,815,927.72 |
| | | | | | 10,243,494.63 | 10,243,494.63 |
| | | | | | 1,707,249.10 | 1,707,249.10 |
| | | | | | | |
| | | | | | 3,971,120.92 | 3,971,120.92 |
| | | | | | 14,920.00 | 14,920.00 |
| | | | | | | |
| | | | | | 1,754,529.66 | 1,754,529.66 |
| | | | | | 20,535,574.51 | 20,535,574.51 |
| | 568,861,644.97 | | 930,730,000.00 | | 6,528,291,913.35 | 8,027,883,558.32 |

6.4.12.4.1.2

| | | | |
|--|----|-------------|---------------|
| | | | |
| | | 2011 6 30 | 2010 12 31 |
| | 27 | 276,815.63 | 4,557,090.79 |
| | 27 | -276,815.63 | -4,557,090.79 |

6.4.12.4.2

6.4.12.4.3

VaR(Value at Risk)

91.37%

5.50% 2011 6 30

6.4.12.4.3.1

| | 2011 6 30 | | 2010 12 31 | |
|---|------------------|-------|------------------|-------|
| | | % | | % |
| - | 7,412,454,522.64 | 91.37 | 6,518,114,097.95 | 81.19 |
| - | 445,915,000.00 | 5.50 | 1,281,490,000.00 | 15.96 |
| | | | | |
| | | | | |
| | 7,858,369,522.64 | 96.87 | 7,799,604,097.95 | 97.16 |

6.4.12.4.3.2

| | | | | |
|--|-----------------|--------------|--------------|-------|
| | 95% | VaR | 2.19% (2010) | 2.05% |
| | | | | |
| | 2011 6 30 | 2010 12 31 | | |
| | -177,665,708.86 | -164,571,613 | | |

VaR

95%

2010

6.4.13

2011 6 30

()
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| | | | | |
|--|------------------|---|---|------------------|
| | | | | |
| | | | | |
| | 7,412,454,522.64 | - | - | 7,412,454,522.64 |
| | 445,915,000.00 | - | - | 445,915,000.00 |
| | 7,858,369,522.64 | - | - | 7,858,369,522.64 |

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| | | | (%) |
|---|--|------------------|--------|
| 1 | | 7,412,454,522.64 | 91.13 |
| | | 7,412,454,522.64 | 91.13 |
| 2 | | 445,915,000.00 | 5.48 |
| | | 445,915,000.00 | 5.48 |
| 3 | | | |
| 4 | | | |
| 5 | | 258,389,348.28 | 3.18 |
| 6 | | 16,869,471.29 | 0.21 |
| 7 | | 8,133,628,342.21 | 100.00 |

7.2

| | | | (%) |
|-----|--|------------------|-------|
| A | | | |
| B | | 461,702,080.51 | 5.69 |
| C | | 3,721,850,431.32 | 45.88 |
| 00 | | 852,638,587.06 | 10.51 |
| C1 | | 221,703,555.60 | 2.73 |
| C2 | | | |
| C3 | | 100,234,681.04 | 1.24 |
| C4 | | | |
| C5 | | 531,558,612.00 | 6.55 |
| C6 | | 575,368,141.87 | 7.09 |
| C7 | | 1,229,464,474.23 | 15.16 |
| C8 | | 210,882,379.52 | 2.60 |
| C99 | | | |

| | | | |
|---|--|----------------------|--------|
| D | | 121, 975, 673. 99 | 1. 50 |
| E | | 121, 111, 620. 12 | 1. 49 |
| F | | 333, 952, 345. 95 | 4. 12 |
| G | | 238, 432, 803. 28 | 2. 94 |
| H | | 258, 981, 266. 61 | 3. 19 |
| I | | 974, 091, 395. 21 | 12. 01 |
| J | | 250, 953, 507. 46 | 3. 09 |
| K | | 471, 855, 715. 19 | 5. 82 |
| L | | 134, 782, 666. 20 | 1. 66 |
| M | | 322, 765, 016. 80 | 3. 98 |
| | | 7, 412, 454, 522. 64 | 91. 37 |

