

2021

2021 6 30

2021 8 31

§1

1.1

2021 8 26

2021 1 1 2021 6 30

1.2		
§1	2
1.1	2
1.2	3
§2	6
2.1	6
2.2	6
2.3	6
2.4	7
2.5	7
§3	8
3.1	8
3.2	8
§4	10
4.1	10
4.2	11
4.3	12
4.4	12
4.5	12
4.6	13
4.7	14
4.8	14
§5	15
5.1	15
5.2	15
5.3	15
§6	16
6.1	16
6.2	17
6.3	18

6.4	19
§7	45
7.1	45
7.2	45
7.3	46
7.4	49
7.5	52
7.6	52
7.7	53
7.8	53
7.9	53
7.10	53
7.11	53
7.12	54
§8	55
8.1	55
8.2	55
8.3	55
§9	56
§10	57
10.1	57
10.2	57
10.3	57
10.4	57
10.5	57
10.6	57
10.7	57
10.8	59
§11	62
11.1 20%	62

11.2	62
§12	63
12.1	63
12.2	63
12.3	63

§2

2.1

	519994
	519995
	519994
	2006 4 30
	2,465,403,563.29

2.2

	" "
	A × 70% × 30%

2.3

	021-61009999	021-61618888
	zhouyg@cxfund.com.cn	Hub5@spdb.com.cn
	4007005566	95528

	021-61009800	021-63602540
	68 9	12
	9 68	689
	200120	200001

2.4

	www.cxfund.com.cn
	68 9
	12

2.5

		17

§3

3.1

3.1.1	(2021 1 1 - 2021 6 30)
	196,642,220.85
	100,554,792.39
	0.0419
	6.11%
	6.25%
3.1.2	(2021 6 30)
	343,527,153.85
	0.1393
	1,438,506,558.20
	0.5835
3.1.3	(2021 6 30)
	442.16%

1

2

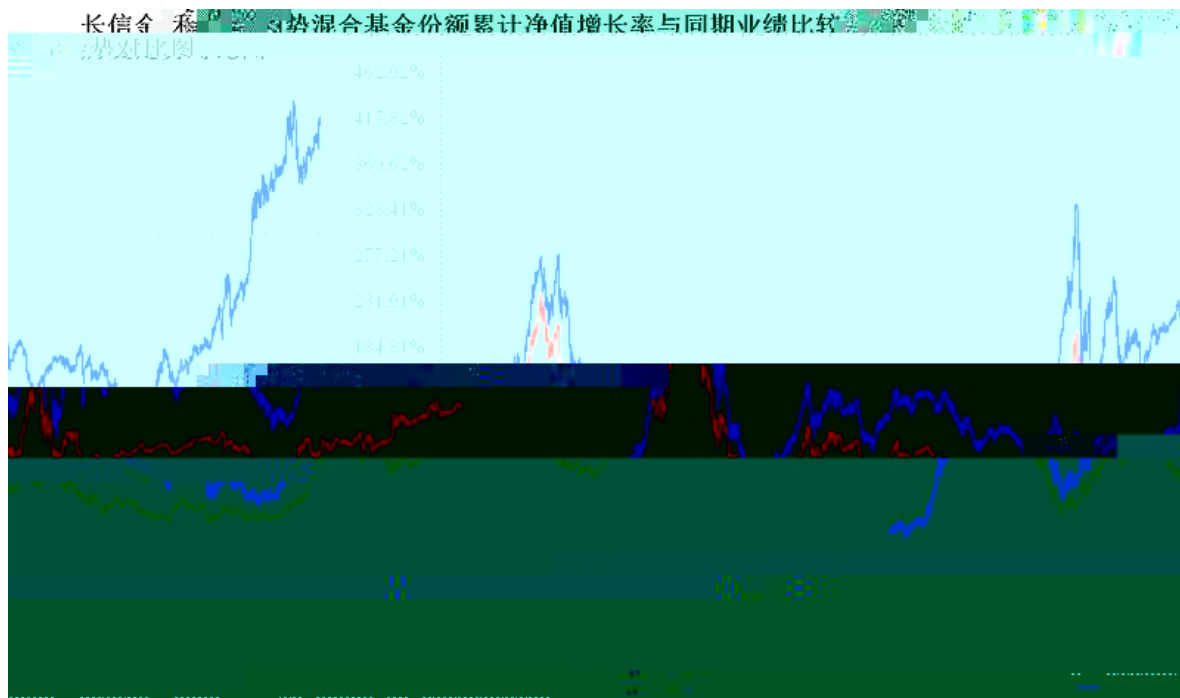
3

3.2

3.2.1

	3.71%	0.96%	-0.41%	0.42%	4.12%	0.54%
	10.56%	0.86%	3.43%	0.49%	7.13%	0.37%
	6.25%	1.28%	3.15%	0.66%	3.10%	0.62%
	34.85%	1.29%	15.15%	0.78%	19.70%	0.51%
	100.23%	1.32%	24.01%	0.84%	76.22%	0.48%
	442.16%	1.68%	150.91%	1.12%	291.25%	0.56%

