

2018

2018 12 31

2019 3 29

§1

2019 3 28

2018 1 1 2018 12 31

§2

2.1

	519931
	519931
	2016 12 15
	20, 246, 716. 04

2.2

			0. 5%
		5%	
		95%×	+5%×

2.3

		021-61009999	021-38676252
		zhouyg@cxfund.com.cn	wangj222@gtjas.com
		4007005566	95521
		021-61009800	021-38677819

2.4

	www.cxfund.com.cn
	68 9 68 32

§3

3.1

3.1.1	2018	2017	2016 12 15 ()-2016 12 31
	585,103.54	713,283.67	-92,592.51
	-2,576,134.68	4,521,924.97	85,195.55
	-0.1346	0.2478	0.0050
	-10.59%	24.98%	0.50%
3.1.2	2018	2017	2016
	0.0651	0.0333	-0.0054
	22,739,665.00	22,529,208.72	17,186,880.51
	1.123	1.256	1.005

1

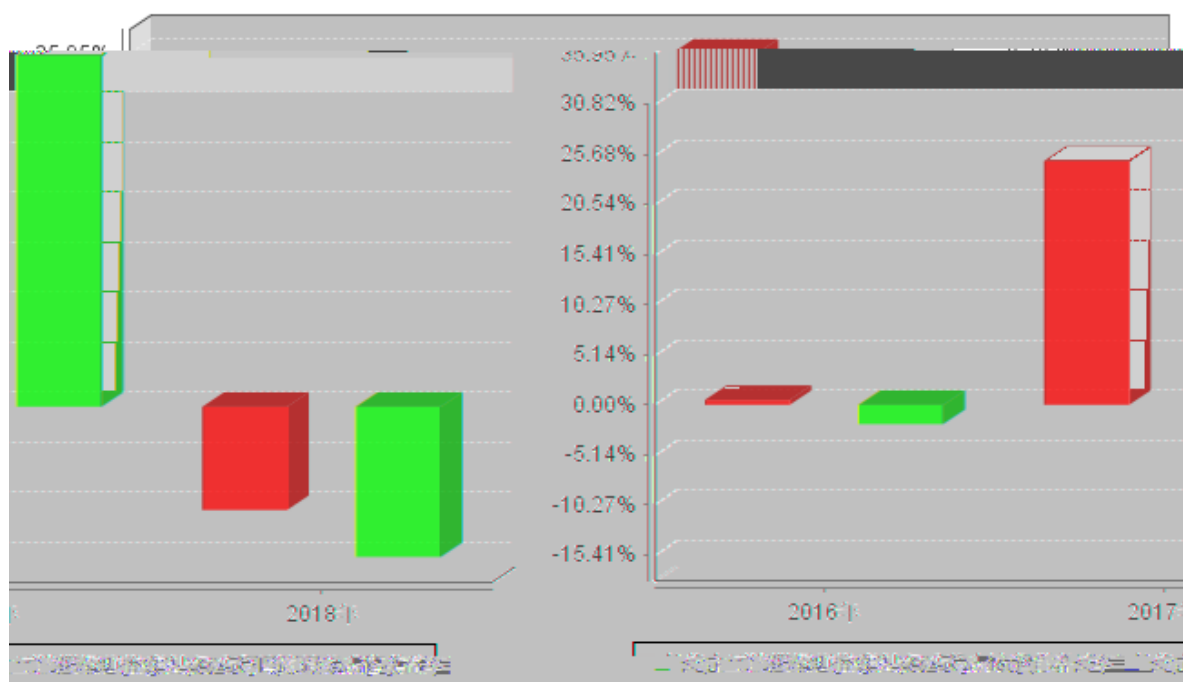
2

3.2

3.2.1

	-7.34%	1.54%	-6.85%	1.55%	-0.49%	-0.01%
	-9.00%	1.29%	-12.08%	1.32%	3.08%	-0.03%
	-10.59%	1.24%	-15.39%	1.26%	4.80%	-0.02%
	12.30%	0.99%	12.78%	1.02%	-0.48%	-0.03%

3.2.3



2016 12 15 2016

3.3

2016 12 15

FOF

4.1.2

	100	2017 20	4 -	12	2017 3 100
	100	2017 9	5 -	3	

					2016 4
					100

1

/

2

4.2**4.3****4.3.1**

1

2

1

2

5 1

3

4

5

4.3.2

4.3.3

5%

4.4

4.4.1

2018

A

16.24%

IPO

13.6%

	2017	2018			
2018		827	2017	3399	76%
2014	11				
					2014
	0.7%	2018	2		8.3%
2018	5.9%				A
			IPO	208	357
					72%
	2017				
2018					

