

2019

2019 6 30

2019 8 27

**§ 1**

**1.1**

2019 8 23

2019 1 1      2019 6 30

<b>1.2</b>		
<b>§1</b>	.....	<b>2</b>
1.1	.....	2
1.2	.....	3
<b>§2</b>	.....	<b>6</b>
2.1	.....	6
2.2	.....	6
2.3	.....	6
2.4	.....	7
2.5	.....	7
<b>§3</b>	.....	<b>8</b>
3.1	.....	8
3.2	.....	8
<b>§4</b>	.....	<b>11</b>
4.1	.....	11
4.2	.....	14
4.3	.....	14
4.4	.....	14
4.5	.....	15
4.6	.....	15
4.7	.....	16
4.8	.....	16
<b>§5</b>	.....	<b>17</b>
5.1	.....	17
5.2	.....	17
5.3	.....	17
<b>§6</b>	.....	<b>18</b>
6.1	.....	18
6.2	.....	19
6.3	.....	20

6.4	.....	21
<b>§7</b>	.....	<b>40</b>
7.1	.....	40
7.2	.....	40
7.3	.....	40
7.4	240 .....	41
7.5	.....	41
7.6	.....	42
7.7	.....	42
7.8	.....	42
7.9	.....	42
<b>§8</b>	.....	<b>44</b>
8.1	.....	44
8.2	.....	44
8.3	.....	44
8.4	.....	45
<b>§9</b>	.....	<b>46</b>
<b>§10</b>	.....	<b>47</b>
10.1	.....	47
10.2	.....	47
10.3	.....	47
10.4	.....	47
10.5	.....	47
10.6	.....	47
10.7	.....	47
10.8	0.5% .....	49
10.9	.....	49
<b>§11</b>	.....	<b>51</b>
11.1	20% .....	51
11.2	.....	51

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<b>§12</b>	.....	<b>52</b>
12.1	.....	52
12.2	.....	52
12.3	.....	52



**2.4**

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	www.cxfund.com.cn
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**2.5**

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### § 3

#### 3.1

	A	B
3.1.1	( 2019 1 1 - 2019 6 30 )	( 2019 1 1 - 2019 6 30 )
	39, 710, 577. 13	54, 748, 998. 52
	39, 710, 577. 13	54, 748, 998. 52
	1. 3309%	1. 4012%
3.1.2	( 2019 6 30 )	( 2019 6 30 )
	3, 229, 020, 954. 39	5, 396, 341, 649. 96
	1. 0000	1. 0000
3.1.3	( 2019 6 30 )	( 2019 6 30 )
	6. 5320%	5. 3554%

