

2019

2019 12 31

2020 4 27

§ 1

1.1

“ ”

2020 4 23

2019 1 1      2019 12 31

**1.2****§ 1**

1.1	.....	2
1.2	.....	3
2.1	.....	5
2.2	.....	5
2.3	.....	5
2.4	.....	6
2.5	.....	6

**§ 3**

3.1	.....	7
3.2	.....	8
3.3	.....	11
4.1	.....	12
4.2	.....	14
4.3	.....	14
4.4	.....	15
4.5	.....	16
4.6	.....	17
4.7	.....	17
4.8	.....	18
4.9	.....	18
5.1	.....	19
5.2	.....	19
5.3	.....	19
6.1	.....	20
6.2	.....	20
7.1	.....	22
7.2	.....	23
7.3	.....	24
7.4	.....	25
8.1	.....	54
8.2	.....	54
8.3	.....	55
8.4	.....	56
8.5	.....	59
8.6	.....	59
8.7	.....	59





	200120	100031

## 2.4

	www.cxfund.com.cn
	28 68 9 F9

## 2.5

		100 50
	A E	A 17 E 68 9

## § 3

### 3.1

3

**3.2**

**3.2.1**

A





**3.2.3**

	E		2018	9	7	2019	12	31
2018		E						
	A		2015	1	1	2019	12	31

## 3.3

A					
	10				
2019	0.750	61,470,417.93	16,992,135.49	78,462,553.42	
2018	-	-	-	-	
2017	2.450	473,501,713.52	122,210,157.39	595,711,870.91	
	3.200	534,972,131.45	139,202,292.88	674,174,424.33	

E					
	10				
2019	1.400	307,957.22	289,857.38	597,814.60	
2018	-	-	-	-	
	1.400	307,957.22	289,857.38	597,814.60	

4.8



FOF  
300

FOF

#### 4.1.2

					2008 11
		2017 11 2	-	13	5 6 2015 9 6 2016
					8 2016 9

---

--	--	--	--	--	--

1

2

## 4.2

## 4.3

### 4.3.1

1

2

1

2

5 1

3

ВООБЩЕНИЕ И  
X 4

5

" " 2004 2005  
2008  
2009

2004

2010-2012

**4.4.2**

	A	1.665		2.435
	A	50.36%	E	1.614
	1.754		E	51.38%
	29.52%			

**4.5**

ICU

4.6

4.7

“ ”

1/2

### 4.8

	2019 9 11	2019 9 16	2019 9 18	2019
9 9				
79,060,368.02		61,778,375.15		
1				
2				6
			30%	
3				
3				
4				
5				15
6				
7				

### 4.9

**§5**

**5.1**

2019 1 1 2019 12 31

—

**5.2**

**5.3**

## §6

### 6.1

	2020	61399737_B19

### 6.2

	2019 12 31                      2019
	2019                                      2019 12 31
	2019
	"    "
	-
	-



## §7

## 7.1

2019 12 31

		2019 12 31	2018 12 31
	7.4.7.1	10,309,261.53	76,009,006.58
		1,845,339.62	2,061,182.78
		945,547.37	942,247.84
	7.4.7.2	2,008,623,688.68	1,982,858,889.60
		1,908,483,688.68	1,877,233,040.10
		-	-
		100,140,000.00	105,625,849.50
		-	-
		-	-
	7.4.7.3	-	-
	7.4.7.4	-	-
		-	2,491,632.35
	7.4.7.5	698,193.12	1,249,994.45
		-	-
		386,995.81	48,823.23
		-	-
	7.4.7.6	-	-
		2,022,809,026.13	2,065,661,776.83
		2019 12 31	2018 12 31
		-	-
		-	-
	7.4.7.3	-	-
		-	-
		1,087.60	-
		2,778,323.10	231,782.72
		2,539,676.08	2,655,150.65
		423,279.36	442,525.08
		-	-
	7.4.7.7	1,478,719.26	766,566.10
		-	-
		-	-