7.3

| | | | | () | (%) |
|---|--------|-----|---------------|-------------------|-------|
| 1 | 000100 | TCL | 177, 778, 800 | 531, 558, 612. 00 | 6. 55 |

| | | | | | |
|----|--------|--|--------------|-------------------|-------|
| 22 | 000869 | | 1, 228, 661 | 121, 391, 706. 80 | 1. 50 |
| 23 | 600496 | | 9, 619, 668 | 121, 111, 620. 12 | 1. 49 |
| 24 | 601699 | | 3, 679, 634 | 120, 728, 791. 54 | 1. 49 |
| 25 | 002269 | | 3, 999, 682 | 119, 870, 469. 54 | 1. 48 |
| 26 | 601318 | | 2, 469, 977 | 119, 225, 789. 79 | 1. 47 |
| 27 | 000926 | | 9, 839, 828 | 117, 782, 741. 16 | 1. 45 |
| 28 | 600428 | | 16, 244, 811 | 117, 287, 535. 42 | 1. 45 |
| 29 | 600581 | | 7, 949, 934 | 116, 466, 533. 10 | 1. 44 |
| 30 | 000069 | | 14, 713, 910 | 116, 387, 028. 10 | 1. 43 |
| 31 | 600690 | | 4, 149, 840 | 115, 988, 028. 00 | 1. 43 |
| 32 | 000983 | | 4, 759, 742 | 115, 185, 756. 40 | 1. 42 |
| 33 | 000039 | | 5, 259, 791 | 115, 084, 227. 08 | 1. 42 |
| 34 | 600761 | | 7, 739, 711 | 114, 625, 119. 91 | 1. 41 |
| 35 | 600029 | | 14, 519, 751 | 113, 834, 847. 84 | 1. 40 |
| 36 | 000401 | | 4, 549, 555 | 113, 693, 379. 45 | 1. 40 |
| 37 | 002154 | | 8, 478, 650 | 113, 613, 910. 00 | 1. 40 |
| 38 | 000527 | | 6, 143, 162 | 112, 972, 749. 18 | 1. 39 |
| 39 | 600016 | | 19, 632, 994 | 112, 497, 055. 62 | 1. 39 |
| 40 | 600887 | | 6, 739, 678 | 112, 215, 638. 70 | 1. 38 |
| 41 | 600066 | | 4, 839, 602 | 111, 988, 390. 28 | 1. 38 |
| 42 | 601398 | | 24, 879, 912 | 110, 964, 407. 52 | 1. 37 |
| 43 | 600900 | | 15, 369, 719 | 110, 815, 673. 99 | 1. 37 |
| 44 | 600271 | | 4, 269, 923 | 110, 334, 810. 32 | 1. 36 |
| 45 | 002033 | | 5, 477, 376 | 110, 314, 352. 64 | 1. 36 |
| 46 | 600036 | | 8, 329, 852 | 108, 454, 673. 04 | 1. 34 |
| 47 | 002029 | | 3, 409, 768 | 108, 089, 645. 60 | 1. 33 |
| 48 | 000538 | | 1, 859, 676 | 106, 150, 306. 08 | 1. 31 |
| 49 | 600809 | | 1, 509, 236 | 105, 721, 981. 80 | 1. 30 |
| 50 | 600298 | | 3, 159, 739 | 104, 903, 334. 80 | 1. 29 |
| 51 | 000423 | | 2, 538, 344 | 104, 732, 073. 44 | 1. 29 |
| 52 | 600019 | | 17, 309, 808 | 104, 378, 142. 24 | 1. 29 |
| 53 | 000933 | | 6, 799, 417 | 103, 419, 132. 57 | 1. 27 |
| 54 | 601006 | | 12, 555, 551 | 102, 829, 962. 69 | 1. 27 |
| 55 | 600963 | | 11, 709, 659 | 100, 234, 681. 04 | 1. 24 |
| 56 | 601991 | | 2, 000, 000 | 11, 160, 000. 00 | 0. 14 |