3.2.2



1 2006 4 30 2021 6 30

2 6

§4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2021 6 30

76

100

(LOF)

(LOF)

LOF

500

FOF

300

FOF 50

1-3

87

FOF 6

4.1.2

		2018	8	31	2014
				-	
				11	

1

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

4.4.1

2021	A	3.40%	300
0.24%	100	3.66%	17.22% 2021

4.4.2

2021	6	30	0.5835	3.6696
			6.25%	3.15%

4.5

2021

1 2021

GDP

2 2021

10

2%

3

1

2 A

3 A

3

4.6

“ ”

1/2

4.7

	2021	4	28	10	2.20	2021	4	28
						340,386,205.98		
			194,593,764.09		534,979,970.07			
1								
2				6				
80%								
3								
4								
5								
6								
3								
7								
8								
9								

4.8

§5

5.1

" "

5.2

534,979,970.07

5.3

" "

§6

6.1

2021 6 30

		2021 6 30	2020 12 31
	6.4.6.1	40,103,213.05	153,304,526.95
		5,267,122.91	4,484,715.06
		1,459,983.46	1,318,241.89
	6.4.6.2	1,394,254,518.67	1,646,803,913.77
		1,254,913,318.67	1,562,211,413.77
		-	-
		139,341,200.00	84,592,500.00
		-	-
		-	-
	6.4.6.3	-	-
	6.4.6.4	-	-
		12,176,040.41	-
	6.4.6.5	2,558,910.68	977,790.45
		-	-
		430,641.29	79,202.15
		-	-
	6.4.6.6	-	-
		1,456,250,430.47	1,806,968,390.27
		2021 6 30	2020 12 31
		-	-
		-	-
	6.4.6.3	-	-
		-	-
		9,753,509.13	50,144,223.95
		2,509,714.05	4,952,593.22
		1,855,472.78	2,170,740.91
		309,245.50	361,790.14
		-	-
	6.4.6.7	3,073,578.91	3,069,846.99
		-	18.39

		-	-	
		-	-	
		-	-	
	6.4.6.8	242,351.90	357,141.77	
		17,743,872.27	61,056,355.37	
	6.4.6.9	1,016,656,548.58	932,796,976.21	
	6.4.6.10	421,850,009.62	813,115,058.69	
		1,438,506,558.20	1,745,912,034.90	
		1,456,250,430.47	1,806,968,390.27	
2021	6	30	0.5835	2,465,403,563.29

6.2

2021 1 1 2021 6 30

		2021 1 1 2021 6 30	2020 1 1 2020 6 30
		126,870,386.06	400,006,414.34
1.		2,500,791.18	2,351,257.85
	6.4.6.11	1,149,067.97	1,194,755.17
		1,351,723.21	1,156,502.68
		-	-
		-	-
		-	-
		-	-
2.	" -"	219,908,160.28	279,456,715.36
	6.4.6.12	208,307,618.29	266,534,182.50
		-	-
	6.4.6.13	1,645,008.72	1,227,193.98
	6.4.6.13.5	-	-
	6.4.6.14	-	-
	6.4.6.15	-	-
	6.4.6.16	9,955,533.27	11,695,338.88
3.	" -" 6.4.6.17	-96,087,428.46	117,677,826.81
4.	" -"	-	-
5.	" -" 6.4.6.18	548,863.06	520,614.32

		26,315,593.67	29,286,571.85
1	6.4.9.2.1	12,263,775.45	14,131,609.69
2	6.4.9.2.2	2,043,962.61	2,355,268.22
3		-	-
4	6.4.6.19	11,884,179.42	12,675,735.05
5		-	-
		-	-
6		18.33	0.09
7	6.4.6.20	123,657.86	123,958.80
	" _"	100,554,792.39	370,719,842.49
		-	-
	" _"	100,554,792.39	370,719,842.49

6.3

2021 1 1 2021 6 30

	2021 1 1 2021 6 30		
	932,796,976.21	813,115,058.69	1,745,912,034.90
	-	100,554,792.39	100,554,792.39
" _"	83,859,572.37	43,160,128.61	127,019,700.98
1.	357,281,795.14	213,253,864.85	570,535,659.99
2.	-273,422,222.77	-170,093,736.24	-443,515,959.01
	-	-534,979,970.07	-534,979,970.07
" _"	1,016,656,548.58	421,850,009.62	1,438,506,558.20

	2020 1 1 2020 6 30		
	1,408,147,476.11	495,651,977.90	1,903,799,454.01
	-	370,719,842.49	370,719,842.49
" -"	-121,011,608.82	-59,296,932.89	-180,308,541.71
1.	157,073,745.40	65,528,560.34	222,602,305.74
2.	-278,085,354.22	-124,825,493.23	-402,910,847.45
	-	-196,196,075.05	-196,196,075.05
" -"			
	1,287,135,867.29	610,878,812.45	1,898,014,679.74

6.1 6.4

6.4**6.4.1**

" ")