4.4.2

2018	12	31		1.123	1.123
				-10.59%	-15.39%
					4.60%

4.5

2018		2019
------	--	------

2019

4.6

“ ”

1/2

4.6.1

1

12

3

2

3

4

5

4.7

§5

5.1

“ ”

“ ”

5.2

5.3

“

”

§6

2018

() (19) P00152

§7

7.1

2018 12 31

	2018 12 31	2017 12 31
	1,390,276.05	1,851,505.53
	-	-
	-	-
	21,518,482.32	21,068,448.70
	20,487,967.32	21,068,448.70
	-	-
	1,030,515.00	-
	-	-
	-	-
	-	-
	-	-
	-	-
	60,510.84	926.36
	-	2,200.00
	-	18,710.10
	-	-
	-	-
	22,969,269.21	22,941,790.69
	2018 12 31	2017 12 31
	-	-
	-	-
	-	-
	-	-
	4.43	4.61
	-	159,962.98
	15,505.10	15,119.03
	3,876.26	3,779.73
	-	-
	218.42	3,112.83
	-	-
	-	-

	-	-
	-	-
	210,000.00	230,602.79
	229,604.21	412,581.97

q ' 1 Ø f

2		46,477.34	41,453.41
3		-	-
4		21,748.65	35,617.14
5		-	-
		-	-
6		-	-
7		360,000.00	377,900.00
	“ - ”	-2,576,134.68	4,521,924.97
		-	-
	“ - ”	-2,576,134.68	4,521,924.97

7.3

2018 1 1 2018 12 31

	2018 1 1 2018 12 31		
	17,934,317.89	4,594,890.83	22,529,208.72
	-	-2,576,134.68	-2,576,134.68
“ - ”	2,312,398.15	474,192.81	2,786,590.96
1.	14,225,956.01	3,324,727.30	17,550,683.31
2.	-11,913,557.86	-2,850,534.49	-14,764,092.35
	-	-	-
“ - ”			
	20,246,716.04	2,492,948.96	22,739,665.00
	2017 1 1 2017 12 31		

	17,101,684.96	85,195.55	17,186,880.51
	-	4,521,924.97	4,521,924.97
“ - ”	832,632.93	-12,229.69	820,403.24
1.	17,085,208.55	2,267,692.95	19,352,901.50
2.	-16,252,575.62	-2,279,922.64	-18,532,498.26
“ - ”	-	-	-
	17,934,317.89	4,594,890.83	22,529,208.72

7.1 7.4

7.4

7.4.1

(“ ”)

(“ ”)

(“ ”) [2015]2801 [2016]1461

17,101,684.96 ()

1600908 2016 12 15

()

90%-95%

90%

5%

95%×

+5%×

()

2019 3 25

7.4.2

(“ ”)

7.4.3

2018 12 31

2018

7.4.4

7.4.5

7.4.5.1

7.4.5.2

7.4.5.3

7.4.6

[2005]103

[2008]1

2008

9 18

[2012]85

[2014]48

5) A 0.10% ()

7.4.7

	()
() (“)	
() (“)	
(“)	
	()

1

2

([2018]190)

15.15%

2018 12 21

()

()

7.4.8

7.4.8.1

7.4.8.1.1

	2018 1 1	2018 12 31	2017 1 1	2017 12 31
--	----------	------------	----------	------------

	867,974.76	6.73%	22,180,403.59	100.00%

7.4.8.1.2

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
	2,746,233.10			100.00%			-			-		

7.4.8.1.3

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
	500,000.00			100.00%			-			-		

7.4.8.1.4**7.4.8.1.5**

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
	347.19			6.73%			-			-		
	8,872.21			100.00%			3,112.83			100.00%		

7.4.8.2**7.4.8.2.1**

	2018 1 1 31	2018 12	2017 1 1	2017 12 31
		185,909.20		165,813.70
		37,101.87		28,639.07

0.8%

H E× 0.8%÷

H

E

7.4.8.2.2

	2018 1 1 31	2018 12	2017 1 1	2017 12 31
		46,477.34		41,453.41

0.20%

H E× 0.20%÷

H

E

7.4.8.3

()

()

7.4.8.4**7.4.8.4.1**

	2018 1 1	2018 12	2017 1 1	2017 12
--	----------	---------	----------	---------

	31	31
	10,001,700.00	10,001,700.00
/	-	-
	-	-
/	-	-
	10,001,700.00	10,001,700.00
	49.40%	55.77%

7.4.8.4.2**7.4.8.5****7.4.8.6****7.4.8.7**

:

7.4.9 2018 12 31**7.4.9.1 /**

/

7.4.9.2**7.4.9.3****7.4.9.3.1****7.4.9.3.2**

§8

8.1

			%
1		20,487,967.32	89.20
		20,487,967.32	89.20
2		-	-
3		1,030,515.00	4.49
		1,030,515.00	4.49
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		1,390,276.05	6.05
8		60,510.84	0.26
9		22,969,269.21	100.00
		20,487,967.32	90.10%

8.2

8.2.1

8.2.2

8.2.3

A	284,309.38	1.25
B	1,344,020.70	5.91
C	512,401.76	2.25
D	866,614.37	3.81
E	8,361,248.02	36.77
F	382,846.83	1.68
G	1,153,079.20	5.07
H	3,105,108.24	13.66
I	915,217.19	4.02
J	1,140,446.15	5.02

K	2, 422, 675. 48	10. 65
	20, 487, 967. 32	90. 10

(GICS)

8.3**8.3.1**

					%
1	00700		10, 400	2, 861, 318. 72	12. 58
2	00005		40, 000	2, 271, 110. 40	9. 99
3	01299		23, 800	1, 355, 481. 40	5. 96
4	00939		237, 000	1, 341, 479. 72	5. 90
5	01398		171, 000	837, 550. 82	3. 68
6	00941		11, 000	726, 238. 37	3. 19
7	00001		9, 500	625, 957. 28	2. 75
8	02318		9, 500	575, 597. 69	2. 53
9	00016		5, 000	488, 919. 60	2. 15
10	03988		164, 000	485, 695. 18	2. 14

8.3.2**8.4****8.4.1****2% 20**

1	00700		1, 055, 084. 01		4. 68
2	00005		763, 013. 33		3. 39
3	00939		471, 564. 08		2. 09
4	01299		400, 499. 59		1. 78
5	01177		333, 665. 46		1. 48
6	00669		285, 723. 14		1. 27
7	01398		271, 639. 28		1. 21
8	00656		236, 549. 42		1. 05

9	03333		235,841.62	1.05
10	00586		234,957.30	1.04
11	00291		230,421.84	1.02
12	01288		224,244.05	1.00
13	00001		191,194.17	0.85
14	00941		182,072.36	0.81
15	03988		173,004.13	0.77
16	02318		143,110.62	0.64
17	02688		140,215.97	0.62
18	00388		132,698.05	0.59
19	00066		122,159.65	0.54
20	00267		105,352.24	0.47

“ ”

8.4.2**2% 20**

1	00700		614,125.55	2.73
2	00005		509,827.42	2.26
3	02888		434,092.07	1.93
4	00939		309,574.38	1.37
5	01044		267,917.78	1.19
6	01299		266,424.76	1.18
7	01114	BRIILLIANCE CHI	193,814.81	0.86
8	01800		180,035.18	0.80
9	01398		177,702.57	0.79
10	01099		169,963.95	0.75
11	02318		134,430.14	0.60
12	01997		125,710.10	0.56
13	00144		114,897.90	0.51
14	03988		113,754.02	0.50
15	01038		103,592.41	0.46
16	02689		94,719.53	0.42
17	02007		94,285.35	0.42
18	00941		94,055.77	0.42
19	00914		89,426.46	0.40
20	00388		87,252.43	0.39

“ ”

8.4.3

	7, 447, 023. 49
	5, 446, 411. 01

“ ” “ ” “ ”

8.5

|

8.9

8.10

8.10.1

8.10.2

8.11

8.11.1

8.11.2

8.11.3

8.12

8.12.1

8.12.2

8.12.3

1		-
2		-
3		-
4		60, 510. 84
5		-
6		-

7		-
8		-
9		60, 510. 84

8.12.4**8.12.5****8.12.5.1****8.12.5.2****8.12.6**

§9

9.1

()					
2,052	9,866.82	10,001,700.00	49.40%	10,245,016.04	50.60%

9.2

	0.00	0.00%

9.3

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§10

2016 12 15	17, 101, 684. 96
	17, 934, 317. 89
	14, 225, 956. 01
:	11, 913, 557. 86
"_"	-
	20, 246, 716. 04

§11

11.1

11.2

11.2.1

2018 8 28

11.2.2

11.3

11.4

11.5

30,000.00

2

11.6

11.7

11.7.1

	1	12,025,459.74	93.27%	4,810.19	93.27%	-
	2	867,974.76	6.73%	347.19	6.73%	-

11.7.2

	-	-	-	-	-	-
	2,746,233.10	100.00%	500,000.00	100.00%	-	-

1

2

<1998>29

[2007]48

1

a

b

c

d

2

