

2019

2019 12 31

2020 4 27

§ 1

**1.1**

2020 4 23

2019 1 1      2019 12 31

<b>1.2</b>		
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1.1	.....	2
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12.2	.....	63
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13.1	.....	64
13.2	.....	64
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## §2

### 2.1

	CZYH	
	519985	
	2014 7 1	
	2,720,323,225.73	
	A	C
	CXCZYHA	-
	519985	004220
	2,421,099,602.45	299,223,623.28

### 2.2

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	2
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	8

### 2.3

	021-61009999	010-68858113
	zhouyg@cxfund.com.cn	tiandonghui@psbcoa.com.cn
	4007005566	95580
	021-61009800	010-68858120

	68 9	3
	68 9	3 A
	200120	100808

## 2.4

	www.cxfund.com.cn
	68 9 3 A

## 2.5

		222 30
	A C	A 17 C 68 9

## § 3

## 3.1

3.1.1	2019	2018	2017
-------	------	------	------

A

C

A

C

	35.93%	10.40%	30.86%	6.86%	23.77%	1.61%

1

2

3

## 3.2

### 3.2.1

A

	0.79%	0.02%	0.61%	0.04%	0.18%	-0.02%
	1.91%	0.02%	1.07%	0.04%	0.84%	-0.02%
	3.88%	0.04%	1.31%	0.05%	2.57%	-0.01%
	12.51%	0.05%	2.57%	0.06%	9.94%	-0.01%
	29.91%	0.08%	5.12%	0.07%	24.79%	0.01%
	35.93%	0.09%	7.61%	0.08%	28.32%	0.01%

C

	0.66%	0.02%	0.61%	0.04%	0.05%	-0.02%
	1.67%	0.02%	1.07%	0.04%	0.60%	-0.02%
	3.31%	0.04%	1.31%	0.05%	2.00%	-0.01%
2017 1 9 -2019 12 31	10.40%	0.05%	2.76%	0.06%	7.64%	-0.01%



### 3.2.3

2017 C 2017 1 9 2019 12 31  
C  
A 2015 1 1 2019 12 31

## 3.3

A					
	10				
2019	1.420	356,499,028.61	4,593,294.57	361,092,323.18	
2018	-	-	-	-	
2017	2.500	120,887,006.77	5,799,125.54	126,686,132.31	
	3.920	477,386,035.38	10,392,420.11	487,778,455.49	

C					
	10				
2019	0.990	5,956,039.49	25,067,992.48	31,024,031.97	
2018	-	-	-	-	
2017	3.200	4,705,116,220.35	1,217.19	4,705,117,437.54	
	4.190	4,711,072,259.84	25,069,209.67	4,736,141,469.51	

4.8



FOF  
300

FOF

#### 4.1.2

	(LOF)	2014 1	7	-	25
					EMBA
					2004 9

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					(LOF)
--	--	--	--	--	-------

1

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**4.2****4.3****4.3.1**

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5

### 4.3.2

### 4.3.3

5%

### 4.4

#### 4.4.1

2019

GDP

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" "

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7

9

2019

**4.4.2**

	2019	12	31	A	1.0894	1.4814
C			1.0348		1.4538	A
3.88%				1.31%	C	3.31%
			1.31%			

**4.5**

2020

2020

" "

2

GDP

2020

4.6

4.7

“ ”

1/2

## 4.8

A

			10				
1	2019 11 15	2019 11 15	0.900	235,351,154.31	11,143,748.88	236,494,903.19	
2	2019 12 26	2019 12 26	0.520	121,147,874.30	3,449,545.69	124,597,419.99	
	-	-	1.420	356,499,028.61	4,593,294.57	361,092,323.18	

C

			10				
1	2019 11 15	2019 11 15	0.700	4,614,847.21	17,911,949.89	22,526,797.10	
2	2019 12 26	2019 12 26	0.290	1,341,192.28	7,156,042.59	8,497,234.87	
	-	-	0.990	5,956,039.49	25,067,992.48	31,024,031.97	

