

2021

2021 6 30

2021 8 31

**§1**

**1.1**

2021 8 27

2021 1 1      2021 6 30

---

<b>1.2</b>		
<b>§1</b>	.....	<b>2</b>
1.1	.....	2
1.2	.....	3
<b>§2</b>	.....	<b>6</b>
2.1	.....	6
2.2	.....	6
2.3	.....	7
2.4	.....	7
2.5	.....	8
<b>§3</b>	.....	<b>9</b>
3.1	.....	9
3.2	.....	9
<b>§4</b>	.....	<b>11</b>
4.1	.....	11
4.2	.....	13
4.3	.....	13
4.4	.....	14
4.5	.....	14
4.6	.....	15
4.7	.....	15
4.8	.....	16
<b>§5</b>	.....	<b>17</b>
5.1	.....	17
5.2	.....	17
5.3	.....	17
<b>§6</b>	.....	<b>18</b>
6.1	.....	18
6.2	.....	19
6.3	.....	20

6.4	.....	21
<b>§7</b>	.....	<b>46</b>
7.1	.....	46
7.2	.....	46
7.3	.....	47
7.4	.....	50
7.5	.....	52
7.6	.....	52
7.7	.....	53
7.8	.....	53
7.9	.....	53
7.10	.....	53
7.11	.....	53
7.12	.....	54
<b>§8</b>	.....	<b>56</b>
8.1	.....	56
8.2	.....	56
8.3	.....	56
<b>§9</b>	.....	<b>57</b>
<b>§10</b>	.....	<b>58</b>
10.1	.....	58
10.2	.....	58
10.3	.....	58
10.4	.....	58
10.5	.....	58
10.6	.....	58
10.7	.....	58
10.8	.....	61
<b>§11</b>	.....	<b>63</b>
11.1	..... 20%	63

---

11.2	.....	63
<b>§12</b>	.....	<b>64</b>
12.1	.....	64
12.2	.....	64
12.3	.....	64



	4		
	5	QDII	A
		*40%+ 300 *10%	*50%+

### 2.3

		021-61009999	95559
		zhouyg@cxfund.com.cn	luzj@bankcomm.com
		4007005566	95559
		021-61009800	021-62701216
		68 9	188
		9	68 18
		200120	200336

### 2.4

	www.cxfund.com.cn

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	18	68 9
--	----	------

**2.5**

		17

### §3

#### 3.1

3.1.1	(2021 1 1 - 2021 6 30 )
	74,175,227.65
	-961,828.72
	-0.0076
	-0.32%
	2.59%
3.1.2	( 2021 6 30 )
	123,761,095.26
	1.4464
	209,325,726.59
	2.4464
3.1.3	( 2021 6 30 )
	185.97%

1

2

3

#### 3.2

##### 3.2.1

	-0.93%	1.14%	-0.98%	0.45%	0.05%	0.69%
	9.72%	1.29%	1.99%	0.54%	7.73%	0.75%
	2.59%	1.82%	1.12%	0.73%	1.47%	1.09%
	47.46%	1.72%	15.75%	0.76%	31.71%	0.96%
	146.10%	1.50%	35.86%	0.80%	110.24%	0.70%
	185.97%	1.50%	25.34%	0.85%	160.63%	0.65%



---

**§4**

**4.1**

**4.1.1**

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2021 6 30

76

100

(LOF)

(LOF)

LOF

500

FOF

300

FOF 50

1-3

87

FOF 6

**4.1.2**

		2017	9	-	15
		26			2006

---

--	--	--	--	--	--

1

2

3 2021 7 6

4 2021 7 16

## 4.2

## 4.3

### 4.3.1

### 4.3.2

5%

#### 4.4

##### 4.4.1

CX0

3

##### 4.4.2

2021 6 30

2.4464

2.6564

2.59%

1.12%

#### 4.5

1

2

2019

20%-30%

3

8

14

64

1

## 4.6

## 4.7

1

12

3

2

3

4

5

## 4.8

**§5**

**5.1**

2021

**5.2**

2021

**5.3**

2021

## §6

### 6.1

2021 6 30

		2021 6 30	2020 12 31
	6.4.6.1	8,249,416.00	6,118,292.37
		413,662.13	333,619.66
		116,785.11	187,351.35
	6.4.6.2	199,679,884.18	403,352,564.28
		187,678,884.18	382,994,600.28
		-	-
		12,001,000.00	20,357,964.00
		-	-
		-	-
	6.4.6.3	-	-
	6.4.6.4	-	-
		1,723,234.64	4,830,827.28
	6.4.6.5	94,633.52	449,157.83
		10,227.20	-
		154,874.82	625,337.62
		-	-
	6.4.6.6	-	-
		210,442,717.60	415,897,150.39
		2021 6 30	2020 12 31
		-	-
		-	-
	6.4.6.3	-	-
		-	-
		5.36	5.30
		517,619.67	553,407.77
		294,692.74	486,194.52
		49,115.48	81,032.41
		-	-
	6.4.6.7	156,462.37	214,739.43
		-	1.55