1

2

3

#### 3.2

##### 3.2.1

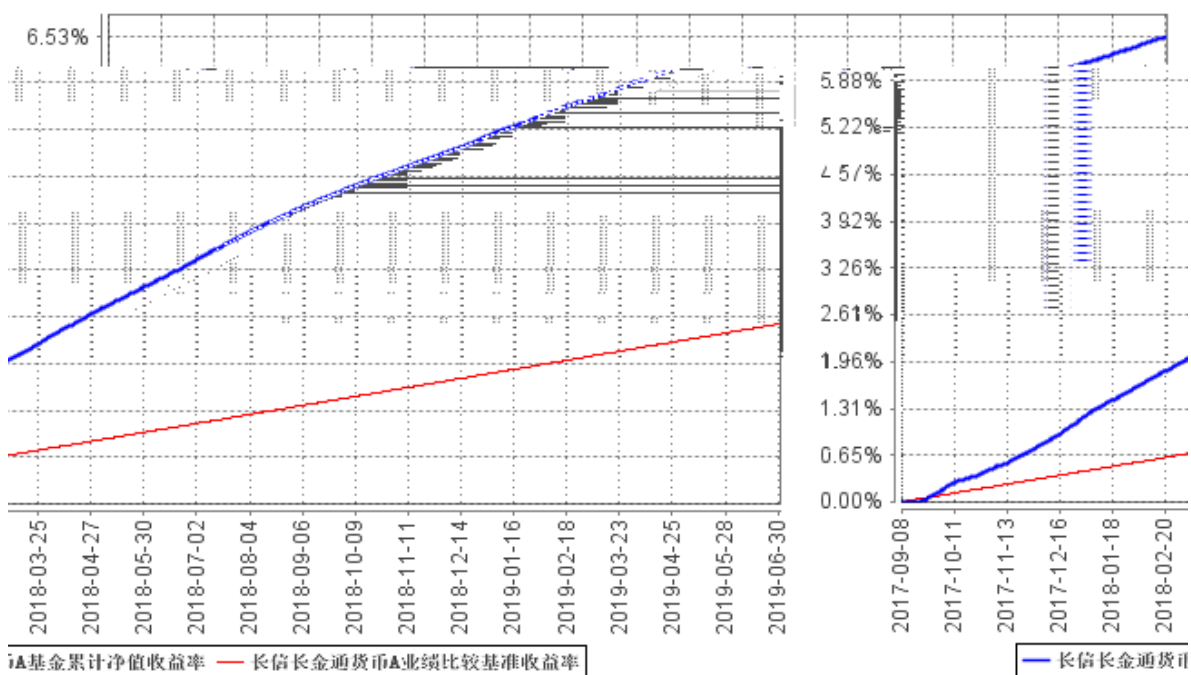
A

	0. 2058%	0. 0017%	0. 1126%	0. 0000%	0. 0932%	0. 0017%
	0. 6314%	0. 0015%	0. 3418%	0. 0000%	0. 2896%	0. 0015%
	1. 3309%	0. 0018%	0. 6810%	0. 0000%	0. 6499%	0. 0018%
	3. 0520%	0. 0020%	1. 3781%	0. 0000%	1. 6739%	0. 0020%
	6. 5320%	0. 0029%	2. 5097%	0. 0000%	4. 0223%	0. 0029%

B

	0.2173%	0.0017%	0.1126%	0.0000%	0.1047%	0.0017%
	0.6665%	0.0015%	0.3418%	0.0000%	0.3247%	0.0015%
	1.4012%	0.0018%	0.6810%	0.0000%	0.7202%	0.0018%
	3.1966%	0.0020%	1.3781%	0.0000%	1.8185%	0.0020%
	5.3554%	0.0044%	2.5097%	0.0000%	2.8457%	0.0044%

3.2.2





**§ 4**

**4.1**

**4.1.1**

2003 63  
1.65  
44.55%  
31.21% 15.15% 4.55%  
4.54%  
2019 6 30 65

100

(LOF)

(LOF)

LOF

500

FOF

300

**4.1.2**

					2010 7
		2017 9 8	-	9	

	(LOF)				EMBA
					2004 9
		2017 11 4	-	25	
					(LOF)

1

/

2

## 4.2

## 4.3

### 4.3.1

### 4.3.2

5%

## 4.4

### 4.4.1

2019	GDP	6.3%	6.4%	6.2%			
			5.8%		8.4%	6	
							2%
							CPI
		2.7%				10.7%	
		1	5				

**4.4.2**

2019 6 30

A

1.3309%

B

1.4012%

0.6810%

**4.5**

V

CPI

3%

**4.7**

100%

94, 459, 575. 65

94, 459, 575. 65

**4.8**

**§ 5**

**5.1**

2019

**5.2**

2019

	A	39, 710, 577. 13	B
54, 748, 998. 52			

**5.3**

2018

**§ 6**

**6.1**

		-	73,056.12
		1,636,062.38	1,722,220.30
		-	-
	6.4.6.8	104,972.82	239,000.00
		3,445,495.26	138,772,503.84
	6.4.6.9	8,625,362,604.35	4,609,279,880.99
	6.4.6.10	-	-
		8,625,362,604.35	4,609,279,880.99
		8,628,808,099.61	4,748,052,384.83

2019 6 30

A 1.0000

B

1.0000

8,625,362,604.35

A

3,229,020,954.39

B 5,396,341,649.96

**6.2**

2019 1 1 2019 6 30

		2019 1 1 2019 6 30	2018 1 1 2018 6 30
		107,019,161.89	207,861,960.19
1.		106,227,972.70	203,764,047.16
	6.4.6.11	19,704,550.80	55,790,856.11
		64,294,863.83	106,472,637.93
		-	178,108.75
		22,228,558.07	41,322,444.37
		-	-
2.		791,189.19	4,097,913.03
	6.4.6.12	-	-
		-	-
	6.4.6.13	791,189.19	4,097,913.03
	6.4.6.13.5	-	-
	6.4.6.14	-	-
	6.4.6.15	-	-
	6.4.6.16	-	-
3.	6.4.6.17	-	-
" -		-	-
4.		-	-