		-	-
		-	-
	7.4.7.8	337,356.26	414,970.86
		7,558,441.66	4,510,995.41
	7.4.7.9	1,210,359,367.19	1,777,454,364.02
	7.4.7.10	804,891,217.28	283,696,417.40
		2,015,250,584.47	2,061,150,781.42
		2,022,809,026.13	2,065,661,776.83

2019 12 31

A

1.665

E 1.614

1,210,359,367.19

A

1,207,158,887.11

E 3,200,480.08

## 7.2

2019 1 1 2019 12 31

		2019 1 1 2019 12 31	2018 1 1 2018 12 31
		896,031,531.38	-738,443,117.12
1.		3,111,787.78	6,301,701.76
	7.4.7.11	517,661.84	734,096.10
		2,594,125.94	5,567,605.66
		-	-
		-	-
		-	-
		-	-
2.	" -"	410,385,159.51	-352,007,013.64
	7.4.7.12	381,442,368.40	-404,595,532.75
		-	-
	7.4.7.13	-69,355.80	645,180.00
	7.4.7.13.5	-	-
	7.4.7.14	-	-
	7.4.7.15	-	-
	7.4.7.16	29,012,146.91	51,943,339.11
3.	7.4.7.17	481,755,624.97	-394,346,638.54
" -"			
4.	" -"	-	-

5.	" -"	7.4.7.18	778,959.12	1,608,833.30
			51,653,664.31	70,321,481.64
1		7.4.10.2.1	29,961,072.30	44,210,671.54
2		7.4.10.2.2	4,993,511.98	7,368,445.18
3			-	-
4		7.4.7.19	16,450,615.08	18,417,813.31
5			4,868.41	3,810.08
			4,868.41	3,810.08
6			-	-
7		7.4.7.20	243,596.54	320,741.53
	" -"		844,377,867.07	-808,764,598.76
			-	-
	" -"		844,377,867.07	-808,764,598.76

## 7.3

2019 1 1 2019 12 31

	2019 1 1 2019 12 31		
	1,777,454,364.02	283,696,417.40	2,061,150,781.42
	-	844,377,867.07	844,377,867.07
" -"	-567,094,996.83	-244,122,699.17	-811,217,696.00
1.	525,903,496.73	283,546,620.56	809,450,117.29
2.	-1,092,998,493.56	-527,669,319.73	-1,620,667,813.29
	-	-79,060,368.02	-79,060,368.02
" -"			

	1, 210, 359, 367. 19	804, 891, 217. 28	2, 015, 250, 584. 47
	<b>2018 1 1 2018 12 31</b>		
	2, 158, 730, 780. 16	1, 188, 745, 648. 40	3, 347, 476, 428. 56
	-	-808, 764, 598. 76	-808, 764, 598. 76
" _"	-381, 276, 416. 14	-96, 284, 632. 24	-477, 561, 048. 38
1.	707, 845, 111. 02	381, 292, 427. 04	1, 089, 137, 538. 06
2.	-1, 089, 121, 527. 16	-477, 577, 059. 28	-1, 566, 698, 586. 44
	-	-	-
" _"			
	1, 777, 454, 364. 02	283, 696, 417. 40	2, 061, 150, 781. 42

7.1 7.4

**7.4****7.4.1**

" " )

" "

[2011]439

2011 10 20

355, 399, 768. 39

2014 8 8

2015 8 7

2018 9 7

A

E

60%-95%

5%-40%

5%

0%-3%

80%

300

\*80%+

\*20%

### 7.4.2

—

"

"

2

**7.4.3**

2019 12 31

2019

**7.4.4****7.4.4.1**

1 1 12 31

**7.4.4.2****7.4.4.3**

(1)

(2)

**7.4.4.4**

(1)

(2)

(3)

(4)

(2) (3)

(5)

#### 7.4.4.5

(1)