		-	-
		-	-
		-	-
	6.4.6.8	99,095.39	189,699.63
		1,116,991.01	1,525,080.61
	6.4.6.9	85,564,631.33	173,772,237.99
	6.4.6.10	123,761,095.26	240,599,831.79
		209,325,726.59	414,372,069.78
		210,442,717.60	415,897,150.39
2021	6 30	2.4464	85,564,631.33

## 6.2

2021 1 1 2021 6 30

		2021 1 1 2021 6 30	2020 1 1 2020 6 30
		2,834,195.50	70,013,561.32
1.		188,065.57	331,985.23
	6.4.6.11	58,718.71	108,929.34
		129,346.86	198,619.63
		-	-
		-	24,436.26
		-	-
		-	-
2.	" -"	77,372,564.28	89,240,273.02
	6.4.6.12	76,725,353.59	88,106,941.88
		-	-
	6.4.6.13	12,102.27	454,255.18
	6.4.6.13.5	-	-
	6.4.6.14	-	-
	6.4.6.15	-	-872,820.00
	6.4.6.16	635,108.42	1,551,895.96
3.	" -" 6.4.6.17	-75,137,056.37	-21,148,232.98
4.	" -"	-	-
5.	" -" 6.4.6.18	410,622.02	1,589,536.05
		3,796,024.22	4,884,355.00

1	6.4.9.2.1	2, 275, 431. 98	2, 279, 925. 09
2	6.4.9.2.2	379, 238. 73	379, 987. 50
3	6.4.9.2.3	-	-
4	6.4.6.19	1, 031, 843. 38	2, 107, 782. 57
5		-	2, 055. 74
		-	2, 055. 74
6		-	1. 97
7	6.4.6.20	109, 510. 13	114, 602. 13
	" -"	-961, 828. 72	65, 129, 206. 32
		-	-
	" -"	-961, 828. 72	65, 129, 206. 32

### 6.3

2021 1 1 2021 6 30

	2021 1 1 2021 6 30		
	173, 772, 237. 99	240, 599, 831. 79	414, 372, 069. 78
	-	-961, 828. 72	-961, 828. 72
" -"	-88, 207, 606. 66	-115, 876, 907. 81	-204, 084, 514. 47
1.	17, 676, 093. 78	25, 168, 664. 37	42, 844, 758. 15
2.	-105, 883, 700. 44	-141, 045, 572. 18	-246, 929, 272. 62
	-	-	-
" -"			
	85, 564, 631. 33	123, 761, 095. 26	209, 325, 726. 59

	2020 1 1 2020 6 30		
	355,990,082.42	108,264,348.42	464,254,430.84
	-	65,129,206.32	65,129,206.32
" _"	-218,721,659.16	-82,919,609.24	-301,641,268.40
1.	206,642,031.80	77,860,318.44	284,502,350.24
2.	-425,363,690.96	-160,779,927.68	-586,143,618.64
	-	-	-
" _"			
	137,268,423.26	90,473,945.50	227,742,368.76

6.1 6.4

## 6.4

### 6.4.1

( " " )

( " " ) (

" " ) [2015]1069

1,472,766,279.25

( ) 2015 7 1

[2020]1527

2020

9 24

2020 9

24

(  
)  
(  
)

( )

0%-95%

50%

20%

5% (

)

2020 9 24

"

300

× 60%+

× 40%"

"

× 40%+

300

× 50%+

(

) × 10%"

### 6.4.2

( " " )



		1	( 1 )	
	1	1	( 1 )	50%
1				20%
		H		H
	( " "	)		H
H	20%			H
		20%		
4)	A	0.10%	( )	

## 6.4.6

### 6.4.6.1

	2021 6 30
	8,249,416.00
	-
1	-
1-3	-
3	-
	-
	8,249,416.00

### 6.4.6.2

		2021 6 30		
		148,404,699.09	187,678,884.18	39,274,185.09
-		-	-	-
		1,999,028.57	2,000,000.00	971.43
		9,994,140.00	10,001,000.00	6,860.00
		11,993,168.57	12,001,000.00	7,831.43
		-	-	-