" " [2005]168

2006 4 30

613,254,516.24

6.4.4.2

6.4.5

6.4.5.1

2008 4 24

3‰ 1‰

2008 9 19

[2005]103

6.4.5.2

[2016]36

2016 5 1

[2016]46

[2016]70

[2016]140

[2017]56

2018 1 1

3%

2018 1 1

6.4.5.3

2011

2011

6.4.5.4

[2004]78

2004 1 1

[2005]103

[2008]1

6.4.5.5

[2005]103

[2008]132

2008 10 9

[2012]85

2013 1 1

1 1

1 1 1 50%

1

25%

20%

[2015]101

2015 9 8

1

6.4.6**6.4.6.1**

	2021 6 30
	40,103,213.05
	-
1	-
1-3	-
3	-
	-
	40,103,213.05

6.4.6.2

	2021 6 30		
	1,061,010,190.65	1,254,913,318.67	193,903,128.02
-	-	-	-
	18,109,052.41	18,721,200.00	612,147.59
	119,340,308.33	120,620,000.00	1,279,691.67
	137,449,360.74	139,341,200.00	1,891,839.26
	-	-	-
	-	-	-
	-	-	-
	1,198,459,551.39	1,394,254,518.67	195,794,967.28

6.4.6.3

/

/

6.4.6.4**6.4.6.4.1****6.4.6.4.2****6.4.6.5**

	2021 6 30
	44,265.99
	-
	-
	2,133.18
	2,511,919.96
	-
	-
	0.25
	-
	-
	591.30
	2,558,910.68

6.4.6.6**6.4.6.7**

	2021 6 30
	3,073,328.91
	250.00
	3,073,578.91

6.4.6.8

	2021 6 30

	-
	9,213.55
	-
	9,000.00
	44,630.98
	179,507.37
	-
	242,351.90

6.4.6.9

	2021 1 1		2021 6 30	
	2,262,060,524.04		932,796,976.21	
	866,396,205.71		357,281,795.14	
("-")	-663,053,166.46		-273,422,222.77	
- /		-		-
/		-		-
		-		-
("-")		-		-
	2,465,403,563.29		1,016,656,548.58	

6.4.6.10

	611,089,033.71	202,026,024.98	813,115,058.69
	196,642,220.85	-96,087,428.46	100,554,792.39
	70,775,869.36	-27,615,740.75	43,160,128.61
	217,285,317.41	-4,031,452.56	213,253,864.85
	-146,509,448.05	-23,584,288.19	-170,093,736.24
	-534,979,970.07	-	-534,979,970.07
	343,527,153.85	78,322,855.77	421,850,009.62

6.4.6.11

	2021 1 1		2021 6 30	
--	----------	--	-----------	--

	1,076,265.22
	-
	18,223.71
	54,579.04
	-
	1,149,067.97

" "

6.4.6.12**6.4.6.12.1** —

	2021 1 1	2021 6 30
		4,659,865,641.92
		4,451,558,023.63
		208,307,618.29

6.4.6.13**6.4.6.13.1**

	2021 1 1	2021 6 30
---		1,645,008.72
---		-
---		-
		1,645,008.72

6.4.6.13.2 —

	2021 1 1	2021 6 30
		34,308,003.55
		32,636,757.49
		26,237.34
		1,645,008.72

6.4.6.13.3 —

6.4.6.13.4

—

6.4.6.13.5

6.4.6.14

6.4.6.14.1

6.4.6.15

6.4.6.15.1

—

6.4.6.15.2

—

6.4.6.16

	2021	1	1	2021	6	30
						9,955,533.27
						-
						-
						9,955,533.27

6.4.6.17

	2021	1	1	2021	6	30
1.						-96,087,428.46
—						-96,847,736.05
—						760,307.59
—						-
—						-
—						-
2.						-
—						-
3.						-

	-
	-96,087,428.46

6.4.6.18

	2021 1 1	2021 6 30
		533,105.70
		15,757.36
		548,863.06

7

25%

6.4.6.19

	2021 1 1	2021 6 30
		11,883,929.42
		250.00
		-
		-
		-
		-
		11,884,179.42

6.4.6.20

	2021 1 1	2021 6 30
		44,630.98
		59,507.37
		-
		18,000.00
		1,519.51
		123,657.86

" "

6.4.7**6.4.7.1****6.4.7.2****6.4.8****6.4.8.1****6.4.8.2**

"	"
"	"
"	"

6.4.9**6.4.9.1****6.4.9.1.1**

	2021 1 1	2021 6 30	2020 1 1	2020 6 30
		1,289,040,221.87	14.50%	3,219,576,003.37

6.4.9.1.2

	2021 1 1		2021 6 30		2020 1 1		2020 6 30	
	-		-		13,278,415.90			67.74%

6.4.9.1.3**6.4.9.1.4****6.4.9.1.5**

	2021 1 1		2021 6 30		2020 1 1		2020 6 30	
	1,200,484.30	18.75%			758,755.17			24.69%
	2,998,383.10	42.15%			1,584,422.70			49.50%

6.4.9.2**6.4.9.2.1**

	2021 1 1		2021 6 30		2020 1 1		2020 6 30	
			12,263,775.45				14,131,609.69	
			2,668,364.26				2,863,321.82	

