

2019 4

2019 12 31

2020 1 17

§1

2020 1 15

2019 10 1      2019 12 31

§2

	003059
	2016 9 7
	52,424,703.60
	*80%+ 500
	*20%

	A	C
	003059	008041
	52,424,225.31	478.29

2019 10 21

A C

2019 10

17

C

### §3

#### 3.1

	2019 10 1 2019 12 31	2019 10 21 2019 12 31
	A	C
1	647,810.53	1.95
2	2,025,461.56	18.66
3	0.0341	0.0483
4	56,845,375.26	518.66
5	1.0843	1.0844

1

C

2019 10 21

2019 10 17

C

C

C

C

A

C

2

3

### 3.2

#### 3.2.1

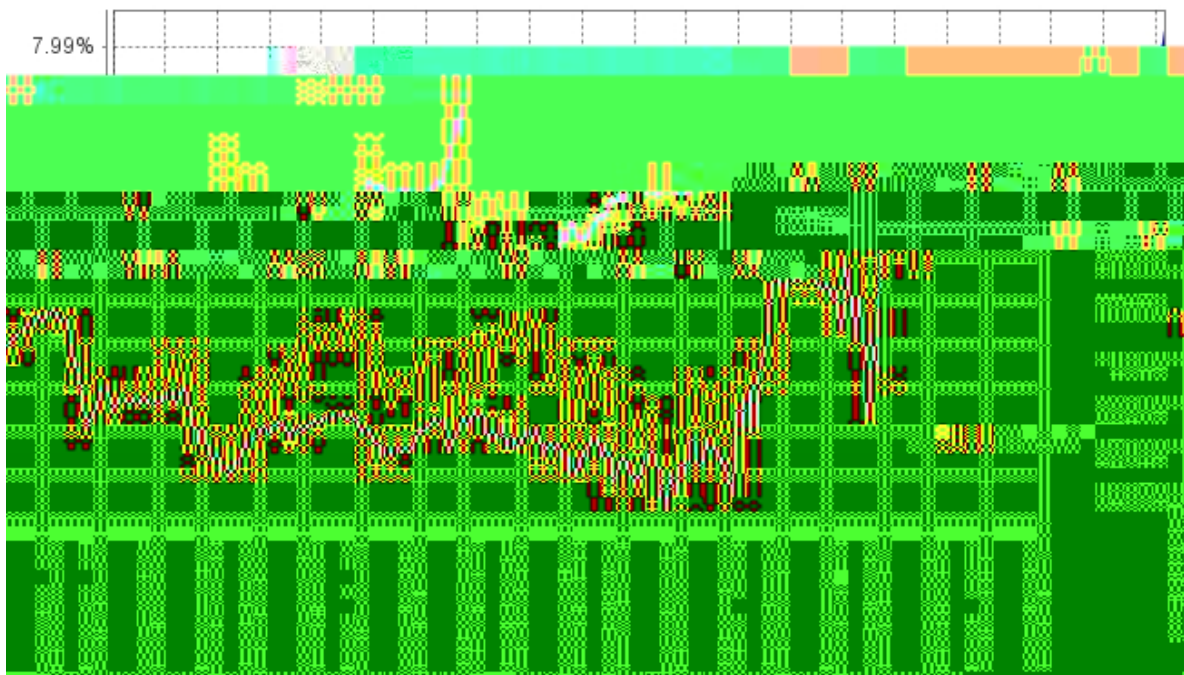
A

	3.69%	0.16%	2.31%	0.19%	1.38%	-0.03%

C

2019 10 21 -2019 12 31	3.68%	0.17%	2.15%	0.18%	1.53%	-0.01%

#### 3.2.2





		2019 9 23	-	4	2017 5

--	--	--	--	--	--

1

2

## 4.2

## 4.3

### 4.3.1

### 4.3.2

5%

## 4.4

### 4.4.1

2019

5%

10.4%

9

MLF +OMO

### 4.4.2 2020

2020

### 4.5

		A	1.0843	1.0843
	3.69%,		2.31%	C
1.0844		1.0844		3.68%,
	2.15%			

## 4.6

## §5

## 5.1

			%
1		15,064,707.00	25.88
		15,064,707.00	25.88
2		-	-
3		38,430,711.64	66.03
		38,430,711.64	66.03
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		4,537,111.36	7.80
8		170,831.18	0.29
9		58,203,361.18	100.00

## 5.2

## 5.2.1

			(%)
A		-	-
B		1,074,112.00	1.89
C		9,783,014.00	17.21
D		-	-
E		-	-
F		285,558.00	0.50
G		991,179.00	1.74
H		-	-
I		280,980.00	0.49

J		2,196,126.00	3.86
K		453,738.00	0.80
L		-	-
M		-	-
N		-	-
O		-	-
P		-	-
Q		-	-
R		-	-
S		-	-
		15,064,707.00	26.50

## 5.2.2

## 5.3

1	601318		10,600	905,876.00	1.59
2	601166		42,400	839,520.00	1.48
3	000858		6,300	837,963.00	1.47
4	600887		22,100	683,774.00	1.20
5	600486		8,600	590,218.00	1.04
6	000063		16,600	587,474.00	1.03
7	600276		6,700	586,384.00	1.03
8	300760		3,200	582,080.00	1.02
9	601088		31,600	576,700.00	1.01
10	000596		4,200	570,864.00	1.00

## 5.4

1		-	-
2		-	-
3		-	-
		-	-
4		1,522,968.00	2.68
5		-	-
6		-	-
7		17,122,743.64	30.12
8		19,785,000.00	34.80
9		-	-

10		38,430,711.64	67.61
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**5.5**

1	111909407	19	CD407	100,000	9,927,000.00	17.46
2	111903175	19	CD175	100,000	9,858,000.00	17.34
3	110059	N		24,710	2,699,320.40	4.75
4	143496	16		14,880	1,522,968.00	2.68
5	110048			5,550	672,882.00	1.18

**5.6****5.7****5.8****5.9****5.9.1****5.9.2****5.10****5.10.1****5.10.2****5.10.3**

**5.11****5.11.1****5.11.2****5.11.3**

1		15,429.15
2		-
3		-
4		155,402.03
5		-
6		-
7		-
8		-
9		170,831.18

**5.11.4**

			( )	
1	110048		672,882.00	1.18
2	113011		646,985.40	1.14
3	127012		630,245.00	1.11
4	128045		600,288.00	1.06
5	113020		586,270.00	1.03
6	128021		563,385.48	0.99
7	113508		528,573.60	0.93
8	110057		514,019.20	0.90
9	128058		476,910.20	0.84
10	128019	2	442,464.80	0.78
11	113025		427,191.30	0.75
12	113504		396,310.20	0.70
13	110041		392,583.60	0.69
14	110047		367,326.90	0.65
15	127013		355,992.70	0.63
16	113530		343,337.60	0.60
17	123028		290,724.00	0.51

18	123025		163,965.56	0.29
19	123009		124,170.00	0.22

**5.11.5****5.11.6****§6**

	A	C
	85,196,774.58	-
	2,835.15	478.29
:	32,775,384.42	-
"_"	-	-
	52,424,225.31	478.29

C 2019 10 21

**§7****7.1****7.2****§8****8.1****20%**

20%

## 8.2

## §9

## 9.1

- 1
- 2
- 3
- 4
- 5
- 6

## 9.2

## 9.3

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2020 1 17