	-	-	-
	-	-	-
	160,397,867.66	199,679,884.18	39,282,016.52

**6.4.6.3** /

/

**6.4.6.4**

**6.4.6.4.1**

**6.4.6.4.2**

**6.4.6.5**

	2021 6 30
	3,588.78
	-
	-
	167.94
	90,832.88
	-
	-
	-
	-
	-
	43.92
	94,633.52

**6.4.6.6**

**6.4.6.7**

	2021 6 30
	156,287.37

	175.00
	156,462.37

**6.4.6.8**

	2021 6 30
	-
	835.24
	-
	9,000.00
	29,752.78
	59,507.37
	99,095.39

**6.4.6.9**

	2021 1 1	2021 6 30
	173,772,237.99	173,772,237.99
	17,676,093.78	17,676,093.78
( "-" )	-105,883,700.44	-105,883,700.44
- /	-	-
/	-	-
	-	-
( "-" )	-	-
	85,564,631.33	85,564,631.33

( )

( )

**6.4.6.10**

	172,134,239.13	68,465,592.66	240,599,831.79
	74,175,227.65	-75,137,056.37	-961,828.72
	-113,668,077.50	-2,208,830.31	-115,876,907.81
	21,234,979.98	3,933,684.39	25,168,664.37
	-134,903,057.48	-6,142,514.70	-141,045,572.18
	-	-	-
	132,641,389.28	-8,880,294.02	123,761,095.26

**6.4.6.11**

	2021 1 1	2021 6 30
		46,113.06
		-
		-
		10,590.03
		2,015.62
		58,718.71

**6.4.6.12****6.4.6.12.1**      —

	2021 1 1	2021 6 30
		453,682,177.28
		376,956,823.69
		76,725,353.59

**6.4.6.13****6.4.6.13.1**

	2021 1 1	2021 6 30
---		12,102.27
---		-
---		-
		12,102.27

**6.4.6.13.2**      —

	2021 1 1	2021 6 30
		46,121,783.58
		45,348,615.43

	761,065.88
	12,102.27

**6.4.6.13.3**            —

**6.4.6.13.4**            —

**6.4.6.13.5**

**6.4.6.14**

**6.4.6.14.1**

**6.4.6.15**

**6.4.6.15.1**            —

**6.4.6.15.2**            —

**6.4.6.16**

	2021	1	1	2021	6	30
						635,108.42
						-
						-
						635,108.42

**6.4.6.17**

	2021	1	1	2021	6	30
1.						-75,137,056.37
—						-75,171,096.80
—						34,040.43

---	-
---	-
---	-
2.	-
---	-
3.	-
	-
	-75,137,056.37

**6.4.6.18**

	2021 1 1	2021 6 30
		394,985.45
		15,636.57
		410,622.02

30

30

3

75%

3

6

50%

6

25%

**6.4.6.19**

	2021 1 1	2021 6 30
		1,031,293.38
		550.00
		-
		-
		-
		1,031,843.38

**6.4.6.20**

	2021 1 1	2021 6 30
		29,752.78

	59,507.37
	-
	1,649.98
	18,000.00
	600.00
	-
	-
	109,510.13

**6.4.7****6.4.7.1****6.4.7.2****6.4.8****6.4.8.1****6.4.8.2**

" "	
" "	
" "	
" "	

**6.4.9****6.4.9.1****6.4.9.1.1**

	2021 1 1	2021 6 30	2020 1 1	2020 6 30

	119,723,118.06	17.00%	106,463,526.41	7.10%

**6.4.9.1.2**

	2021 1 1	2021 6 30	2020 1 1	2020 6 30
	1,533,507.53	14.41%	10,717,072.46	35.66%

**6.4.9.1.3****6.4.9.1.4****6.4.9.1.5**

	2021 1 1 2021 6 30			
	111,497.83	26.77%	-	-
	2020 1 1 2020 6 30			
	99,147.69	9.47%	-	-

1

2

**6.4.9.2****6.4.9.2.1**

	2021 1 1	2021 6	2020 1 1	2020 6 30
--	----------	--------	----------	-----------

	30	
	2,275,431.98	2,279,925.09
	464,980.96	388,899.56

1.5%

= × 1.5%/

**6.4.9.2.2**

	2021 1 1	2021 6 30	2020 1 1	2020 6 30
		379,238.73		379,987.50

0.25%

= × 0.25%/

**6.4.9.3**

( )