1.5%

H=E× /

H

E

6.4.9.2.2

	2021 1 1	2021 6 30	2020 1 1	2020 6 30
		2,043,962.61		2,355,268.22

0.25%

H=E× /

H

E

6.4.9.3 ()

()

6.4.9.4

6.4.9.4.1

6.4.9.4.2

6.4.9.5

6.4.9.5.1

6.4.9.5.2**6.4.9.6**

	2021 1 1			2021 6 30			2020 1 1			2020 6 30		
	40,103,213.05			1,076,265.22			139,663,105.78			1,122,292.45		

2021 6 30

" "

2020 6 30

6.4.9.7**6.4.9.8****6.4.10**

				10				
1	2021 4 28	-	2021 4 28	2.200	340,386,205.98	194,593,764.09	534,979,970.07	
	-	-		2.200	340,386,205.98	194,593,764.09	534,979,970.07	

6.4.11 2021 6 30**6.4.11.1 /**

6.4.11.1.1

688686		2020 12 31	2021 7 1		20.08	451.00	2,330	182,881.70	1,050,830.00	-
688617		2021 1 7	2021 7 7		74.46	373.24	1,673	124,571.58	624,430.52	-
688606		2021 3 17	2021 9 27		133.67	139.31	2,703	361,310.01	376,554.93	-
300957		2021 3 18	2021 9 27		47.33	251.96	837	39,615.21	210,890.52	-
688575		2021 5 10	2021 11 17		14.80	32.09	3,435	50,838.00	110,229.15	-
688499		2021 6 23	2021 7 1		38.85	38.85	2,149	83,488.65	83,488.65	-
300979		2021 4 15	2021 10 26		33.22	87.50	925	30,728.50	80,937.50	-
688533		2021 4 9	2021 10 19		7.72	19.11	3,493	26,965.96	66,751.23	-
301018		2021 6 28	2021 7 7		8.29	8.29	7,811	64,753.19	64,753.19	-
300981		2021 4 19	2021 10 27		48.59	90.39	601	29,202.59	54,324.39	-
688087		2021	2021		21.96	21.96	2,469	54,219.24	54,219.24	-

		6 30	7 9							
688683		2021 4 1	2021 10 12		9.51	14.73	3,296	31,344.96	48,550.08	-
301020		2021 6 28	2021 7 6		10.64	10.64	4,210	44,794.40	44,794.40	-
300973		2021 4 8	2021 10 15		28.28	115.71	360	10,180.80	41,655.60	-
688092		2021 3 12	2021 9 22		19.11	33.21	1,137	21,728.07	37,759.77	-
301021		2021 6 28	2021 7 6		9.46	9.46	2,899	27,424.54	27,424.54	-
300953		2021 3 11	2021 9 22		28.77	99.85	240	6,904.80	23,964.00	-
301009		2021 6 8	2021 12 17		12.54	27.89	801	10,044.54	22,339.89	-
301015		2021 6 22	2021 12 30		7.64	38.83	462	3,529.68	17,939.46	-
688226		2021 6 28	2021 7 7		6.42	6.42	2,693	17,289.06	17,289.06	-
300982		2021 4 19	2021 10 27		15.83	45.29	317	5,018.11	14,356.93	-
300968		2021	2021		6.87	12.07	1,162	7,982.94	14,025.34	-

		4 6	10 15							
300940		2021 1 27	2021 8 3		12.76	43.38	307	3,917.32	13,317.66	-
300614		2021 5 18	2021 11 25		9.19	40.38	329	3,023.51	13,285.02	-
300937		2021 1 20	2021 7 27		12.25	53.71	244	2,989.00	13,105.24	-
301013		2021 6 21	2021 12 29		8.72	27.11	471	4,107.12	12,768.81	-
300942		2021 2 2	2021 8 9		5.31	28.52	443	2,352.33	12,634.36	-
300933		2021 1 15	2021 7 22		3.37	10.44	1,112	3,747.44	11,609.28	-
605287		2021 6 28	2021 7 6		31.56	31.56	349	11,014.44	11,014.44	-
300955		2021 3 16	2021 9 24		16.53	46.07	232	3,834.96	10,688.24	-
300962		2021 3 29	2021 10 11		3.40	16.37	576	1,958.40	9,429.12	-
300952		2021 3 3	2021 9 13		11.72	27.08	340	3,984.80	9,207.20	-
301016		2021	2021		13.75	32.98	275	3,781.25	9,069.50	-

		6 23	12 30							
300966		2021 3 31	2021 10 11		8.24	31.54	286	2,356.64	9,020.44	-
300945		2021 2 3	2021 8 10		4.56	18.88	474	2,161.44	8,949.12	-
300948		2021 2 18	2021 8 25		8.67	27.42	324	2,808.00	8,884.08	-
300931		2021 1 13	2021 7 21		4.31	13.11	672	2,896.32	8,809.92	-
300961		2021 3 23	2021 9 30		8.48	22.07	394	3,341.12	8,695.58	-
300986		2021 4 21	2021 11 1		14.79	30.57	264	3,904.56	8,070.48	-
300987		2021 4 28	2021 11 11		6.79	26.81	301	2,043.79	8,069.81	-
300978		2021 4 15	2021 10 26		8.42	13.60	593	4,993.06	8,064.80	-
300926		2021 1 7	2021 7 7		10.76	22.20	359	3,862.84	7,969.80	-
300993		2021 5 17	2021 11 24		12.10	19.29	410	4,961.00	7,908.90	-
300927		2021	2021		13.39	30.10	217	2,905.63	6,531.70	-

