

2018

2018 12 31

2019 3 29

§ 1

“ ”

2019 3 26

2018 1 1 2018 12 31

§ 2**2.1**

	519951
	519951
	2016 3 23

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2.3

	021-61009999	010-58560666
	zhouyg@cxfund.com.cn	tgbfxjdzx@cmbc.com.cn
	4007005566	95568
	021-61009800	010-58560798

2.4

	www.cxfund.com.cn
	68 9
	2

§ 3

3.1

3.1.1	2018	2017	2016 3 23 ()-2016 12 31
	730,268.86	-17,442,116.89	18,525,786.60
	2,025,966.79	-5,101,444.37	4,878,570.46
	0.0264	-0.0144	0.0079
	3.81%	-1.49%	2.42%
3.1.2	2018	2017	2016
	-0.0491	-0.0556	0.0054
	2,168,420.44	249,254,216.27	836,480,668.71
	1.0277	0.990	1.005

1

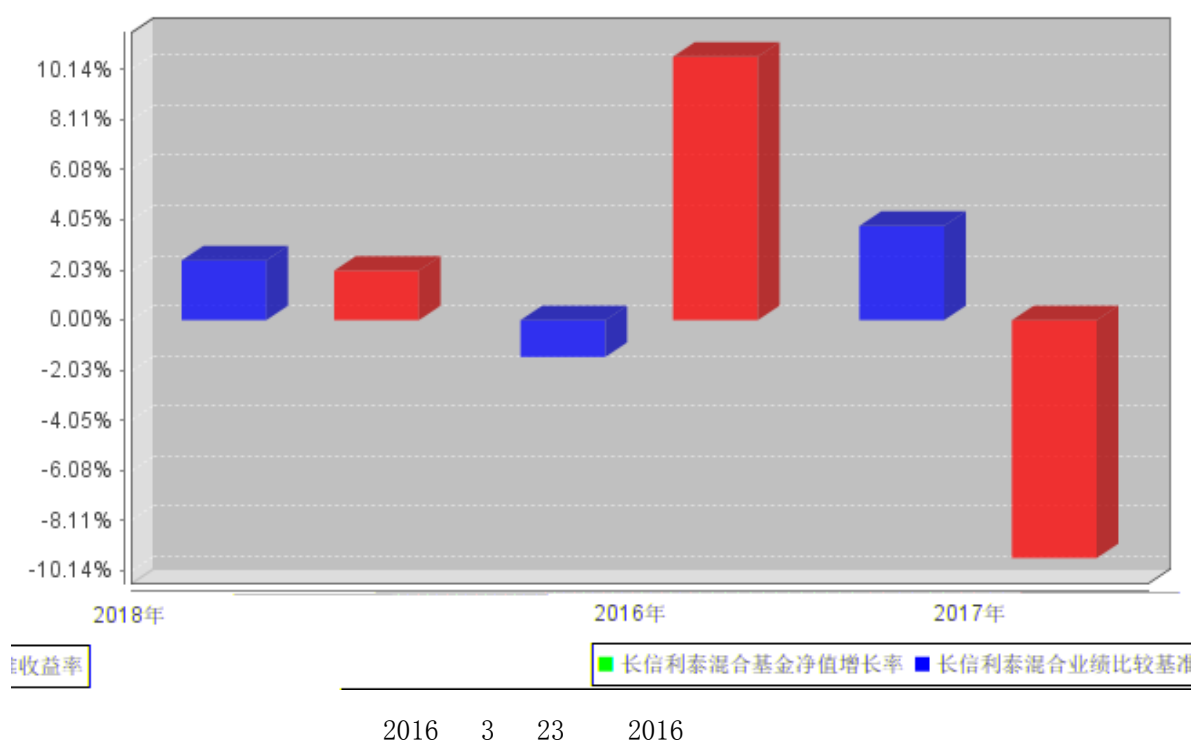
2

3.2

3.2.1

	-0.76%	0.63%	-5.04%	0.82%	4.28%	-0.19%
	0.17%	0.44%	-5.26%	0.74%	5.43%	-0.30%
	3.81%	0.46%	-9.62%	0.67%	13.43%	-0.21%
	4.73%	0.30%	2.00%	0.50%	2.73%	-0.20%

3.2.3



3.3

	10				
2018	-	-	-	-	
2017	-	-	-	-	
2016	0.190	15,122,094.93	673,044.87	15,795,139.80	
	0.190	15,122,094.93	673,044.87	15,795,139.80	

§ 4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2018 12 31

64

100

(LOF)

(LOF)