7.4
7.4.1
2% 20

| | | | | (%) |
|----|--------|-----|----------------|------|
| 1 | 601688 | | 351,656,172.35 | 4.38 |
| 2 | 601718 | | 294,966,649.01 | 3.67 |
| 3 | 000100 | TCL | 241,830,546.87 | 3.01 |
| 4 | 600963 | | 140,125,236.00 | 1.75 |
| 5 | 600496 | | 138,561,278.99 | 1.73 |
| 6 | 601398 | | 126,932,662.09 | 1.58 |
| 7 | 000926 | | 126,325,605.81 | 1.57 |
| 8 | 000069 | | 123,526,186.33 | 1.54 |
| 9 | 600104 | | 121,371,015.14 | 1.51 |
| 10 | 601088 | | 118,402,612.66 | 1.47 |
| 11 | 600690 | | 110,204,035.09 | 1.37 |
| 12 | 000538 | | 103,663,128.65 | 1.29 |
| 13 | 600271 | | 103,286,733.15 | 1.29 |
| 14 | 600809 | | 102,122,930.23 | 1.27 |
| 15 | 002081 | | 80,638,130.50 | 1.00 |
| 16 | 600428 | | 74,512,593.00 | 0.93 |
| 17 | 600880 | | 64,588,643.85 | 0.80 |
| 18 | 000425 | | 62,325,266.26 | 0.78 |
| 19 | 000826 | | 61,594,139.17 | 0.77 |
| 20 | 002152 | | 57,318,144.95 | 0.71 |

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7.4.2
2% 20

| | | | | (%) |
|---|--------|--|----------------|------|
| 1 | 600068 | | 120,321,549.74 | 1.50 |
| 2 | 000898 | | 119,828,328.37 | 1.49 |
| 3 | 600383 | | 117,717,747.17 | 1.47 |
| 4 | 601111 | | 117,557,075.75 | 1.46 |
| 5 | 000800 | | 116,261,516.19 | 1.45 |
| 6 | 601398 | | 114,336,110.45 | 1.42 |

| | | | | |
|----|--------|--|-------------------|-------|
| 7 | 60048 | | 111, 812, 264. 94 | 1. 39 |
| 8 | 600809 | | 103, 530, 345. 96 | 1. 29 |
| 9 | 600511 | | 101, 493, 406. 41 | 1. 26 |
| 10 | 000402 | | 99, 241, 601. 49 | 1. 24 |
| 11 | 600690 | | 88, 913, 950. 18 | 1. 11 |
| 12 | 600875 | | 88, 795, 553. 40 | 1. 11 |
| 13 | 000895 | | 88, 335, 868. 07 | 1. 10 |
| 14 | 600028 | | 87, 115, 161. 28 | 1. 09 |
| 15 | 000538 | | 84, 336, 504. 75 | 1. 05 |
| 16 | 000039 | | 77, 673, 467. 30 | 0. 97 |
| 17 | 002081 | | 76, 120, 646. 68 | 0. 95 |
| 18 | 600031 | | 75, 728, 192. 61 | 0. 94 |
| 19 | 600428 | | 69, 022, 163. 87 | 0. 86 |
| 20 | 000826 | | 64, 565, 755. 05 | 0. 80 |

“ ”

7.4.3

| | |
|--|----------------------|
| | 3, 856, 013, 141. 58 |
| | 3, 065, 254, 094. 64 |

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7.5

| | | | |
|---|--|-------------------|-------|
| | | | (%) |
| 1 | | | |
| 2 | | 445, 915, 000. 00 | 5. 50 |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| 7 | | | |
| 8 | | | |
| 9 | | 445, 915, 000. 00 | 5. 50 |

7.6

| | | | | | | (%) |
|---|---------|----|----|-----------|----------------|------|
| 1 | 1101019 | 11 | 19 | 2,500,000 | 248,275,000.00 | 3.06 |
| 2 | 1101021 | 11 | 21 | 1,500,000 | 148,875,000.00 | 1.84 |
| 3 | 1001060 | 10 | 60 | 500,000 | 48,765,000.00 | 0.60 |