1

2

3

4		12
	50%	3
5		
6		
7		
15		
8		

**4.9**

**§5**

**5.1**

“ ”

**5.2**

2

392, 116, 355. 15

**5.3**

“ ”

**§6**

**6.1**

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	(3)
	(4)
	(5) ( )
	222 30
	2020 4 22

## §7

## 7.1

2019 12 31

		2019 12 31	2018 12 31
	7.4.7.1	967,568.74	9,136,127.51
		9,164.95	227,506.35
		10,221.79	3,294.24
	7.4.7.2	3,020,235,640.00	1,292,729,990.00
		-	-
		-	-
		3,020,235,640.00	1,292,729,990.00
		-	-
		-	-
	7.4.7.3	-	-
	7.4.7.4	-	-
		-	-
	7.4.7.5	54,254,835.82	27,768,555.64
		-	-
		7,861,425.89	505,782.41
		-	-
	7.4.7.6	-	-
		3,083,338,857.19	1,330,371,256.15
		2019 12 31	2018 12 31
		-	-
		-	-
	7.4.7.3	-	-
		131,495,479.76	267,965,660.55
		-	-
		1,570,654.33	671,467.38
		1,914,102.76	615,829.02
		546,886.52	175,951.14
		110,428.50	192,258.03
	7.4.7.7	41,395.72	18,773.61
		235,999.82	373,513.18
		37,985.26	360,369.92

		-	-
		-	-
	7.4.7.8	291,020.49	351,044.88
		136,243,953.16	270,724,867.71
	7.4.7.9	2,720,323,225.73	931,954,663.45
	7.4.7.10	226,771,678.30	127,691,724.99
		2,947,094,904.03	1,059,646,388.44
		3,083,338,857.19	1,330,371,256.15

2019 12 31

A

1.0894

C

1.0348

2,720,323,225.73

A

2,421,099,602.45

C 299,223,623.28

## 7.2

2019 1 1 2019 12 31

		2019 1 1 2019 12 31	2018 1 1 2018 12 31
		75,474,395.66	81,619,258.07
1.		70,123,339.16	70,216,267.68
	7.4.7.11	209,493.56	192,007.67
		68,620,040.47	68,548,149.51
		-	-
		1,293,805.13	1,476,110.50
		-	-
		-	-
2.	" -"	10,957,870.16	-19,431,508.04
	7.4.7.12	-	-
		-	-
	7.4.7.13	10,957,870.16	-19,431,508.04
	7.4.7.13.5	-	-
	7.4.7.14	-	-
	7.4.7.15	-	-
	7.4.7.16	-	-
3.	7.4.7.17	-7,133,335.14	30,049,627.32
" -"			
4.	" -"	-	-

5.	" -"	7.4.7.18	1,526,521.48	784,871.11
			22,277,518.11	21,342,247.31
1		7.4.10.2.1	11,757,365.97	8,487,531.15
2		7.4.10.2.2	3,359,247.48	2,425,008.93
3		7.4.10.2.3	1,749,584.67	2,461,675.79
4		7.4.7.19	64,169.92	48,787.18
5			4,987,090.24	7,436,287.92
			4,987,090.24	7,436,287.92
6			142,859.83	205,756.34
7		7.4.7.20	217,200.00	277,200.00
	" -"		53,196,877.55	60,277,010.76
			-	-
	" -"		53,196,877.55	60,277,010.76

## 7.3

2019 1 1 2019 12 31

	2019 1 1 2019 12 31		
	931,954,663.45	127,691,724.99	1,059,646,388.44
	-	53,196,877.55	53,196,877.55
" -"	1,788,368,562.28	437,999,430.91	2,226,367,993.19
1.	3,573,844,272.44	742,147,888.70	4,315,992,161.14
2.	-1,785,475,710.16	-304,148,457.79	-2,089,624,167.95
	-	-392,116,355.15	-392,116,355.15
" -"			