LOF

LOF

500

FOF

4.1.2

	100	2018 9 5	-	12	2017 3 100
		2016 4 19	2018 9 5	8	
		2017 5 24	2018 9 5	8	

1

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2

4.2

1

4.5

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4.6

“ ”

1/2

4.7

1

12

20%

3

2

3

4

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4.8

2018 5 4 2018 7 27

§ 5

5.1

5.2

5.3

§ 6

2018

() (19) P00119

§ 7

7.1

2018 12 31

	2018 12 31	2017 12 31
	98,759.15	868,982.96
	9,856.64	966,300.91
	1,514.55	43,905.22
	1,686,766.90	236,257,305.51
	721,370.00	102,312,781.55
	-	-
	965,396.90	133,944,523.96
	-	-
	-	-
	-	-
	500,000.00	9,975,134.96
	17,061.39	-
	26,522.79	1,650,004.50
	-	-
	57.48	98.80
	-	-
	-	-
	2,340,538.90	249,761,732.86
	2018 12 31	2017 12 31
	-	-
	-	-
	-	-
	-	-
	88,381.12	-
	1,052.50	0.98
	1,068.98	126,267.05
	178.18	21,044.49
	-	-
	2,433.01	141,204.07
	3.43	-
	-	-

-

4	264,789.62	389,025.49
5	-	296,583.53
	-	296,583.53
6	1,200.59	-
7	108,192.77	260,582.78
“ - ”	2,025,966.79	-5,101,444.37
	-	-
“ - ”	2,025,966.79	-5,101,444.37

7.3

2018 1 1 2018 12 31

	2018 1 1 2018 12 31		
	251,671,266.02	-2,417,049.75	249,254,216.27
	-	2,025,966.79	2,025,966.79
“ - ”	-249,561,191.85	449,429.23	-249,111,762.62
1.	535,078.33	11,706.37	546,784.70
2.	-250,096,270.18	437,722.86	-249,658,547.32
“ - ”	-	-	-
	2,110,074.17	58,346.27	2,168,420.44
	2017 1 1 2017 12 31		

	831,963,871.69	4,516,797.02	836,480,668.71
	-	-5,101,444.37	-5,101,444.37
“ _”	-580,292,605.67	-1,832,402.40	-582,125,008.07
1.	241,467,244.53	-1,205,211.67	240,262,032.86
2.	-821,759,850.20	-627,190.73	-822,387,040.93
“ _”	-	-	-
	251,671,266.02	-2,417,049.75	249,254,216.27

7.1 7.4

7.4

7.4.1

(“ ”)

(“ ”) (

“ ”) [2015]1573

278,448,789.92

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2016 3 23

(

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()
0%-95%
5%-100%
3%
5%
300 ×50%+
×50%

7.4.2

(“ ”)

7.4.3

2018 120 d(2018)jEMC /San1044 120 Td (2018)Tj EMC CID

7.4.5.2

7.4.5.3

7.4.6

[2005]103

[2008]1

2008

9 18

[2012]85

[2014]48

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1) ()

2018 1 1

3%

2)

3)

1

(1) 1 1 (1)

50%

1

20%

4)

20%

5)

A

0.10%

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7.4.7

(“ ”)

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(“ ”)

7.4.8.2**7.4.8.2.1**

	2018 1 1 31	2018 12	2017 1 1	2017 12 31
		477,807.56		2,182,295.78
		7,362.82		21,959.59

0.60%

$$H \ E \times \quad \div \quad H \quad E$$
7.4.8.2.2

	2018 1 1 31	2018 12	2017 1 1	2017 12 31
		79,634.73		363,716.07

0.10%

$$H \ E \times \quad \div \quad H \quad E$$
7.4.8.3

()

7.4.8.4**7.4.8.4.1****7.4.8.4.2****7.4.8.5**

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
	98,759.15			90,493.07			868,982.96				288,620.97	

“

”

2018 12 31

9,856.64 (2017 12 31

966,300.91)

7.4.8.6**7.4.8.7****7.4.9 2018 12 31****7.4.9.1 /**

/

7.4.9.2**7.4.9.3****7.4.9.3.1****7.4.9.3.2**

§ 8

8.1

			%
1		721,370.00	30.82
		721,370.00	30.82
2		-	-
3		965,396.90	41.25
		965,396.90	41.25
4		-	-
5		-	-

N			
O			
P			
Q			
R			
S			
		721,370.00	33.27

8.2.2**8.3**

1	603808		5,400	87,696.00	4.04
2	601877		3,600	87,264.00	4.02
3	600887		3,400	77,792.00	3.59
4	000895		3,200	75,488.00	3.48
5	600958		8,000	63,760.00	2.94
6	601128		8,800	54,032.00	2.49
7	600104		1,600	42,672.00	1.97
8	000852		5,900	42,244.00	1.95
9	601808		4,800	40,992.00	1.89
10	600048		3,000	35,370.00	1.63