5.	-	6.4.6.18	-	-
			12,559,586.24	20,535,006.89
1		6.4.9.2.1	5,197,853.93	6,504,911.66
2		6.4.9.2.2	1,732,617.94	2,168,303.93
3		6.4.9.2.3	2,432,630.17	6,102,273.63
4		6.4.6.19	26.45	327.04
5			3,059,402.47	5,592,384.81
			3,059,402.47	5,592,384.81
6			8,455.01	641.20
7		6.4.6.20	128,600.27	166,164.62
	-		94,459,575.65	187,326,953.30
			-	-
	-		94,459,575.65	187,326,953.30

### 6.3

2019 1 1      2019 6 30

	2019 1 1      2019 6 30		
	4,609,279,880.99	-	4,609,279,880.99
	-	94,459,575.65	94,459,575.65
-	4,016,082,723.36	-	4,016,082,723.36
1.	24,823,271,045.33	-	24,823,271,045.33
2.	-20,807,188,321.97	-	-20,807,188,321.97
	-	-94,459,575.65	-94,459,575.65

-			
	8, 625, 362, 604. 35	-	8, 625, 362, 604. 35
	<b>2018 1 1 2018 6 30</b>		
	4, 027, 040, 881. 27	-	4, 027, 040, 881. 27
	-	187, 326, 953. 30	187, 326, 953. 30
-	2, 192, 717, 848. 59	-	2, 192, 717, 848. 59
1.	38, 525, 965, 404. 43	-	38, 525, 965, 404. 43
2.	-36, 333, 247, 555. 84	-	-36, 333, 247, 555. 84
	-	-187, 326, 953. 30	-187, 326, 953. 30
	6, 219, 758, 729. 86	-	6, 219, 758, 729. 86

6.1 6.4

**6.4****6.4.1**

( “

( “

(

“ 2013 11 7

[2013]1411

2017 8 17

[2017]1517

---

	)		
		A	B
	251, 016, 200. 45		
	1700025		2017
9 8			

		1	2	1	(
1 )		3	397	(	397 )
		4			

( )

**6.4.2**

( “

**6.4.3**

	2019 6 30	2019 1 1
2019 6 30		

**6.4.4**

**6.4.4.1**

**6.4.4.2**

**6.4.5**

		[2008]1	
	[2016]36		[2016]140
			[2017]56
		[2017]90	
1	(		)
	2018 1 1		
			3%
2			
3			20%

**6.4.6****6.4.6.1**

	2019 6 30
	2, 632, 422. 86
	1, 370, 000, 000. 00
1	-
1-3	1, 070, 000, 000. 00
3	300, 000, 000. 00
	-
	1, 372, 632, 422. 86

**6.4.6.2**

	2019 6 30

		-	-	-	-
		4,994,664,631.01	4,998,398,000.00	3,733,368.99	0.0433%
		4,994,664,631.01	4,998,398,000.00	3,733,368.99	0.0433%
		-	-	-	0.0000%
		4,994,664,631.01	4,998,398,000.00	3,733,368.99	0.0433%

**6.4.6.3** /

/

**6.4.6.4****6.4.6.4.1**

:

	2019 6 30	
	-	-
	2,219,548,929.32	-
	2,219,548,929.32	-

**6.4.6.4.2****6.4.6.5**

	2019 6 30
	522.54
	13,938,472.80
	-
	-
	26,406,589.87
	-
	1,612,530.21
	-
	-
	-
	41,958,115.42