7.7

7.8

7.9

7.9.1

7.9.2

7.9.3

| | | |
|---|--|---------------|
| 1 | | 2,859,049.78 |
| 2 | | |
| 3 | | 9,620,558.28 |
| 4 | | 4,077,101.96 |
| 5 | | 312,761.27 |
| 6 | | |
| 7 | | |
| 8 | | |
| 9 | | 16,869,471.29 |

7.9.4

7.9.5

7.9.6

§ 8

8.1

| | | | | | |
|---------|-----------|----------------|-------|------------------|--------|
| () | | | | | |
| | | | | | |
| | | | | | |
| 554,456 | 18,792.43 | 709,143,474.44 | 6.81% | 9,710,433,398.25 | 93.19% |

8.2

| | | |
|--|------------|-------|
| | | |
| | 314,667.99 | 0.00% |

§ 9

| | | |
|--------------|-----------------------|--|
| (2006 4 30) | 613, 254, 516. 24 | |
| | 10, 419, 209, 781. 37 | |
| | 664, 947, 284. 82 | |

§ 10

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10.2

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| | | | | | | | | | | | | |
|--|---|------------------|--------|--|--|--|--|--|--|--------------|--------|--|
| | | | | | | | | | | | | |
| | 1 | 1,289,784,233.34 | 18.70% | | | | | | | 1,047,957.79 | 18.21% | |
| | 1 | 1,087,862,634.21 | 15.77% | | | | | | | 924,681.39 | 16.07% | |
| | 1 | 720,784,855.25 | 10.45% | | | | | | | 612,665.02 | 10.64% | |
| | 2 | 701,130,274.73 | 10.16% | | | | | | | 588,083.12 | 10.22% | |
| | 1 | 566,709,990.53 | 8.21% | | | | | | | 460,454.39 | 8.00% | |
| | 1 | 507,156,153.85 | 7.35% | | | | | | | 412,066.97 | 7.16% | |
| | 1 | 400,800,391.45 | 5.81% | | | | | | | 340,681.80 | 5.92% | |
| | 1 | 376,479,787.52 | 5.46% | | | | | | | 320,007.95 | 5.56% | |
| | 1 | 319,147,697.90 | 4.63% | | | | | | | 271,277.90 | 4.71% | |
| | 1 | 246,927,691.43 | 3.58% | | | | | | | 200,629.89 | 3.49% | |
| | 1 | 215,286,621.97 | 3.12% | | | | | | | 182,992.88 | 3.18% | |
| | 1 | 171,678,102.34 | 2.49% | | | | | | | 145,924.22 | 2.54% | |
| | 1 | 92,350,307.51 | 1.34% | | | | | | | 78,497.87 | 1.36% | |
| | 1 | 68,803,605.79 | 1.00% | | | | | | | 58,481.63 | 1.02% | |
| | 1 | 68,768,910.00 | 1.00% | | | | | | | 58,453.34 | 1.02% | |
| | 1 | 64,828,000.80 | 0.94% | | | | | | | 52,673.28 | 0.92% | |

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[1998] 29

[2007] 48

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|----|----------------|--|-----------|
| | | | |
| 1 | 2010 | | 2011-1-4 |
| 2 | | | 2011-1-15 |
| 3 | | | 2011-1-15 |
| 4 | | | 2011-1-15 |
| 5 | | | 2011-1-15 |
| 6 | 2010 | | 2011-1-24 |
| 7 | | | 2011-3-7 |
| 8 | | | 2011-3-9 |
| 9 | | | 2011-3-12 |
| 10 | " " | | 2011-3-18 |
| 11 | 2010 | | 2011-3-31 |
| 12 | " • 2011" 4 | | 2011-4-1 |
| 13 | | | 2011-4-11 |

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|----|-----|------|-----------|
| | | | |
| 14 | | 2011 | 2011-4-21 |
| 15 | 100 | LCF | 2011-4-23 |
| 16 | | | 2011-4-28 |
| 17 | | | 2011-5-6 |
| 18 | | | 2011-5-31 |
| 19 | | | 2011-6-10 |
| 20 | | | 2011-6-14 |
| 21 | | | 2011-6-18 |
| 22 | | | 2011-6-28 |

§ 11

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