( )

**6.4.9.4****6.4.9.4.1****6.4.9.4.2****6.4.9.5****6.4.9.5.1**

--	--	--

	2021 1 1 2021	2020 1 1 2020
	6 30	6 30
2015	-	-
7 1	33,295,030.25	2,006,279.66
/	-	31,778,750.59
/	-	-
/	-	490,000.00
	33,295,030.25	33,295,030.25
	38.91%	24.26%

### 6.4.9.5.2

### 6.4.9.6

	2021 1 1 2021	2020 1 1 2020
	6 30	6 30
	8,249,416.00	46,113.06
		4,869,369.39
		79,001.74

"

"

2021 6 30 413,662.13 (2020 6 30 519,135.55 )

### 6.4.9.7

### 6.4.9.8

10,338.36 ) 10,525.23 (2020 6 30 ( 2020 1 1 6 30 95,264,820.00 ) ( 2020 1 1 6 30 ) (2020 6 30 )

**6.4.10****6.4.11            2021    6    30****6.4.11.1            /**

6.4.11.1.1										
688696		2021 2 23	2021 9 3		133.73	780.24	787	105,245.51	614,048.88	-
688083		2021 3 4	2021 9 13		150.50	524.08	718	108,059.00	376,289.44	-
688269		2021 6 1	2021 12 9		18.94	100.92	1,941	36,762.54	195,885.72	-
688079		2021 2 23	2021 9 2		10.19	18.40	10,622	108,238.18	195,444.80	-
688161		2021 6 23	2021 12 30		36.22	82.27	1,521	55,090.62	125,132.67	-
688316		2021 3 5	2021 9 16		63.70	65.00	1,428	90,963.60	92,820.00	-
688633		2021 3 17	2021 9 24		33.62	53.14	1,532	51,505.84	81,410.48	-
301018		2021 6	2021 7		8.29	8.29	7,811	64,753.19	64,753.19	-

		28	7							
688676		2021 3 2	2021 9 9		10.10	16.24	3,750	37,875.00	60,900.00	-
688611		2021 4 1	2021 10 12		33.44	42.34	1,400	46,816.00	59,276.00	-
688499		2021 6 23	2021 7 1		38.85	38.85	1,455	56,526.75	56,526.75	-
301020		2021 6 28	2021 7 6		10.64	10.64	4,210	44,794.40	44,794.40	-
300957		2021 3 18	2021 9 27		47.33	251.96	155	7,336.15	39,053.80	-
688517		2021 6 10	2021 12 20		7.71	11.88	2,892	22,297.32	34,356.96	-
688087		2021 6 30	2021 7 9		21.96	21.96	1,530	33,598.80	33,598.80	-
301021		2021 6 28	2021 7 6		9.46	9.46	2,899	27,424.54	27,424.54	-
300953		2021 3 11	2021 9 22		28.77	99.85	240	6,904.80	23,964.00	-
300979		2021 4 15	2021 10 26		33.22	87.50	227	7,540.94	19,862.50	-
300973		2021 4	2021 10		28.28	115.71	162	4,581.36	18,745.02	-

		8	15							
301015		2021 6 22	2021 12 30		7.64	38.83	462	3,529.68	17,939.46	-
688226		2021 6 28	2021 7 7		6.42	6.42	2,693	17,289.06	17,289.06	-
300982		2021 4 19	2021 10 27		15.83	45.29	317	5,018.11	14,356.93	-
301009		2021 6 8	2021 12 17		12.54	27.89	505	6,332.70	14,084.45	-
300940		2021 1 27	2021 8 3		12.76	43.38	307	3,917.32	13,317.66	-
300614		2021 5 18	2021 11 25		9.19	40.38	329	3,023.51	13,285.02	-
300937		2021 1 20	2021 7 27		12.25	53.71	244	2,989.00	13,105.24	-
301013		2021 6 21	2021 12 29		8.72	27.11	471	4,107.12	12,768.81	-
300942		2021 2 2	2021 8 9		5.31	28.52	443	2,352.33	12,634.36	-
300933		2021 1 15	2021 7 22		3.37	10.44	1,112	3,747.44	11,609.28	-
605287		2021 6	2021 7		31.56	31.56	349	11,014.44	11,014.44	-