**6.4.6.6****6.4.6.7**

	2019 6 30
	-
	103,044.40
	103,044.40

**6.4.6.8**

	2019 6 30
	-
	-
	34,712.18













/	-	-
	60,621,503.17	-
	0.70%	-

	2018 1 1	2018 6 30
	A	B
	57,561,759.09	-
/	1,261,017.89	-
	-	-
/	-	-
	58,822,776.98	-
	0.95%	-

1

2

**6.4.9.4.2**

A

	2019 6 30		2018 12 31	
	411,791.73	0.00%	-	-

**6.4.9.5**

	2019 1 1	2019 6 30	2018 1 1	2018 6 30
	2,632,422.86	19,745.09	5,381,416.02	6,230,949.80

2019 6 30

“ 2018 12 31

**6.4.9.6**

**6.4.9.7**

**6.4.10**

A

39,727,635.40	451,980.81	-469,039.08	39,710,577.13	-

B

53,809,687.34	556,430.02	382,881.16	54,748,998.52	-

**6.4.11 2019 6 30**

**6.4.11.1 /**

/

**6.4.11.2**

**6.4.11.3**

**6.4.11.3.1**

**6.4.11.3.2**

## **6.4.12**

### **6.4.12.1**

### **6.4.12.2**

10%

10%

#### **6.4.12.2.1**

	2019 6 30	2018 12 31
A-1	400,831,201.61	100,002,966.24
A-1	0.00	0.00
	780,252,852.76	380,730,770.96
	1,181,084,054.37	480,733,737.20

**6.4.12.2.2****6.4.12.2.3**

	2019 6 30	2018 12 31
A-1	0.00	0.00
A-1		

---

	1, 294, 403, 165. 51	0. 00
--	----------------------	-------

AA+

**6.4.12.3**

**6.4.12.3.1**

2019 6 30						
	1,372,632,422.86	-	-	-	-	1,372,632,422.86
	4,611,489,232.47	383,175,398.54	-	-	-	4,994,664,631.01
	2,219,548,929.32	-	-	-	-	2,219,548,929.32
	-	-	-	-	-41,958,115.42	41,958,115.42
	-	-	-	-	4,001.00	4,001.00
	-	-	-	-	-	-
	8,203,670,584.65	383,175,398.54	-	-	-41,962,116.42	8,628,808,099.61
	-	-	-	-	905,748.49	905,748.49
	-	-	-	-	301,916.20	301,916.20
	-	-	-	-	360,469.24	360,469.24
	-	-	-	-	103,044.40	103,044.40
	-	-	-	-	33,281.73	33,281.73
	-	-	-	-	1,636,062.38	1,636,062.38
	-	-	-	-	104,972.82	104,972.82
	-	-	-	-	3,445,495.26	3,445,495.26
	8,203,670,584.65	383,175,398.54	-	-	-38,516,621.16	8,625,362,604.35
2018 12 31	6	6 -1	1-5	5		
	604,474,180.29	200,000,000.00	-	-	-	804,474,180.29
	2,393,011,768.77	344,907,870.00	-	-	-	2,737,919,638.77
	1,178,854,008.28	-	-	-	-	1,178,854,008.28
	-	-	-	-	-26,804,557.49	26,804,557.49
	4,176,339,957.34	544,907,870.00	-	-	-26,804,557.49	4,748,052,384.83
	134,965,557.55	-	-	-	-	134,965,557.55
	-	-	-	-	897,488.03	897,488.03
	-	-	-	-	299,162.66	299,162.66
	-	-	-	-	456,487.37	456,487.37
	-	-	-	-	118,107.26	118,107.26
	-	-	-	-	73,056.12	73,056.12

**6.4.12.4.1.2**

		2019 6 30	2018 12 31
	27	2,321,257.50	2,151,575.12
	27	-2,321,257.50	-2,151,575.12

**6.4.12.4.2****6.4.12.4.3****6.4.13**

(1)

(a)

(b)

(i)

2019 6 30

4,994,664,631.01

(ii)

( )



## § 7

### 7.1

:

			%
1		4,994,664,631.01	57.88
		4,994,664,631.01	57.88
		-	-
2		2,219,548,929.32	25.72
		-	-
3		1,372,632,422.86	15.91
4		41,962,116.42	0.49
5		8,628,808,099.61	100.00

### 7.2

:

1			3.91
			-
2		-	-
		-	-

**20%**

20%

### 7.3

#### 7.3.1

	40
	87
	40

**120**

120

**7.3.2**

		%	%
1	30	55.68	-
	397	-	-
2	30 ( )—60	28.36	-
	397	-	-
3	60 ( )—90	6.14	-
	397	-	-
4	90 ( )—120	0.81	-
	397	-	-
5	120 ( )—397	8.57	-
	397	-	-
		99.55	-

**7.4****240**

240

**7.5**

1		69,783,816.47	0.81
2		-	-
3		480,067,381.07	5.57
		480,067,381.07	5.57
4		-	-
5		851,305,544.35	9.87
6			

10	397	-	-
----	-----	---	---

**7.6**

				( )		
1	111696265	16	CD084	5,000,000	500,170,068.69	5.80
2	111696880	16	CD090	4,000,000	400,235,543.62	4.64
3	071900023	19	CP001	2,000,000	200,140,070.41	2.32
4	111809344	18	CD344	2,000,000	199,579,268.24	2.31
5	111814141	18	CD141	2,000,000	199,392,911.06	2.31
6	111883116	18	CD064	2,000,000	199,128,301.21	2.31
7	111910164	19	CD164	2,000,000	195,162,368.68	2.26
8	180209	18	09	1,600,000	160,034,952.27	1.86
9	111882133	18	CD100	1,600,000	159,540,541.54	1.85
10	111696203	16	CD083	1,500,000	150,044,773.05	1.74

**7.7**

0.25( )-0.5%	-
	0.0881%
	-0.0055%
	0.0401%

**0.25%**

0.25% %

**0.5%**

0.5%

**7.8****7.9****7.9.1**

**7.9.2****7.9.3**

1		-
2		-
3		41,958,115.42
4		4,001.00
5		-
6		-
7		-
8		41,962,116.42

**7.9.4**

## § 8

### 8.1

	( )					
A	50,059	64,504.30	2,207,330,836.53	68.36%	1,021,690,117.86	31.64%
B	18	299,796,758.33	5,396,341,649.96	100.00%	0.00	0.00%
	50,077	172,242.00	7,603,672,486.49	88.15%	1,021,690,117.86	11.85%

### 8.2

1		509,650,512.80	5.91%
2		503,463,418.35	5.84%
3		501,272,579.95	5.81%
4		500,531,915.13	5.80%
5		500,240,910.35	5.80%
6		500,199,993.25	5.80%
7		303,523,602.31	3.52%
8		204,539,352.24	2.37%
9		201,144,842.42	2.33%
10		200,905,985.89	2.33%

### 8.3

	A	4,292,606.42	0.13%
	B	0.00	0.00%
		4,292,606.42	0.05%

**8.4**

	A	>100
	B	0
		>100
	A	0~10
	B	0
		0~10

## § 9

	A	B
2017 9 8	251,016,200.45	-
	2,877,435,127.08	1,731,844,753.91
	11,488,981,391.65	13,334,289,653.68
:	11,137,395,564.34	9,669,792,757.63
"_"	-	-
	3,229,020,954.39	5,396,341,649.96

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**§ 10**
**10.1****10.2****10.2.1**

2019 3 2

**10.2.2****10.3****10.4****10.5****10.6****10.7**

	1	-	-	-	-	-
	1	-	-	-	-	-

	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

1

1

1

2

1998 29

2007 48

1

1

2

3

4

2

**10.8****0.5%**

0.5%

**10.9**

1	2018 12 31		2019 1 1
2			2019 1 3
3	( )		2019 1 10
4			2019 1 16
5	2018 4		2019 1 19
6			2019 1 29
7			2019 3 1
8			2019 3 2
9			2019 3 21
10			2019 3 28
11	2018		2019 3 29
12			2019 4 1
13			2019 4 8
14			2019 4 15

15	2019 1		2019 4 20
16	2019 1		2019 4 22
17			2019 4 25
18			2019 4 26
19			2019 5 7
20			2019 5 17
21			2019 6 3
22			2019 6 13
23			2019 6 19
24			2019 6 26
25			2019 6 26
26			2019 6 27

**§ 11**

**11.1**

**20%**

20%

**11.2**

## § 12

### 12.1

1

2

3

4

5

6

### 12.2

### 12.3

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