		1 7	7 7							
300975		2021 4 14	2021 10 21		5.48	13.03	492	2,696.16	6,410.76	-
300963		2021 3 30	2021 10 11		12.13	22.49	283	3,432.79	6,364.67	-
301011		2021 6 9	2021 12 17		14.20	33.84	175	2,485.00	5,922.00	-
300943		2021 2 3	2021 8 10		9.79	23.01	255	2,496.45	5,867.55	-
300967		2021 4 2	2021 10 13		4.54	11.54	507	2,301.78	5,850.78	-
300929		2021 1 12	2021 7 20		13.87	29.55	183	2,538.21	5,407.65	-
300960		2021 3 22	2021 9 29		12.08	23.06	234	2,826.72	5,396.04	-
300995		2021 5 17	2021 11 26		14.72	23.32	191	2,811.52	4,454.12	-
301007		2021 6 4	2021 12 16		5.29	14.44	303	1,602.87	4,375.32	-
300998		2021 5 25	2021 12 2		6.02	21.32	201	1,210.02	4,285.32	-
301012		2021	2021		8.05	24.26	170	1,368.50	4,124.20	-

		6 15	12 22							
301010		2021 6 9	2021 12 20		7.83	17.61	218	1,706.94	3,838.98	-

6.4.11.2**6.4.11.3****6.4.11.3.1****6.4.11.3.2****6.4.11.4****6.4.12****6.4.12.1**

"

"

6.4.12.2

10%

10%

6.4.12.2.1

	2021 6 30	2020 12 31
A-1	0.00	0.00
A-1	0.00	0.00
	70,028,000.00	69,986,000.00
	70,028,000.00	69,986,000.00

6.4.12.2.2**6.4.12.2.3****6.4.12.2.4**

	2021 6 30	2020 12 31
AAA	18,721,200.00	949,000.00
AAA	0.00	3,211,500.00
	50,592,000.00	10,446,000.00
	69,313,200.00	14,606,500.00

6.4.12.2.5**6.4.12.2.6****6.4.12.3****6.4.12.3.1****6.4.12.4**

6.4.12.4.1

6.4.12.4.1.1

2021 6 30	6	6 -1	1-5	5		
	40,103,213.05	-	-	-	-	40,103,213.05
	5,267,122.91	-	-	-	-	5,267,122.91
	1,459,983.46	-	-	-	-	1,459,983.46
	70,028,000.00	18,721,200.00	40,140,000.00	10,452,000.00	1,254,913,318.67	1,394,254,518.67
	-	-	-	-	12,176,040.41	12,176,040.41
	-	-	-	-	2,558,910.68	2,558,910.68
	11,869.49	-	-	-	418,771.80	430,641.29
	116,870,188.91	18,721,200.00	40,140,000.00	10,452,000.00	1,270,067,041.56	1,456,250,430.47
	-	-	-	-	9,753,509.13	9,753,509.13
	-	-	-	-	2,509,714.05	2,509,714.05
	-	-	-	-	1,855,472.78	1,855,472.78
	-	-	-	-	309,245.50	309,245.50
	-	-	-	-	3,073,578.91	3,073,578.91
	-	-	-	-	242,351.90	242,351.90
	-	-	-	-	17,743,872.27	17,743,872.27
	116,870,188.91	18,721,200.00	40,140,000.00	10,452,000.00	1,252,323,169.29	1,438,506,558.20
2020 12 31	6	6 -1	1-5	5		

	153,304,526.95	-	-	-	-	153,304,526.95
	4,484,715.06	-	-	-	-	4,484,715.06
	1,318,241.89	-	-	-	-	1,318,241.89
	-	74,146,500.00	-	10,446,000.00	1,562,211,413.77	1,646,803,913.77
	-	-	-	-	977,790.45	977,790.45
	-	-	-	-	79,202.15	79,202.15
	159,107,483.90	74,146,500.00	-	10,446,000.00	1,563,268,406.37	1,806,968,390.27
	-	-	-	-	50,144,223.95	50,144,223.95
	-	-	-	-	4,952,593.22	4,952,593.22
	-	-	-	-	2,170,740.91	2,170,740.91
	-	-	-	-	361,790.14	361,790.14
	-	-	-	-	3,069,846.99	3,069,846.99
	-	-	-	-	18.39	18.39
	-	-	-	-	357,141.77	357,141.77
	-	-	-	-	61,056,355.37	61,056,355.37
	159,107,483.90	74,146,500.00	-	10,446,000.00	1,502,212,051.00	1,745,912,034.90