		28	6							
300955		2021 3 16	2021 9 24		16.53	46.07	232	3,834.96	10,688.24	-
300981		2021 4 19	2021 10 27		48.59	90.39	116	5,636.44	10,485.24	-
300962		2021 3 29	2021 10 11		3.40	16.37	576	1,958.40	9,429.12	-
300952		2021 3 3	2021 9 13		11.72	27.08	340	3,984.80	9,207.20	-
301016		2021 6 23	2021 12 30		13.75	32.98	275	3,781.25	9,069.50	-
300966		2021 3 31	2021 10 11		8.24	31.54	286	2,356.64	9,020.44	-
300945		2021 2 3	2021 8 10		4.56	18.88	474	2,161.44	8,949.12	-
300948		2021 2 18	2021 8 25		8.67	27.42	324	2,808.00	8,884.08	-
300931		2021 1 13	2021 7 21		4.31	13.11	672	2,896.32	8,809.92	-
300961		2021 3 23	2021 9 30		8.48	22.07	394	3,341.12	8,695.58	-
300968		2021 4	2021 10		6.87	12.07	708	4,863.96	8,545.56	-

		6	15							
300987		2021 4 28	2021 11 11		6.79	26.81	301	2,043.79	8,069.81	-
300978		2021 4 15	2021 10 26		8.42	13.60	593	4,993.06	8,064.80	-
300926		2020 12 29	2021 7 7		10.76	22.20	359	3,862.84	7,969.80	-
300986		2021 4 21	2021 11 1		14.79	30.57	243	3,593.97	7,428.51	-
300993		2021 5 17	2021 11 24		12.10	19.29	369	4,464.90	7,118.01	-
300927		2020 12 29	2021 7 7		13.39	30.10	217	2,905.63	6,531.70	-
300975		2021 4 14	2021 10 21		5.48	13.03	492	2,696.16	6,410.76	-
300963		2021 3 30	2021 10 11		12.13	22.49	283	3,432.79	6,364.67	-
301011		2021 6 9	2021 12 17		14.20	33.84	175	2,485.00	5,922.00	-
300943		2021 2 3	2021 8 10		9.79	23.01	255	2,496.45	5,867.55	-
300967		2021 4	2021 10		4.54	11.54	507	2,301.78	5,850.78	-

		2	13							
300929		2021 1 12	2021 7 20		13.87	29.55	183	2,538.21	5,407.65	-
300960		2021 3 22	2021 9 29		12.08	23.06	234	2,826.72	5,396.04	-
300995		2021 5 17	2021 11 26		14.72	23.32	191	2,811.52	4,454.12	-
301007		2021 6 4	2021 12 16		5.29	14.44	303	1,602.87	4,375.32	-
300998		2021 5 25	2021 12 2		6.02	21.32	201	1,210.02	4,285.32	-
301012		2021 6 15	2021 12 22		8.05	24.26	170	1,368.50	4,124.20	-
301010		2021 6 9	2021 12 20		7.83	17.61	218	1,706.94	3,838.98	-

=(

- + × ) ÷ (1+ + )

**6.4.11.2****6.4.11.3****6.4.11.3.1**

**6.4.11.3.2**

**6.4.11.4**

**6.4.12**

**6.4.12.1**

"

"

**6.4.12.2**

10%

10%

**6.4.12.2.1**

	2021 6 30	2020 12 31
A-1	0.00	0.00
A-1	0.00	0.00
	12,001,000.00	20,357,964.00
	12,001,000.00	20,357,964.00

**6.4.12.2.2****6.4.12.2.3****6.4.12.2.4****6.4.12.2.5****6.4.12.2.6****6.4.12.3****6.4.12.3.1**

**6.4.12.4****6.4.12.4.1****6.4.12.4.1.1**

2021 6 30	6	6 -1	1-5	5		
	8,249,416.00	-	-	-	-	8,249,416.00
	413,662.13	-	-	-	-	413,662.13
	108,481.36	-	-	-	8,303.75	116,785.11
	2,000,000.00	10,001,000.00	-	-	187,678,884.18	199,679,884.18
	-	-	-	-	1,723,234.64	1,723,234.64
	-	-	-	-	94,633.52	94,633.52
	-	-	-	-	10,227.20	10,227.20
	-	-	-	-	154,874.82	154,874.82
	10,771,559.49	10,001,000.00	-	-	189,670,158.11	210,442,717.60