(2)

(3)

(4)

**7.4.4.6**

**7.4.4.7**

**7.4.4.8**

/

/

" "

" / "

**7.4.4.9 / ( )**

(1)

(2)

(3)

(4)

(5) /

(6) /

(7) / ( )

(8) /

(9)

(10) /

(11)

**7.4.4.10**

**7.4.4.11**

(1)

(2)

6

30%

3

(3)

(4)

(5)

15

(6)

(7)

**7.4.4.12**

- (1)
- (2)
- (3)

**7.4.4.13**

1)

( ) > ( [2017]6 ) <

2)

) ( ( [2017]13 ) (AMAC) ( [2013]13 )

3)

< 2015 1 > ( [2014]24 ) ( )

**7.4.5**

**7.4.5.1**

### 7.4.5.2

### 7.4.5.3

### 7.4.6

#### 7.4.6.1

			2008	4	24
3‰	1‰				
			2008	9	19

[2005]103

#### 7.4.6.2

[2016]36  
2016 5 1

[2016]46

[2016]70

[2016]140

[2017]56

2018 1 1

3%

2018 1 1

### 7.4.6.3

2011

2011

### 7.4.6.4

[2004]78

2004 1 1

[2005]103

[2008]1

### 7.4.6.5

[2005]103

[2008]132

2008 10 9

[2012]85

2013 1 1

1 1

1 1 1 50%

1

25%

20%

		15,998,245.80	15,706,849.50
		89,742,870.00	89,919,000.00
		105,741,115.80	105,625,849.50
		-	-
		-	-
		-	-
		2,195,945,325.71	1,982,858,889.60
			-213,086,436.11

**7.4.7.3**

/

/

**7.4.7.4****7.4.7.4.1****7.4.7.4.2****7.4.7.5**

	2019 12 31	2018 12 31
	3,314.28	16,061.54
	-	-
	-	-
	913.44	1,020.25
	693,497.27	1,232,345.87
	-	-
	-	-
	0.08	100.39
	-	-
	-	-
	468.05	466.40
	698,193.12	1,249,994.45

**7.4.7.6**

## 7.4.7.7

	2019 12 31	2018 12 31
	1,478,319.26	766,041.10
	400.00	525.00
	1,478,719.26	766,566.10

## 7.4.7.8

	2019 12 31	2018 12 31
	-	-
	2,856.26	470.86
	-	-
	4,500.00	4,500.00
	90,000.00	90,000.00
	240,000.00	320,000.00
A		414,970.86

## 7.4.7.9

		A		
		2019 1 1	2019 12 31	
		1,777,453,546.91	1,777,453,546.91	
		519,202,707.99	519,202,707.99	
	" -"	-1,089,497,367.79	-1,089,497,367.79	
-	/			-
	/			-

□

- /	-	-
/	-	-
	-	-
" -"	-	-
	3,200,480.08	3,200,480.08

**7.4.7.10**

A			
	-342,717,157.12	626,413,440.17	283,696,283.05
	361,920,214.51	481,370,419.81	843,290,634.32
	65,819,564.42	-311,418,607.50	-245,599,043.08
	-23,763,800.86	303,823,972.56	280,060,171.70
	89,583,365.28	-615,242,580.06	-525,659,214.78
	-78,462,553.42	-	-78,462,553.42
	6,560,068.39	796,365,252.48	802,925,320.87

E			
	144.41	-10.06	134.35
	702,027.59	385,205.16	1,087,232.75
	926,003.41	550,340.50	1,476,343.91
	2,054,967.84	1,431,481.02	3,486,448.86
	-1,128,964.43	-881,140.52	-2,010,104.95
	-597,814.60	-	-597,814.60
	1,030,360.81	935,535.60	1,965,896.41