6.4.12.4.1.2

		2021 6 30	2020 12 31
	27	569,287.30	330,163.65
	27	-569,287.30	-330,163.65

6.4.12.4.2

6.4.12.4.3

VaR(Val ueatRi sk)

6 30

6.4.12.4.3.1

	2021 6 30		2020 12 31	
		%		%
-	1,254,913,318.67	87.24	1,562,211,413.77	89.48
	-	-	-	-
	139,341,200.00	9.69	84,592,500.00	4.85
	-	-	-	-
	-	-	-	-
	-	-	-	-
	1,394,254,518.67	96.92	1,646,803,913.77	94.32

6.4.12.4.3.2

		95%	
		2021 6 30	2020 12 31
VaR	5.57% 2020 12 31 VaR (4.85%)	-80,162,296.55	-84,677,519.13

VaR

95%

2020

6.4.13

(a)

(b)

(i)

2021 6 30

1,260,344,592.91

130,846,000.00

3,063,925.76

(ii)

()

(iii)

1,275,273.98

1,788,651.78

3,063,925.76

(2)

§7

7.1

			%
1		1,254,913,318.67	86.17
		1,254,913,318.67	86.17
2		-	-
3		139,341,200.00	9.57
		139,341,200.00	9.57
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		45,370,335.96	3.12
8		16,625,575.84	1.14
9		1,456,250,430.47	100.00

7.2

7.2.1

			(%)
A		5,850.78	0.00
B		-	-
C		808,065,984.75	56.17
D		41,284,001.52	2.87
E		36,301.73	0.00
F		279,580.90	0.02
G		66,004,014.76	4.59
H		-	-
I		112,892,482.96	7.85
J		163,310,970.94	11.35
K		-	-
L		28,548,058.00	1.98

M		34,449,800.00	2.39
N		36,272.33	0.00
O		-	-
P		-	-
Q		-	-
R		-	-
S		-	-
		1,254,913,318.67	87.24

7.2.2

7.3

1	605117		600,695	76,648,682.00	5.33
2	300316		1,400,000	70,700,000.00	4.91
3	002738		1,500,002	66,600,088.80	4.63
4	002245		3,350,000	65,995,000.00	4.59
5	002241		1,450,000	61,973,000.00	4.31
6	300682		3,600,000	60,552,000.00	4.21
7	000858		200,000	59,578,000.00	4.14
8	002756		1,000,000	59,390,000.00	4.13
9	002236		2,680,000	56,548,000.00	3.93
10	601009		5,117,942	53,840,749.84	3.74
11	600919		7,200,000	51,120,000.00	3.55
12	600900		1,999,968	41,279,339.52	2.87
13	600519		18,000	37,020,600.00	2.57
14	603259		220,000	34,449,800.00	2.39
15	601865		863,100	34,118,343.00	2.37
16	000001		1,500,000	33,930,000.00	2.36
17	002027		3,033,800	28,548,058.00	1.98
18	600570		300,047	27,979,382.75	1.95
19	002332		2,200,000	27,588,000.00	1.92
20	300760		55,000	26,402,750.00	1.84
21	600741		950,000	24,956,500.00	1.73

22	600036		450,000	24,385,500.00	1.70
23	688508		220,000	24,340,800.00	1.69
24	300007		1,200,873	22,048,028.28	1.53
25	002414		700,000	19,306,000.00	1.34
26	600876		1,003,900	19,164,451.00	1.33
27	688599		600,000	17,010,000.00	1.18
28	603229		500,000	16,695,000.00	1.16
29	300750		30,000	16,044,000.00	1.12
30	601689		400,000	14,972,000.00	1.04
31	002534		1,000,000	14,850,000.00	1.03
32	000977		500,000	14,065,000.00	0.98
33	002594		50,000	12,550,000.00	0.87
34	688019		30,000	9,330,000.00	0.65
35	600933		600,000	7,824,000.00	0.54
36	605499		30,000	7,524,000.00	0.52
37	300049		500,000	5,900,000.00	0.41
38	300122		30,000	5,601,900.00	0.39
39	688686		2,330	1,050,830.00	0.07
40	688617		1,673	624,430.52	0.04
41	688606		2,703	376,554.93	0.03
42	301009		8,007	265,037.97	0.02
43	301015		4,614	251,115.78	0.02
44	300957		837	210,890.52	0.01
45	688575		3,435	110,229.15	0.01
46	688499		2,149	83,488.65	0.01
47	300979		925	80,937.50	0.01
48	688533		3,493	66,751.23	0.00
49	301018		7,811	64,753.19	0.00
50	300981		601	54,324.39	0.00
51	688087		2,469	54,219.24	0.00
52	688683		3,296	48,550.08	0.00
53	301020		4,210	44,794.40	0.00
54	300973		360	41,655.60	0.00
55	688092		1,137	37,759.77	0.00
56	601528		2,230	34,721.10	0.00
57	605089		370	32,197.40	0.00
58	301021		2,899	27,424.54	0.00
59	300953		240	23,964.00	0.00
60	001207		682	22,581.02	0.00