	2,720,323,225.73	226,771,678.30	2,947,094,904.03
	<b>2018 1 1 2018 12 31</b>		
	1,108,275,826.71	82,678,505.62	1,190,954,332.33
	-	60,277,010.76	60,277,010.76
" _"	-176,321,163.26	-15,263,791.39	-191,584,954.65
1.	994,767,283.43	116,591,983.27	1,111,359,266.70
2.	-1,171,088,446.69	-131,855,774.66	-1,302,944,221.35
	-	-	-
" _"			
	931,954,663.45	127,691,724.99	1,059,646,388.44

7.1 7.4

**7.4****7.4.1**

( " ") (

" )

( " ") [2010]481

2010 6 28

2,234,260,528.19

26 64

2014 5 23 2014 6 2

2014 7 1

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( " " ) 2014 7 1

2017 1 4

C

2017 1 9

C

(

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C" )

**7.4.3**

2019 12 31

2019

**7.4.4****7.4.4.1**

1 1 12 31

**7.4.4.2****7.4.4.3**

1)

2)

**7.4.4.4**

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#### 7.4.4.5

1)

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2)

3)

4)

**7.4.4.6**

**7.4.4.7**

**7.4.4.8**

( )

( )

**7.4.4.9** / ( )

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( )

-

**7.4.4.10**

		0.70%	
		0.20%	
A	C		C
0.40%			

**7.4.4.11**

- 1) ( )
- 2)
- 3)
- 4) 12  
50% 3
- 5)
- 6)
- 7) ( )
- 15
- 8)

**7.4.4.12**

### 7.4.4.13

< 2015 1  
> ( [2014]24 )  
( )

### 7.4.5

#### 7.4.5.1

#### 7.4.5.2

#### 7.4.5.3

### 7.4.6

[2008]1  
[2016]36 [2016]140  
[2017]56  
[2017]90  
1) ( )  
3%  
2)

## 7.4.7

## 7.4.7.1

	2019 12 31	2018 12 31
	967,568.74	9,136,127.51
	-	-
1	-	-
1-3	-	-
3	-	-
	-	-
	967,568.74	9,136,127.51

## 7.4.7.2

		2019 12 31		
		-	-	-
-		-	-	-
		115,614,323.14	116,369,800.00	755,476.86
		2,894,261,137.30	2,903,865,840.00	9,604,702.70
		3,009,875,460.44	3,020,235,640.00	10,360,179.56
		-	-	-
		-	-	-
		-	-	-
		3,009,875,460.44	3,020,235,640.00	10,360,179.56
		2018 12 31		
		-	-	-
-		-	-	-
		256,918,680.70	263,486,800.00	6,568,119.30
		1,018,317,794.60	1,029,243,190.00	10,925,395.40
		1,275,236,475.30	1,292,729,990.00	17,493,514.70
		-	-	-
		-	-	-
		-	-	-
		1,275,236,475.30	1,292,729,990.00	17,493,514.70

7.4.7.3 /

/

7.4.7.4

7.4.7.4.1

7.4.7.4.2

7.4.7.5

	2019 12 31	2018 12 31
	10,169.97	884.92
	-	-
	-	-
	4.38	102.40
	54,244,179.64	27,766,966.82
	-	-
	-	-
	477.23	600.00
	-	-
	-	-
	4.60	1.50
	54,254,835.82	27,768,555.64

7.4.7.6

7.4.7.7

	2019 12 31	2018 12 31
	-	-
	41,395.72	18,773.61
	41,395.72	18,773.61

7.4.7.8

	2019 12 31	2018 12 31
	2,018.83	2,018.83
	1.66	26.05
	-	-
	220,000.00	280,000.00
	9,000.00	9,000.00
	60,000.00	60,000.00
	291,020.49	351,044.88