**7.4.7.11**

	2019	1	1	2019	2018	1	1	2018	12
		12	31				31		
				420,287.49				586,725.73	
				-				-	
				-				-	
				70,136.39				93,148.52	
				27,237.96				54,221.85	
				517,661.84				734,096.10	

**7.4.7.12****7.4.7.12.1**      —

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
	5,885,632,433.51	6,533,239,900.78
	5,504,190,065.11	6,937,835,433.53
	381,442,368.40	-404,595,532.75

**7.4.7.13****7.4.7.13.1**

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
---	-69,355.80	645,180.00
---	-	-
---	-	-
	-69,355.80	645,180.00

**7.4.7.13.2**      —

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
	133,409,975.02	175,972,818.91
	129,770,755.80	169,443,080.00
	3,708,575.02	5,884,558.91
	-69,355.80	645,180.00

**7.4.7.13.3**      —**7.4.7.13.4**      —**7.4.7.13.5**

**7.4.7.14****7.4.7.14.1****7.4.7.14.2****7.4.7.15****7.4.7.15.1****7.4.7.15.2****7.4.7.16**

	2019	1	1	2019	2018	1	1	2018	12
		12	31				31		
				29,012,146.91				51,943,339.11	
				-				-	
				-				-	
				29,012,146.91				51,943,339.11	

**7.4.7.17**

	2019	1	1	2019	2018	1	1	2018
		12	31			12	31	
1.				481,755,624.97				-394,346,638.54
---				481,388,758.67				-394,231,372.24
---				366,866.30				-115,266.30
---				-				-
---				-				-
---				-				-
2.				-				-
---				-				-
3.				-				-
:				-				-

	481,755,624.97	-394,346,638.54

**7.4.7.18**

	2019 12	1 31	1 31	2019	2018 12	1 31	1 31	2018
				712,932.89				1,036,477.22
				66,026.23				572,356.08
				778,959.12				1,608,833.30

A

7

25%

E

30

30

3

75%

3

6

50%

6

25%

**7.4.7.19**

	2019 12	1 31	1 31	2019	2018 12	1 31	1 31	2018
				16,449,840.08				18,415,963.31
				775.00				1,850.00
				-				-
				-				-
				-				-
				16,450,615.08				18,417,813.31

**7.4.7.20**

	2019 12	1 31	1 31	2019	2018 12	1 31	1 31	2018
				90,000.00				90,000.00
				120,000.00				200,000.00
				-				-
				18,000.00				18,030.00
				15,596.54				12,711.53
				243,596.54				320,741.53

**7.4.8**

**7.4.8.1**

**7.4.8.2**

	2020	4	17		2020	4	21
	A			10	0.820		E
10			1.300				

**7.4.9**

" "	
" "	
" " )	
" " )	
" "	
" )	
" "	
" "	

**7.4.10**

**7.4.10.1**

**7.4.10.1.1**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
	5,237,777,245.28			47.92%			5,241,966,915.85			41.67%		

**7.4.10.1.2**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
				-			14,999,085.10			93.75%		

**7.4.10.1.3****7.4.10.1.4****7.4.10.1.5**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
	4,722,347.41			49.40%			513,920.04			34.76%		
	4,757,248.50			44.18%			423,335.97			55.26%		

**7.4.10.2****7.4.10.2.1**

2019	1	1	2019	12	2018	1
		31				

**7.4.10.5****7.4.10.5.1**

## 7.4.10.5.2

**7.4.10.6**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
				10,309,261.53		420,287.49		76,009,006.58			586,725.73	

2019 12 31

" "

2018 12 31

**7.4.10.7****7.4.10.8****7.4.11**

A

			10				
2019 1 9 16	-	2019 9 16	0.750	61,470,417.93	16,992,135.49	78,462,553.42	
-	-		0.750	61,470,417.93	16,992,135.49	78,462,553.42	

E

				10				
1	2019 9 16 -		2019 9 16	1.400	307,957.22	289,857.38	597,814.60	
	-	-		1.400	307,957.22	289,857.38	597,814.60	