61	603511		553	18,249.00	0.00
62	688226		2,693	17,289.06	0.00
63	300909		386	16,636.60	0.00
64	300913		630	16,506.00	0.00
65	300982		317	14,356.93	0.00
66	300968		1,162	14,025.34	0.00
67	605319		764	13,706.16	0.00
68	001208		1,754	13,558.42	0.00
69	300940		307	13,317.66	0.00
70	300614		329	13,285.02	0.00
71	300937		244	13,105.24	0.00
72	301013		471	12,768.81	0.00
73	300942		443	12,634.36	0.00
74	603171		637	12,230.40	0.00
75	300933		1,112	11,609.28	0.00
76	605488		416	11,103.04	0.00
77	300918		1,063	11,065.83	0.00
78	605287		349	11,014.44	0.00
79	605289		379	10,930.36	0.00
80	300955		232	10,688.24	0.00
81	605189		423	10,190.07	0.00
82	300962		576	9,429.12	0.00
83	300952		340	9,207.20	0.00
84	301016		275	9,069.50	0.00
85	300966		286	9,020.44	0.00
86	001202		459	9,014.76	0.00
87	300945		474	8,949.12	0.00
88	300948		324	8,884.08	0.00
89	300931		672	8,809.92	0.00
90	300961		394	8,695.58	0.00
91	300986		264	8,070.48	0.00
92	300987		301	8,069.81	0.00
93	300978		593	8,064.80	0.00
94	300926		359	7,969.80	0.00
95	300993		410	7,908.90	0.00
96	300927		217	6,531.70	0.00
97	300975		492	6,410.76	0.00
98	300963		283	6,364.67	0.00
99	301011		175	5,922.00	0.00

100	300943		255	5,867.55	0.00
101	300967		507	5,850.78	0.00
102	300929		183	5,407.65	0.00
103	300960		234	5,396.04	0.00
104	605011		525	4,662.00	0.00
105	300995		191	4,454.12	0.00
106	301007		303	4,375.32	0.00
107	300998		201	4,285.32	0.00
108	603306		116	4,209.64	0.00
109	301012		170	4,124.20	0.00
110	301010		218	3,838.98	0.00

7.4**7.4.1****2% 20**

1	601166		128,235,677.37	7.34
2	600426		112,969,510.65	6.47
3	601398		102,611,193.83	5.88
4	603993		98,817,995.18	5.66
5	600585		85,913,677.44	4.92
6	601668		82,097,530.14	4.70
7	002459		78,211,698.08	4.48
8	600690		77,675,092.63	4.45
9	002027		76,460,575.00	4.38
10	002044		75,759,501.22	4.34
11	300316		74,028,649.00	4.24
12	000651		71,004,046.89	4.07
13	601899		70,749,679.52	4.05
14	000596		70,734,180.35	4.05
15	600801		69,003,890.74	3.95
16	002236		66,429,865.41	3.80
17	002241		63,648,825.43	3.65
18	601818		62,640,000.00	3.59
19	605117		59,935,401.26	3.43

20	002756		59,572,856.00	3.41
21	600519		59,447,506.34	3.40
22	601689		59,052,648.95	3.38
23	600176		56,293,310.00	3.22
24	000001		56,185,817.00	3.22
25	600741		55,941,250.81	3.20
26	601012		55,569,467.06	3.18
27	000932		55,294,110.52	3.17
28	000725	A	53,605,273.00	3.07
29	600919		53,343,792.57	3.06
30	600276		50,788,613.23	2.91
31	300682		50,133,414.44	2.87
32	601009		49,739,746.96	2.85
33	603369		49,690,907.00	2.85
34	300724		47,567,249.26	2.72
35	002245		47,560,001.00	2.72
36	601939		47,508,487.87	2.72
37	002966		46,467,182.37	2.66
38	300122		46,304,293.48	2.65
39	300383		46,012,905.50	2.64
40	600438		45,444,595.00	2.60
41	601138		44,416,424.04	2.54
42	601865		44,306,637.50	2.54
43	002738		43,528,492.62	2.49
44	603005		41,164,241.92	2.36
45	600900		41,133,446.20	2.36
46	300347		36,378,373.00	2.08
47	002697		35,961,791.31	2.06

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7.4.2**2% 20**

1	601398		141,811,510.00	8.12

2	601012		130,009,817.02	7.45
3	601166		129,438,434.00	7.41
4	000651		118,077,389.60	6.76
5	600426		105,183,226.20	6.02
6	000725	A	93,467,036.00	5.35
7	603993		93,420,573.17	5.35
8	600741		87,633,128.16	5.02
9	600519		85,651,354.90	4.91
10	002044		81,357,414.79	4.66
11	600585		80,001,782.10	4.58
12	603369		77,938,439.77	4.46
13	601668		77,695,000.00	4.45
14	002459		76,514,846.50	4.38
15	000596		73,657,802.99	4.22
16	600887		72,093,457.75	4.13
17	600690		71,812,202.67	4.11
18	601888		71,334,268.93	4.09
19	601899		70,460,860.00	4.04
20	300682		70,201,292.92	4.02
21	000333		70,113,839.40	4.02
22	600801		69,367,925.42	3.97
23	601601		66,030,855.00	3.78
24	000858		64,891,264.94	3.72
25	600660		64,806,273.13	3.71
26	002241		63,284,649.47	3.62
27	601818		59,883,885.00	3.43
28	300760		54,864,438.09	3.14
29	000932		53,274,482.16	3.05
30	600486		52,985,410.41	3.03
31	300316		50,630,423.09	2.90
32	600176		48,830,713.46	2.80
33	600438		48,073,574.68	2.75
34	601939		46,634,432.04	2.67
35	002966		45,872,162.90	2.63
36	600276		44,832,262.71	2.57
37	601689		44,752,369.35	2.56
38	300122		44,345,035.93	2.54
39	300383		43,979,311.86	2.52