## 7.4.7.9

A		
	2019 1 1	2019 12 31
	413,307,480.63	413,307,480.63
	3,376,107,600.24	3,376,107,600.24
" -"	-1,368,315,478.42	-1,368,315,478.42
- /	-	-
/	-	-
	-	-
" -"	-	-
	2,421,099,602.45	2,421,099,602.45

C		
	2019 1 1	2019 12 31
	518,647,182.82	518,647,182.82
	197,736,672.20	197,736,672.20
" -"	-417,160,231.74	-417,160,231.74
- /	-	-
/	-	-
	-	-
" -"	-	-
	299,223,623.28	299,223,623.28

**7.4.7.10**

A		

**7.4.7.13****7.4.7.13.1**

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
---	10,957,870.16	-19,431,508.04
---	-	-
---	-	-
	10,957,870.16	-19,431,508.04

**7.4.7.13.2**

---

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
	4,513,153,841.57	2,912,244,697.02
	4,410,838,850.47	2,850,128,559.88
	91,357,120.94	81,547,645.18
	10,957,870.16	-19,431,508.04

**7.4.7.13.3**

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**7.4.7.13.4**

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**7.4.7.13.5****7.4.7.14****7.4.7.14.1****7.4.7.15****7.4.7.15.1**

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7.4.7.15.2

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7.4.7.16

7.4.7.17

	2019	1	1	2019	2018	1	1	2018
	12	31			12	31		
1.				-7,133,335.14				30,049,627.32
---				-				-
---				-7,133,335.14				30,049,627.32
								-
---								-
								-
2019								
				-				-7,133,335.14
								30,049,627.32

	63,542.00	44,699.49
	-	-
	-	-
	-	-
	64,169.92	48,787.18

#### 7.4.7.20

	2019 1 1 2019	2018 1 1 2018
	12 31	12 31
	60,000.00	60,000.00
	120,000.00	180,000.00
	-	-
	36,000.00	36,000.00
	1,200.00	1,200.00
	217,200.00	277,200.00

#### 7.4.8

##### 7.4.8.1

##### 7.4.8.2

#### 7.4.9

" "	
" "	
" " )	
" " )	
" "	
" )	
"	

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"	
" "	

**7.4.10****7.4.10.1****7.4.10.1.1****7.4.10.1.2**

2019	1	1	2019	12	31	2018	1	1	2018	12	31
------	---	---	------	----	----	------	---	---	------	----	----

	2019 1 1 31	2019 12 31	2018 1 1 31	2018 12 31
		11,757,365.97		8,487,531.15
		1,937,854.70		3,073,996.08

0.70%

= × 0.70% ÷

**7.4.10.2.2**

	2019 1 1 31	2019 12 31	2018 1 1 31	2018 12 31
		3,359,247.48		2,425,008.93

0.20%

= × 0.20% ÷

**7.4.10.2.3**

	2019 1 1 31		
	A	C	
	-	209,706.01	209,706.01
	-	209,706.01	209,706.01
	2018 1 1 31		
	A	C	
	-	114,990.97	114,990.97
	-	114,990.97	114,990.97

A , C

× 0.40%

$$C = C \times 0.40\%$$

### 7.4.10.3 ( )

2019 1 1 2019 12 31						
	-	-	-	-	9,800,000.00	349.04
2018 1 1 2018 12 31						
	-	-	-	-	-	-

### 7.4.10.4

#### 7.4.10.4.1

#### 7.4.10.4.2

### 7.4.10.5

#### 7.4.10.5.1

#### 7.4.10.5.2

### 7.4.10.6

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
				967,568.74		99,876.90	9,136,127.51				147,170.76	

"

"

2019 12 31 7,146.60 , (2018 12 31 227,506.35 )

#### 7.4.10.7

#### 7.4.10.8

#### 7.4.11

A

					10				
1	2019 12 26	-	2019 12 26		0.520	121,147,874.30	3,449,545.69	124,597,419.99	
2	2019 11 15	-	2019 11 15		0.900	235,351,154.31	1,143,748.88	236,494,903.19	
	-		-		1.420	356,499,028.61	4,593,294.57	361,092,323.18	