		-	-	-	-	5.36	5.36
		-	-	-	-	517,619.67	517,619.67
		-	-	-	-	294,692.74	294,692.74
		-	-	-	-	49,115.48	49,115.48
		-	-	-	-	156,462.37	156,462.37
		-	-	-	-	99,095.39	99,095.39
		-	-	-	-	1,116,991.01	1,116,991.01
	10,771,559.49	10,001,000.00				188,553,167.10	209,325,726.59
2020	12	31	6	6	-1	1-5	5
			6,118,292.37				6,118,292.37
			333,619.66				333,619.66
			187,351.35				187,351.35
			20,357,964.00				403,352,564.28
						382,994,600.28	4,830,827.28
							449,157.83
							625,337.62
			26,997,227.38				388,899,923.01
						5.30	5.30
						553,407.77	553,407.77
						486,194.52	486,194.52
						81,032.41	81,032.41
						214,739.43	214,739.43
						1.55	1.55
						189,699.63	189,699.63
						1,525,080.61	1,525,080.61
			26,997,227.38				387,374,842.40
							414,372,069.78

:

## 6.4.12.4.1.2

		2021 6 30	2020 12 31
	27	21,495.75	1,654.49
	27	-21,495.75	-1,654.49

**6.4.12.4.2**

2021 6 30

26,481,055.10

2020 12 31

24,687,354.80

**6.4.12.4.3**

VaR (Value at Risk)

6 30

**6.4.12.4.3.1**

	2021 6 30		2020 12 31	
		%		%
-	187,678,884.18	89.66	382,994,600.28	92.43
	-	-	-	-
	12,001,000.00	5.73	20,357,964.00	4.91
	-	-	-	-
	-	-	-	-
	-	-	-	-
	199,679,884.18	95.39	403,352,564.28	97.34

**6.4.12.4.3.2**

	95%
--	-----

				2021 6	2020 12
				30	31
	VaR 7.92%	2020 12		-16,576,350.95	-21,113,102.13
	31 VaR 5.10%)				

VaR

95%

2020

**6.4.13**

(1)

(a)

(b)

(i)

2021 6 30

185,153,927.50

12,256,401.18

2,269,555.50

(ii)

( )

(iii)

5,751,186.04

3,481,630.54

2,269,555.50

(2)

## §7

### 7.1

			%
1		187,678,884.18	89.18
		187,678,884.18	89.18
2		-	-
3		12,001,000.00	5.70
		12,001,000.00	5.70
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		8,663,078.13	4.12
8		2,099,755.29	1.00
9		210,442,717.60	100.00

26,470,827.90

12.65%

### 7.2

#### 7.2.1

			(%)
A		5,850.78	0.00
B		-	-
C		130,213,240.70	62.21
D		4,662.00	0.00
E		25,371.37	0.01
F		46,404.58	0.02
G		-	-
H		-	-
I		7,674,659.65	3.67
J		1,569,207.60	0.75
K		10,095.90	0.00

L		5,401,800.00	2.58
M		16,179,119.35	7.73
N		77,644.35	0.04
O		-	-
P		-	-
Q		-	-
R		-	-
S		-	-
		161,208,056.28	77.01

### 7.2.2

A		-	-
B		10,348,412.54	4.94
C		4,990,849.12	2.38
D		-	-
E		-	-
F		4,814,414.88	2.30
G		-	-
H		-	-
I		6,317,151.36	3.02
J		-	-
K		-	-
		26,470,827.90	12.65

### 7.3

1	600519		7,764	15,968,218.80	7.63
2	000858		52,429	15,618,074.81	7.46
3	000568		50,935	12,017,603.90	5.74
4	600132		59,300	11,738,435.00	5.61
5	603259		74,765	11,707,451.35	5.59
6	000596		40,198	9,627,421.00	4.60
7	600600		81,800	9,460,170.00	4.52
8	002415		140,907	9,088,501.50	4.34
9	603486		37,500	8,553,000.00	4.09
10	688169		6,198	7,815,678.00	3.73
11	00700		13,000	6,317,151.36	3.02