**7.4.12**            2019    12    31

**7.4.12.1**            /

7.4.12.1.1

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		28	6							
--	--	----	---	--	--	--	--	--	--	--

"

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**7.4.12.2****7.4.12.3****7.4.12.3.1****7.4.12.3.2****7.4.12.4****7.4.13****7.4.13.1**

"

"

**7.4.13.2**

10%

10%

**7.4.13.2.1**

	2019 12 31	2018 12 31
A-1	-	-
A-1	-	-
	100,140,000.00	89,919,000.00
	100,140,000.00	89,919,000.00

**7.4.13.2.2****7.4.13.2.3****7.4.13.2.4**

	2019 12 31	2018 12 31
AAA	-	-
AAA	-	-
	-	15,706,849.50
	-	15,706,849.50

**7.4.13.2.5**

**7.4.13.2.6**

**7.4.13.3**

**7.4.13.3.1**

**7.4.13.4**

**7.4.13.4.1**

## 7.4.13.4.1.1

2019 12 31	6	6 -1	1-5	5		
	10,309,261.53	-	-	-	-	10,309,261.53
	1,845,339.62	-	-	-	-	1,845,339.62
	945,547.37	-	-	-	-	945,547.37
	-100,140,000.00	-	-	-	-1,908,483,688.68	2,008,623,688.68
	-	-	-	-	698,193.12	698,193.12
	2,982.11	-	-	-	384,013.70	386,995.81
	-	-	-	-	-	-
	13,103,130.63	100,140,000.00	-	-	-1,909,565,895.50	2,022,809,026.13
	-	-	-	-	1,087.60	1,087.60
	-	-	-	-	2,778,323.10	2,778,323.10
	-	-	-	-	2,539,676.08	2,539,676.08
	-	-	-	-	423,279.36	423,279.36
	-	-	-	-	1,478,719.26	1,478,719.26
	-	-	-	-	337,356.26	337,356.26
	-	-	-	-	7,558,441.66	7,558,441.66
	13,103,130.63	100,140,000.00	-	-	-1,902,007,453.84	2,015,250,584.47
2018 12 31	6	6 -1	1-5	5		
	76,009,006.58	-	-	-	-	76,009,006.58
	2,061,182.78	-	-	-	-	2,061,182.78
	942,247.84	-	-	-	-	942,247.84
	15,706,849.50	89,919,000.00	-	-	-1,877,233,040.10	1,982,858,889.60
	-	-	-	-	2,491,632.35	2,491,632.35
	-	-	-	-	1,249,994.45	1,249,994.45
	4,940.71	-	-	-	43,882.52	48,823.23
	94,724,227.41	89,919,000.00	-	-	-1,881,018,549.42	2,065,661,776.83

		-	-	-	231,782.72	231,782.72
		-	-	-	2,655,150.65	2,655,150.65
		-	-	-	442,525.08	442,525.08
		-	-	-	766,566.10	766,566.10
		-	-	-	414,970.86	414,970.86
		-	-	-	4,510,995.41	4,510,995.41
94,724,227.41	89,919,000.00	-	-	-	1,876,507,554.01	2,061,150,781.42

**7.4.13.4.3.1**

	2019 12 31		2018 12 31	
		%		%
-	1,908,483,688.68	94.70	1,877,233,040.10	91.08
	-	-	-	-
	100,140,000.00	4.97	105,625,849.50	5.12
	-	-	-	-
	-	-	-	-
	-	-	-	-
	2,008,623,688.68	99.67	1,982,858,889.60	96.20

**7.4.13.4.3.2**

95%				
	2019 12 31	2018 12 31	2019 12 31	2018 12 31
VaR 3.53% 2018 12 31 VaR 3.90%)			-71,052,758.33	-80,340,248.20