C

					10				
1	2019 12 26	-	2019 12 26		0.290	1,341,192.28	7,156,042.59	8,497,234.87	
2	2019 11 15	-	2019 11 15		0.700	4,614,847.21	17,911,949.89	22,526,797.10	

	-	-	0.990	5,956,039.49	25,067,992.48	31,024,031.97	
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**7.4.12**            2019    12    31

**7.4.12.1**            /

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**7.4.12.2**

**7.4.12.3**

**7.4.12.3.1**

2019    12    31

53,495,479.76

1580100	15	2020    1    7	62.13	100,000	6,213,000.00
1480369	14 01	2020    1    7	41.64	70,000	2,914,800.00
190206	19 06	2020    1    7	100.16	478,000	47,876,480.00
				648,000	57,004,280.00

**7.4.12.3.2**

2019    12    31

78,000,000.00            2020    1    2

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**7.4.12.4**

**7.4.13**

**7.4.13.1**

"

"

**7.4.13.2**

10%

10%

**7.4.13.2.1**

	2019 12 31	2018 12 31
A-1	50,076,000.00	-
A-1	-	-
	831,683,500.00	60,149,000.00
	881,759,500.00	60,149,000.00

**7.4.13.2.2****7.4.13.2.3**

	2019 12 31	2018 12 31
A-1	-	-
A-1	-	-
	372,568,000.00	-
	372,568,000.00	-

AA+

**7.4.13.2.4**

	2019 12 31	2018 12 31
AAA	257,680,300.00	519,885,300.00
AAA	533,887,000.00	392,680,690.00
	974,340,840.00	320,015,000.00
	1,765,908,140.00	1,232,580,990.00

**7.4.13.2.5****7.4.13.2.6****7.4.13.3**

## 7.4.13.3.1

## 7.4.13.4

## 7.4.13.4.1

## 7.4.13.4.1.1

2019 12 31	6	6 -1	1-5	5		
	967,568.74	-	-	-	-	967,568.74
	9,164.95	-	-	-	-	9,164.95
	10,221.79	-	-	-	-	10,221.79
	992,438,500.00	397,501,000.00	1,527,156,140.00	103,140,000.00		-3,020,235,640.00
	-	-	-	-	54,254,835.82	54,254,835.82
	-	-	-	-	7,861,425.89	7,861,425.89

	993,425,455.48	397,501,000.00	1,527,156,140.00	103,140,000.00	62,116,261.71	3,083,338,857.19
	131,495,479.76	-	-	-	-	131,495,479.76
	-	-	-	-	1,570,654.33	1,570,654.33
	-	-	-	-	1,914,102.76	1,914,102.76
	-	-	-	-	546,886.52	546,886.52
	-	-	-	-	110,428.50	110,428.50
	-	-	-	-	41,395.72	41,395.72
	-	-	-	-	37,985.26	37,985.26
	-	-	-	-	235,999.82	235,999.82
	-	-	-	-	291,020.49	291,020.49
	131,495,479.76	-	-	-	4,748,473.40	136,243,953.16
	861,929,975.72	397,501,000.00	1,527,156,140.00	103,140,000.00	57,367,788.31	2,947,094,904.03
2018 12 31	6	6 -1	1-5	5		
	9,136,127.51	-	-	-	-	9,136,127.51
	227,506.35	-	-	-	-	227,506.35
	3,294.24	-	-	-	-	3,294.24
	72,890,200.00	121,737,990.00	920,423,800.00	177,678,000.00	-	-1,292,729,990.00
	-	-	-	-	27,768,555.64	27,768,555.64
	-	-	-	-	505,782.41	505,782.41
	82,257,128.10	121,737,990.00	920,423,800.00	177,678,000.00	28,274,338.05	1,330,371,256.15
	267,965,660.55	-	-	-	-	267,965,660.55
	-	-	-	-	671,467.38	671,467.38
	-	-	-	-	615,829.02	615,829.02
	-	-	-	-	175,951.14	175,951.14
	-	-	-	-	192,258.03	192,258.03
	-	-	-	-	18,773.61	18,773.61
	-	-	-	-	360,369.92	360,369.92
	-	-	-	-	373,513.18	373,513.18
	-	-	-	-	351,044.88	351,044.88