12	600570		63,820	5,951,215.00	2.84
13	601888		18,000	5,401,800.00	2.58
14	300759		20,000	4,339,800.00	2.07
15	000661		10,800	4,179,600.00	2.00
16	03690		15,000	3,998,976.48	1.91
17	603369		70,336	3,809,397.76	1.82
18	600809		7,500	3,360,000.00	1.61
19	600690		128,300	3,324,253.00	1.59
20	02020		21,000	3,194,188.70	1.53
21	02331		40,000	3,155,247.36	1.51
22	688363		10,000	2,778,600.00	1.33
23	002372		127,678	2,639,104.26	1.26
24	00291		44,000	2,553,653.52	1.22
25	603345		10,000	2,540,200.00	1.21
26	01951		150,000	2,446,315.20	1.17
27	09633		75,200	2,437,195.60	1.16
28	02269		20,000	2,368,099.68	1.13
29	605499		9,000	2,257,200.00	1.08
30	601995		24,951	1,534,486.50	0.73
31	688536		2,211	1,218,261.00	0.58
32	688016		2,000	909,220.00	0.43
33	688696		787	614,048.88	0.29
34	603195		2,300	464,600.00	0.22
35	688690		3,477	408,547.50	0.20
36	688083		718	376,289.44	0.18
37	300896		283	223,253.04	0.11
38	688601		1,080	198,828.00	0.09
39	688269		1,941	195,885.72	0.09
40	688079		10,622	195,444.80	0.09
41	688093		4,595	182,697.20	0.09
42	688216		2,000	133,400.00	0.06
43	688621		1,485	131,868.00	0.06
44	688319		3,412	127,642.92	0.06
45	688161		1,521	125,132.67	0.06
46	688314		1,085	114,619.40	0.05
47	688136		2,812	104,268.96	0.05
48	688316		1,428	92,820.00	0.04
49	688217		1,040	86,736.00	0.04
50	688633		1,532	81,410.48	0.04

51	688117		1,672	80,924.80	0.04
52	301011		1,750	79,474.50	0.04
53	301018		7,811	64,753.19	0.03
54	688557		1,812	60,973.80	0.03
55	688676		3,750	60,900.00	0.03
56	301007		3,022	60,305.15	0.03
57	688611		1,400	59,276.00	0.03
58	688499		1,455	56,526.75	0.03
59	301010		2,172	50,480.96	0.02
60	301020		4,210	44,794.40	0.02
61	300894		628	42,704.00	0.02
62	688679		3,139	41,372.02	0.02
63	688067		1,259	39,520.01	0.02
64	300957		155	39,053.80	0.02
65	688367		1,717	37,035.69	0.02
66	601528		2,230	34,721.10	0.02
67	688517		2,892	34,356.96	0.02
68	688087		1,530	33,598.80	0.02
69	605089		370	32,197.40	0.02
70	301021		2,899	27,424.54	0.01
71	300953		240	23,964.00	0.01
72	001207		682	22,581.02	0.01
73	300979		227	19,862.50	0.01
74	300973		162	18,745.02	0.01
75	301015		462	17,939.46	0.01
76	688226		2,693	17,289.06	0.01
77	600060		1,000	16,900.00	0.01
78	300913		630	16,506.00	0.01
79	300925		600	15,774.00	0.01
80	300982		317	14,356.93	0.01
81	301009		505	14,084.45	0.01
82	001208		1,754	13,558.42	0.01
83	300940		307	13,317.66	0.01
84	300614		329	13,285.02	0.01
85	300937		244	13,105.24	0.01
86	301013		471	12,768.81	0.01
87	300942		443	12,634.36	0.01
88	603171		637	12,230.40	0.01
89	300933		1,112	11,609.28	0.01

90	300918		1,063	11,065.83	0.01
91	605287		349	11,014.44	0.01
92	300955		232	10,688.24	0.01
93	300981		116	10,485.24	0.01
94	300917		365	10,095.90	0.00
95	300962		576	9,429.12	0.00
96	300952		340	9,207.20	0.00
97	301016		275	9,069.50	0.00
98	300966		286	9,020.44	0.00
99	300945		474	8,949.12	0.00
100	300948		324	8,884.08	0.00
101	300931		672	8,809.92	0.00
102	300961		394	8,695.58	0.00
103	300968		708	8,545.56	0.00
104	300987		301	8,069.81	0.00
105	300978		593	8,064.80	0.00
106	300926		359	7,969.80	0.00
107	300986		243	7,428.51	0.00
108	300993		369	7,118.01	0.00
109	300927		217	6,531.70	0.00
110	300975		492	6,410.76	0.00
111	300963		283	6,364.67	0.00
112	300943		255	5,867.55	0.00
113	300967		507	5,850.78	0.00
114	300929		183	5,407.65	0.00
115	300960		234	5,396.04	0.00
116	605011		525	4,662.00	0.00
117	300995		191	4,454.12	0.00
118	300998		201	4,285.32	0.00
119	301012		170	4,124.20	0.00