VaR

95%

2018

**7.4.14**

7.4.14.1

7.4.14.1.1

7.4.14.1.2

7.4.14.1.2.1

2019 12 31

	1,907,157,590.14			
100,424,902.05		1,041,196.49	2018	12 31
1,876,990,085.58		105,675,995.30		
192,808.72				
<b>7.4.14.1.2.2</b>				
<b>7.4.14.1.2.3</b>				
	192,808.72			0.00
	224,621.28			104,914.71
	968,094.34	0.00		
1,041,196.49				
73,102.15				
<b>7.4.14.2</b>				
<b>7.4.14.3</b>				

## §8

## 8.1

			%
1		1,908,483,688.68	94.35
		1,908,483,688.68	94.35
2		-	-
3		100,140,000.00	4.95
		100,140,000.00	4.95
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		12,154,601.15	0.60
8		2,030,736.30	0.10
9		2,022,809,026.13	100.00

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N		6,578.04	0.00
O		-	-
P		-	-
Q		118,949,911.53	5.90
R		96,385,694.79	4.78
S		-	-
		1,908,483,688.68	94.70

### 8.2.2

### 8.3

24	688166		8,041	206,171.24	0.01
25	688181		4,306	189,377.88	0.01
26	688078		2,811	143,642.10	0.01
27	688138		10,066	139,816.74	0.01
28	002970		787	96,478.33	0.00
29	688081		3,153	88,946.13	0.00
30	603995		1,888	51,278.08	0.00
31	002972		1,075	21,532.25	0.00
32	603109		684	18,105.48	0.00
33	002973		1,146	6,578.04	0.00

## 8.4

### 8.4.1

2% 20

1	600309		307,966,033.49		14.94
2	000625		288,782,249.97		14.01
3	601633		248,116,904.64		12.04
4	603589		220,096,690.70		10.68
5	603259		217,637,537.85		10.56
6	000858		199,036,310.71		9.66
7	601933		176,978,467.88		8.59
8	600132		153,536,432.94		7.45
9	600340		147,979,191.96		7.18
10	600872		135,512,739.64		6.57
11	000661		131,119,630.25		6.36
12	300144		125,036,969.34		6.07
13	603369		124,607,245.04		6.05
14	603881		121,712,229.88		5.91
15	002372		120,234,770.83		5.83
16	601318		120,056,430.29		5.82
17	603345		114,968,203.27		5.58
18	002044		109,986,989.08		5.34
19	603816		107,472,031.07		5.21
20	300760		105,950,652.88		5.14
21	300601		100,353,824.42		4.87

22	600276		95,967,807.75	4.66
23	000596		90,931,851.84	4.41
24	600104		89,985,657.68	4.37
25	600600		86,664,886.50	4.20
26	603960		82,922,831.13	4.02
27	000651		80,488,450.80	3.91
28	002697		79,989,700.98	3.88
29	601689		79,472,138.42	3.86
30	600583		77,943,340.33	3.78
31	601808		75,897,034.78	3.68
32	002507		74,243,747.17	3.60
33	603711		69,743,366.60	3.38
34	000425		69,597,202.00	3.38
35	603708		68,564,214.00	3.33
36	002572		61,494,685.09	2.98
37	603866		58,564,200.66	2.84
38	603236		50,253,802.78	2.44
39	600622		49,994,934.60	2.43
40	600519		49,886,613.94	2.42

" "

**8.4.2****2% 20**

1	603589		427,304,750.08	20.73
2	601933		343,645,148.98	16.67
3	000625		306,342,731.22	14.86
4	600519		300,497,083.51	14.58
5	601633		256,874,221.82	12.46
6	002372		233,160,076.90	11.31
7	601877		229,056,882.88	11.11
8	300383		221,534,193.66	10.75
9	600309		217,445,738.88	10.55
10	000651		185,811,340.17	9.01
11	000895		166,802,760.08	8.09
12	002410		157,420,317.21	7.64