	267,965,660.55	-	-	-	2,759,207.16	270,724,867.71
	-185,708,532.45	121,737,990.00	920,423,800.00	177,678,000.00	25,515,130.89	1,059,646,388.44

**7.4.13.4.1.2**

			2019 12 31		2018 12 31
	27		11,199,222.89		9,421,559.20
	27		-11,199,222.89		-9,421,559.20

**7.4.13.4.2****7.4.13.4.3**

VaR (Value at Risk)

12 31

**7.4.13.4.3.1**

	2019 12 31		2018 12 31	
		%		%
-	-	-	-	-
	-	-	-	-
	3,020,235,640.00	102.48	1,292,729,990.00	122.00

- -



## 8.4.3

## 8.5

1		-	-
2		-	-
3		1, 505, 125, 840. 00	51. 07
		1, 505, 125, 840. 00	51. 07
4		308, 520, 300. 00	10. 47
5		350, 974, 500. 00	11. 91
6		483, 047, 000. 00	16. 39
7		-	-
8		372, 568, 000. 00	12. 64
9		-	-
10		3, 020, 235, 640. 00	102. 48

## 8.6

1	190206	19	06	3, 600, 000	360, 576, 000. 00	12. 23
2	190202	19	02	2, 800, 000	281, 316, 000. 00	9. 55
3	180212	18	12	1, 900, 000	192, 907, 000. 00	6. 55
4	190207	19	07	1, 500, 000	151, 110, 000. 00	5. 13
5	190308	19	08	1, 000, 000	100, 980, 000. 00	3. 43

**8.10****8.10.1****8.10.2****8.10.3****8.11****8.11.1****8.11.2****8.11.3**

1		10,221.79
2		-
3		-
4		54,254,835.82
5		7,861,425.89
6		-
7		-
8		-
9		62,126,483.50

**8.11.4****8.11.5**

## 8.11.6

## § 9

## 9.1

	( )					
A	13,562	178,520.84	2,382,087,244.61	98.39%	39,012,357.84	1.61%
C	38,448	7,782.55	10,468,123.48	3.50%	288,755,499.80	96.50%
	52,010	52,303.85	2,392,555,368.09	87.95%	327,767,857.64	12.05%

## 9.2

	A	-	-
	C	2,495.42	0.00%
		2,495.42	0.00%

## 9.3

	A	0
	C	0
		0
	A	0
	C	0
		0





---

	1	-	-	-	-	-
	1	-	-	-	-	-

1

2

&lt;1998&gt;29

[2007]48

1

a

b

c

d

õ2

d

2

**11.8**

1	2018 12 31		2019 1 1
2	( )		2019 1 10
3	4 2018		2019 1 19
4			2019 1 22
5	2019 1		2019 2 14
6			2019 3 1
7			2019 3 2
8			2019 3 28
9	2018		2019 3 29
10			2019 3 30
11			2019 4 8
12			2019 4 15

13					2019	4	16
14	1			2019		4	22
15						4	26
16						5	7
17						5	14
18						5	22
19						6	26
20						6	27
21	2019	6	30			7	1
22	2			2019		7	18
23		2019	2			8	14
24				2019		8	27
25						9	5
26						9	25

27

2019 9 28

	66		
40	66		2019 12 31
41			2019 12 31
42			2019 12 31
43			2019 12 31

**§12**

**12.1**

**20%**

20%

## §13

### 13.1

- 1
- 2
- 3
- 4
- 5
- 6

### 13.2

### 13.3

<http://www.cxfund.com.cn>

2020 4 27