8.4**8.4.1****2% 20**

1	601601		5,873,621.00	2.36
2	601899		4,163,200.00	1.67
3	601939		3,978,167.09	1.60
4	601318		2,762,018.00	1.11
5	601877		132,137.00	0.05

6	000895		119,935.00	0.05
7	600887		116,548.00	0.05
8	600028		109,583.00	0.04
9	603808		88,277.00	0.04
10	600377		86,700.00	0.03
11	000651		81,606.00	0.03
12	603589		75,979.00	0.03
13	600048		74,570.00	0.03
14	002304		69,697.00	0.03
15	000852		66,828.00	0.03
16	600958		65,848.00	0.03
17	300196		61,287.00	0.02
18	002311		57,349.00	0.02
19	601689		57,037.00	0.02
20	601128		56,834.00	0.02

“ ”

8.4.2**2% 20**

1	601939		13,114,471.78	5.26
2	601318		10,863,346.52	4.36
3	000651		10,411,639.35	4.18
4	600004		8,319,441.90	3.34
5	601398		8,270,204.00	3.32
6	601601		7,637,263.00	3.06
7	600518		7,248,269.00	2.91
8	002271		6,592,530.00	2.64
9	600066		6,313,803.33	2.53
10	000002		4,788,437.73	1.92
11	000333		4,603,997.00	1.85
12	600887		4,298,218.60	1.72
13	600036		3,871,311.00	1.55
14	601899		3,725,580.00	1.49
15	002372		3,427,877.10	1.38
16	000001		3,384,372.00	1.36
17	600031		3,342,828.00	1.34

18	300408		3,289,502.00	1.32
19	600703		2,697,870.00	1.08
20	002450		2,598,071.36	1.04

“ ”

8.4.3

	18,847,729.72
	120,074,856.26

“ ” “ ”

8.5

1		-	-
2		-	-
3		633,902.90	29.23
		633,902.90	29.23
4		-	-
5		-	-
6		-	-
7		331,494.00	15.29
8		-	-
9		-	-
10		965,396.90	44.52

8.6

1	018002	1302	2,290	229,114.50	10.57
2	018003	1401	1,480	175,853.60	8.11
3	018005	1701	1,670	167,734.80	7.74
4	110034		1,500	151,860.00	7.00
5	113013		1,050	110,334.00	5.09

8.7

8.8

8.9

8.10

8.10.1

8.10.2

8.11

8.11.1

8.11.2

8.11.3

8.12

8.12.1

8.12.2

8.12.3

1		1, 514. 55
2		17, 061. 39
3		-
4		26, 522. 79
5		57. 48
6		-
7		-
8		-
9		45, 156. 21

8.12.4

1	110034		151, 860. 00	7. 00
2	113013		110, 334. 00	5. 09
3	128016		69, 300. 00	3. 20

8.12.5**8.12.6**

§ 9

9.1

()					
218	9,679.24	175,171.28	8.30%	1,934,902.89	91.70%

9.2

	228,291.62	10.82%

9.3

	10~50
	10~50

§ 10

2016 3 23

278, 448, 789. 92

251, 671, 266. 02

§ 11

11.1

11.2

11.2.1

2018 8 28

11.2.2

2018 4 19

11.3

11.4

11.5

30,000.00

2

11.6

11.7

11.7.1

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	1	96,452,176.81	69.45%	89,826.22	69.61%	-
	2	41,005,174.54	29.53%	38,188.40	29.59%	-
	1	1,414,998.00	1.02%	1,034.82	0.80%	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

11.7.2

	15,966,853.12	40.55%	636,500,000.00	99.08%	-	-
	22,339,549.23	56.73%	5,900,000.00	0.92%	-	-
	69,579.90	0.18%	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	1,002,681.32	2.55%	-	-	-	-
	-	-	-	-	-	-

1

1

1

1

2

<1998>29

[2007]48

1

a

b

c

d

2

§ 12

12.1

20%

		20%					
1	2018 1 1	120,602,010.05	0.00	120,602,010.05	0.00	0.00%	
	2018 4 3						
	2018 1 1						
2	2018 5 4	4,999,225.00	0.00	4,999,225.00	0.00	0.00%	
	2018 8 2						
1	2018 5 30	1,997,251.87	0.00	1,997,251.87	0.00	0.00%	
	2018 6 21						
2	2018 8 3	527,387.52	0.00	527,387.52	0.00	0.00%	
	2018 9 9						
1							
2							
3							

12.2

2019 3 29