40	300724		42,751,227.37	2.45
41	002027		42,002,076.00	2.41
42	601138		40,074,681.00	2.30
43	603005		40,000,985.41	2.29
44	600104		39,572,320.51	2.27
45	002080		36,490,039.68	2.09
46	300347		36,301,069.00	2.08
47	603589		35,388,981.11	2.03
48	300759		35,110,986.69	2.01
49	300750		34,939,811.00	2.00

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7.4.3

	4,241,107,664.58
	4,659,865,641.92

" " "

7.5

1		-	-
2		-	-
3		120,620,000.00	8.39
		120,620,000.00	8.39
4		-	-
5		-	-
6		-	-
7		18,721,200.00	1.30
8		-	-
9		-	-
10		139,341,200.00	9.69

7.6

1	200309	20 09	700,000	70,028,000.00	4.87
2	210212	21 12	400,000	40,140,000.00	2.79
3	170215	17 15	100,000	10,452,000.00	0.73
4	113050		102,260	10,226,000.00	0.71
5	110053		70,000	8,495,200.00	0.59

7.7

7.8

7.9

7.10

7.10.1

7.10.2

7.11

7.11.1

7.11.2

7.11.3

7.12**7.12.1****7.12.2****7.12.3**

1		1,459,983.46
2		12,176,040.41
3		-
4		2,558,910.68
5		430,641.29
6		-
7		-
8		-
9		16,625,575.84

7.12.4

1	110053		8,495,200.00	0.59

7.12.5**7.12.6**

§8

8.1

()					
84,640	29,128.11	660,736,463.07	26.80%	1,804,667,100.22	73.20%

8.2

		814.41	0.00%

8.3

		0
		0

§9

2006年4月30日	613,254,516.24
	2,262,060,524.04
	866,396,205.71
:	663,053,166.46
"_"	-
	2,465,403,563.29

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10.1

10.2

10.2.1

2021	1	25
2021	4	28

10.2.2

10.3

10.4

10.5

10.6

10.7

10.7.1

	2	1,564,265,537.69	17.59%	831,090.61	12.98%	-
	2	1,419,202,118.01	15.96%	754,030.84	11.78%	-
	1	1,289,040,221.87	14.50%	1,200,484.30	18.75%	-
	1	773,738,736.40	8.70%	720,585.41	11.26%	-

	1	735,766,635.97	8.28%	685,214.41	10.70%	-
	1	619,107,904.80	6.96%	576,574.90	9.01%	-
	1	599,912,951.74	6.75%	318,732.96	4.98%	-
	1	565,772,767.59	6.36%	300,594.64	4.70%	-
	1	546,177,968.31	6.14%	290,184.06	4.53%	-
	1	259,317,209.94	2.92%	241,503.69	3.77%	-
	1	246,112,481.61	2.77%	229,203.56	3.58%	-
	1	177,591,946.05	2.00%	165,391.38	2.58%	-
	2	95,166,935.89	1.07%	88,631.32	1.38%	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

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1998 29

2007 48

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10.7.2

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	10,431,596.49	14.76%	-	-	-	-
	14,653,203.80	20.73%	-	-	-	-
	-	-	-	-	-	-
	3,571,414.63	5.05%	-	-	-	-
	-	-	-	-	-	-
	-	0.00%	-	-	-	-
	-	0.00%	-	-	-	-
	3,423,421.97	4.84%	-	-	-	-
	21,730,900.72	30.74%	-	-	-	-
	951,886.00	1.35%	-	-	-	-
	15,929,684.46	22.53%	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

10.8

1			2021 1 14
2	4	2020	2021 1 22
3	2020 4		2021 1 22
4			2021 1 26

5			2021 3 16
6			2021 3 26
7		2020	2021 3 30
8	2020		2021 3 30
9			2021 3 31
10	1	2021	2021 4 21
11	2021		2021 4 21
12			2021 4 26
13			2021 4 26
14			2021 4 27
15			2021 4 30
16			2021 6 22
17		40	2021 6 29

18	6		2021 6 29
19			2021 6 29
20			2021 6 29
21			2021 6 29
22			2021 6 29
23	2021 1		2021 6 30

§11

11.1

20%

20%

11.2

§12

12.1

1

2

3

4

5

6

7

12.2

12.3

2021 8 31