

2020 1

2020 3 31

2020 4 22

§1

2020 4 20

2020 1 1      2020 3 31

§2

|  |                |
|--|----------------|
|  |                |
|  |                |
|  | 519933         |
|  | 519933         |
|  |                |
|  | 2016 6 28      |
|  | 602,780,105.85 |
|  |                |
|  | 1              |
|  | 2              |
|  | 3              |
|  | " "            |

|  |   |        |           |
|--|---|--------|-----------|
|  | 4 |        |           |
|  |   | × 90%+ | 800 × 10% |
|  |   |        |           |
|  |   |        |           |
|  |   |        |           |

### §3

#### 3.1

|    | 2020 1 1 | 2020 3 31      |
|----|----------|----------------|
| 1. |          | 5,784,822.11   |
| 2. |          | 17,456,572.23  |
| 3. |          | 0.0389         |
| 4. |          | 673,125,265.76 |
| 5. |          | 1.1167         |

1

2



|  |  |            |        |    |      |
|--|--|------------|--------|----|------|
|  |  | 16         |        |    | 2013 |
|  |  | 2018<br>24 | 3<br>- | 14 | 2006 |

|  |     |              |   |   |      |
|--|-----|--------------|---|---|------|
|  |     |              |   |   |      |
|  |     |              |   |   | 2013 |
|  | LOF | 2019 9<br>16 | - | 8 | LOF  |

1

2

**4.2**

**4.3**

**4.3.1**

**4.3.2**

5%

**4.4**

**4.4.1**

-1.94%                      4.1%                      9.83%                      300                      -10.02%

**4.4.2 2020**

2020

Q2

Q1



## 5.2

### 5.2.1

|   |  |               | (%)   |
|---|--|---------------|-------|
| A |  | -             | -     |
| B |  | -             | -     |
| C |  | 68,846,162.40 | 10.23 |
| D |  | -             | -     |
| E |  | -             | -     |
| F |  | 3,549,000.00  | 0.53  |
| G |  | -             | -     |
| H |  | -             | -     |
| I |  | 79,030.00     | 0.01  |
| J |  | -             | -     |
| K |  | -             | -     |
| L |  | 1,350,720.00  | 0.20  |
| M |  | 2,877,582.00  | 0.43  |
| N |  | -             | -     |
| O |  | -             | -     |
| P |  | -             | -     |
| Q |  | 1,220,780.00  | 0.18  |
| R |  | -             | -     |
| S |  | -             | -     |
|   |  | 77,923,274.40 | 11.58 |

### 5.2.2

## 5.3

| 1 | 600521 |  | 564,900 | 14,517,930.00 | 2.16 |
|---|--------|--|---------|---------------|------|
| 2 | 002332 |  | 484,600 | 6,765,016.00  | 1.01 |
| 3 | 603369 |  | 203,500 | 5,728,525.00  | 0.85 |
| 4 | 600519 |  | 4,900   | 5,443,900.00  | 0.81 |
| 5 | 600887 |  | 172,200 | 5,141,892.00  | 0.76 |
| 6 | 600276 |  | 43,600  | 4,012,508.00  | 0.60 |

|    |        |  |         |              |      |
|----|--------|--|---------|--------------|------|
| 7  | 000895 |  | 100,783 | 3,960,771.90 | 0.59 |
| 8  | 600298 |  | 102,200 | 3,597,440.00 | 0.53 |
| 9  | 600872 |  | 75,100  | 3,589,780.00 | 0.53 |
| 10 | 002697 |  | 300,000 | 2,919,000.00 | 0.43 |

**5.4**

|    |  |  |                |  |       |
|----|--|--|----------------|--|-------|
|    |  |  |                |  |       |
| 1  |  |  | 8,014,400.00   |  | 1.19  |
| 2  |  |  | -              |  | -     |
| 3  |  |  | 55,545,112.30  |  | 8.25  |
|    |  |  | 55,545,112.30  |  | 8.25  |
| 4  |  |  | 129,621,740.40 |  | 19.26 |
| 5  |  |  | -              |  | -     |
| 6  |  |  | 290,587,000.00 |  | 43.17 |
| 7  |  |  | 102,805,185.90 |  | 15.27 |
| 8  |  |  | -              |  | -     |
| 9  |  |  | -              |  | -     |
| 10 |  |  | 586,573,438.60 |  | 87.14 |

**5.5**

|   |           |    |        |         |               |      |
|---|-----------|----|--------|---------|---------------|------|
|   |           |    |        |         |               |      |
| 1 | 190215    | 19 | 15     | 300,000 | 30,984,000.00 | 4.60 |
| 2 | 101901550 | 19 | MTN003 | 300,000 | 30,927,000.00 | 4.59 |
| 3 | 101901616 | 19 | MTN001 | 300,000 | 30,900,000.00 | 4.59 |
| 4 | 102000004 | 20 | MTN001 | 300,000 | 30,579,000.00 | 4.54 |
| 5 | 155510    | 19 | 01     | 250,000 | 25,525,000.00 | 3.79 |

**5.6****5.7****5.8**

**5.9****5.9.1****5.9.2****5.9.3****5.10****5.10.1****5.10.2****5.10.3**

|   |  |               |
|---|--|---------------|
|   |  |               |
| 1 |  | 127,253.25    |
| 2 |  | 1,163,572.84  |
| 3 |  | -             |
| 4 |  | 7,019,548.69  |
| 5 |  | 10,271,857.86 |
| 6 |  | -             |
| 7 |  | -             |
| 8 |  | -             |
| 9 |  | 18,582,232.64 |

**5.10.4**

|   |        |       | ( )          |      |
|---|--------|-------|--------------|------|
| 1 | 132015 | 18 EB | 7,002,145.80 | 1.04 |
| 2 | 113537 |       | 4,682,610.00 | 0.70 |
| 3 | 127013 |       | 3,059,973.07 | 0.45 |
| 4 | 128075 |       | 1,697,968.95 | 0.25 |
| 5 | 113026 |       | 1,577,100.00 | 0.23 |
| 6 | 113011 |       | 894,644.00   | 0.13 |

|   |        |  |            |      |
|---|--------|--|------------|------|
| 7 | 110051 |  | 105,723.00 | 0.02 |
|---|--------|--|------------|------|

**5.10.5**

**5.10.6**

**§6**

|     |                |
|-----|----------------|
|     | 448,314,342.21 |
|     | 311,306,221.26 |
| :   | 156,840,457.62 |
| "_" | -              |
|     | 602,780,105.85 |

**§7**

**7.1**

**7.2**

**§8**

**8.1**

**20%**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

|   |   | 20%             |                |                |                |                |        |
|---|---|-----------------|----------------|----------------|----------------|----------------|--------|
|   | 1 | 2020<br>1<br>1  | 124,594,235.40 | 0.00           | 124,594,235.40 | 0.00           | 0.00%  |
|   | 2 | 2020<br>2<br>18 | 98,943,565.49  | 0.00           | 0.00           | 98,943,565.49  | 16.41% |
|   | 3 | 2020<br>1<br>1  | 165,206,839.93 | 0.00           | 0.00           | 165,206,839.93 | 27.41% |
|   | 4 | 2020<br>3<br>19 | 0.00           | 181,290,826.42 | 0.00           | 181,290,826.42 | 30.08% |
|   | - | -               | -              | -              | -              | -              | -      |
| 1 |   |                 |                |                |                |                |        |
| 2 |   |                 |                |                |                |                |        |

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## 8.2

## §9

### 9.1

1

2

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4

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### 9.2

### 9.3

<http://www.cxfund.com.cn>

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