13	603708		156,726,350.08	7.60
14	603369		154,541,606.07	7.50
15	603259		152,462,314.78	7.40
16	603866		149,631,139.41	7.26
17	600340		148,914,189.99	7.22
18	600872		144,866,503.07	7.03
19	603960		128,480,124.13	6.23
20	000333		126,241,244.93	6.12
21	000596		123,345,398.40	5.98
22	603816		120,711,388.12	5.86
23	603881		116,428,928.66	5.65
24	601318		115,097,825.01	5.58
25	000858		106,553,734.18	5.17
26	600271		97,713,519.54	4.74
27	600104		90,602,796.31	4.40
28	600132		88,644,706.88	4.30
29	600600		78,488,035.24	3.81
30	601689		71,189,357.84	3.45
31	000425		60,432,575.48	2.93
32	603711		60,021,857.10	2.91
33	002572		52,949,401.01	2.57
34	300144		52,195,742.65	2.53
35	600622		52,025,620.20	2.52
36	000661		47,159,513.28	2.29
37	002138		43,433,714.29	2.11
38	603236		42,190,817.20	2.05

" "

**8.4.3**

	5,054,051,955.02
	5,885,632,433.51

" " "

**8.5**

1		-	-
2		-	-
3		100,140,000.00	4.97
		100,140,000.00	4.97
4		-	-
5		-	-
6		-	-
7		-	-
8		-	-
9		-	-
10		100,140,000.00	4.97

**8.6**

1	190211	19 11	1,000,000	100,140,000.00	4.97

**8.7****8.8****8.9****8.10****8.10.1****8.10.2**

**8.11****8.11.1****8.11.2****8.11.3****8.12****8.12.1****8.12.2****8.12.3**

1		945,547.37
2		-
3		-
4		698,193.12
5		386,995.81
6		-
7		-
8		-
9		2,030,736.30

**8.12.4****8.12.5****8.12.6**

## § 9

## 9.1

	( )					
A	36,926	32,691.30	860,339,279.87	71.27%	346,819,607.24	28.73%
E	52	61,547.69	0.00	0.00%	3,200,480.08	100.00%
	36,978	32,731.88	860,339,279.87	71.08%	350,020,087.32	28.92%

## 9.2

	A	553,795.50	0.05%
	E	0.00	0.00%
		553,795.50	0.05%

## 9.3

	A	0-10
	E	0
		0-10
	A	0-10
	E	0
		0-10

§ 10

A

E

2011 年 10 月 20

## § 11

### 11.1





	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

**11.8**

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1      2018   12   31

2019   1   1

2                    (                    )

2019

10				2019	3	28	
11	2018			2019	3	29	
12				2019	3	30	
13				2019	4	8	
14				2019	4	15	
15	2019	1		2019	4	22	
16				2019	4	26	
17				2019	5	7	
18				2019	5	14	
19				2019	5	22	
20			2019	1	2019	6	3
21				2019	6	22	

22			2019 6 26
23			2019 6 27
24	2019 6 30		2019 7 1
25	2019 2		2019 7 18
26			2019 7 30
27	2019		2019 8 27
28			2019 9 11
29			2019 9 11
30			2019 9 12
31			2019 9 25
32	2019 3		2019 10 23
33	2019		2019 10 23
34	" "		2019 12 26

35			2019 12 30
36			2019 12 30
37	66		2019 12 31
38	66		2019 12 31
39			2019 12 31
40			2019 12 31
41			2019 12 31

**§12**

**12.1**

**20%**

		20%						
		2019 2						
		21						
		2019 3						
	1	6	332,968,018.16	0.00	272,968,018.16	60,000,000.00	4.96%	
		2019 3						
		13						
		2019 8						
		19						
	-	-	-	-	-	-	-	-
1								
2								
3								

**12.2**

## §13

### 13.1

- 1
- 2
- 3
- 4
- 5
- 6

### 13.2

### 13.3

<http://www.cxfund.com.cn>

2020 4 27