**7.4****7.4.1****2% 20**

1	00700		30,051,834.39	7.25

2	600519		15,379,631.00	3.71
3	600690		13,965,957.98	3.37
4	03690		13,649,156.95	3.29
5	603816		13,099,443.65	3.16
6	002372		12,522,808.43	3.02
7	688169		10,612,397.73	2.56
8	600600		10,121,691.00	2.44
9	000661		9,680,084.00	2.34
10	603259		9,093,320.80	2.19
11	09633		7,907,932.60	1.91
12	00291		7,714,424.00	1.86
13	603486		6,775,613.44	1.64
14	601888		6,543,674.00	1.58
15	000596		6,068,384.35	1.46
16	000651		5,859,119.00	1.41
17	06969		4,972,068.20	1.20
18	002415		4,698,968.52	1.13
19	603517		4,583,090.00	1.11
20	300759		4,301,338.00	1.04

" "

**7.4.2****2% 20**

1	600519		41,983,573.00	10.13
2	000333		26,405,287.83	6.37
3	000858		24,266,605.60	5.86
4	00700		24,127,038.36	5.82
5	000596		21,848,135.00	5.27
6	600521		19,655,228.20	4.74
7	002304		19,520,048.70	4.71
8	000568		18,817,030.60	4.54
9	600176		17,359,678.00	4.19
10	600809		15,994,389.16	3.86
11	03690		15,316,773.71	3.70

12	600600		14,224,771.00	3.43
13	06969		13,421,397.90	3.24
14	603816		13,030,608.00	3.14
15	688169		12,673,250.00	3.06
16	601888		12,596,879.00	3.04
17	002332		11,721,502.32	2.83
18	002372		10,769,873.00	2.60
19	603259		10,456,400.59	2.52
20	600132		8,179,598.00	1.97

" "

### 7.4.3

	256,812,204.39
	453,682,177.28

" " " "

### 7.5

1		2,000,000.00	0.96
2		-	-
3		10,001,000.00	4.78
		10,001,000.00	4.78
4		-	-
5		-	-
6		-	-
7		-	-
8		-	-
9		-	-
10		12,001,000.00	5.73

### 7.6

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1	210206	21	06	100,000	10,001,000.00	4.78
2	019640	20	10	20,000	2,000,000.00	0.96

**7.7**

**7.8**

**7.9**

**7.10**

**7.10.1**

**7.10.2**

**7.11**

**7.11.1**

**7.11.2**

**7.11.3**

**7.12**

**7.12.1**

2020 12 25

2020 67

:

" "

4880

**7.12.2**

**7.12.3**

1		116,785.11
2		1,723,234.64
3		10,227.20
4		94,633.52
5		154,874.82
6		-
7		-
8		-
9		2,099,755.29

**7.12.4****7.12.5****7.12.6**

## §8

## 8.1

( )					
24,271	3,525.39	33,295,030.25	38.91%	52,269,601.08	61.09%

## 8.2

	0.00	0.00%

## 8.3

	0
	0

**§9**

2015年7月1日	1,472,766,279.25
	173,772,237.99
	17,676,093.78
:	105,883,700.44
"_"	-
	85,564,631.33

## §10

### 10.1

### 10.2

#### 10.2.1

2021 1 25

2021 4 28

#### 10.2.2

### 10.3

### 10.4

### 10.5

### 10.6

### 10.7

#### 10.7.1

	1	217,810,830.05	30.93%	115,722.43	27.78%	-
	2	123,463,336.65	17.53%	49,385.35	11.86%	-
	2	119,723,118.06	17.00%	111,497.83	26.77%	-





	-	-	-	-	-	-
	-	-	-	-	-	-

**10.8**

1			2021 1 14
2	2020 4		2021 1 22
3	2020 4		2021 1 22
4			2021 1 26
5			2021 3 12
6			2021 3 16
7			2021 3 26
8	2020		2021 3 30
9	2020		2021 3 30
10			2021 3 31

11	2021 1		2021 4 21
12	2021		2021 4 21
13			2021 4 30
14			2021 6 7
15			2021 6 22
16	40		2021 6 29
17			2021 6 29
18			2021 6 29
19			2021 6 29
20			2021 6 29
21	2021 1		2021 6 30

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**§11**
**11.1****20%**

		20%					
	1	2021 2 10 2021 6 30	33,295,030.25	0.00	0.00	33,295,030.25	38.91%
	-	-	-	-	-	-	-
1							
2							
3							

**11.2**

## §12

### 12.1

1

2

3

4

5

6

### 12.2

### 12.3

2021 8 31