

2021

2021 6 30

2021 8 31

§1

1.1

2021 8 26

2021 1 1 2021 6 30

1.2		
§1	2
1.1	2
1.2	3
§2	6
2.1	6
2.2	6
2.3	6
2.4	7
2.5	7
§3	8
3.1	8
3.2	8
§4	11
4.1	11
4.2	13
4.3	13
4.4	13
4.5	14
4.6	14
4.7	15
4.8	15
§5	16
5.1	16
5.2	16
5.3	16
§6	17
6.1	17
6.2	18
6.3	19

6.4	20
§7	46
7.1	46
7.2	46
7.3	47
7.4	49
7.5	51
7.6	51
7.7	51
7.8	51
7.9	52
7.10	52
7.11	52
7.12	52
§8	56
8.1	56
8.2	56
8.3	56
§9	57
§10	58
10.1	58
10.2	58
10.3	58
10.4	58
10.5	58
10.6	58
10.7	58
10.8	60
§11	62
11.1 20%	62

11.2	62
§12	63
12.1	63
12.2	63
12.3	63

§2

2.1

	010199	
	2020 10 19	
	828,756,073.16	
		A C
	010199	010200
	809,326,196.06	19,429,877.10

2.2

	*75%+ 300 *15%+
	*10%

2.3

	021-61009999	010-58560666
	zhouyg@cxfund.com.cn	tgbfxjdzx@cmbc.com.cn
	4007005566	95568
	021-61009800	010-58560798
	68 9	2
		68
	9	2

	200120	100031

2.4

	www.cxfund.com.cn
	2 68 9

2.5

		68 9

§3

3.1

	A	C
3.1.1	(2021 1 1 - 2021 6 30)	(2021 1 1 - 2021 6 30)
	7,407,612.75	54,209.84
	3,050,863.11	-459,323.33
	0.0037	-0.0296
	0.36%	-2.87%
	0.55%	0.33%
3.1.2	(2021 6 30)	
	1,053,707.48	8,415.48
	0.0013	0.0004
	816,996,871.29	19,597,267.37
	1.0095	1.0086
3.1.3	(2021 6 30)	
	2.65%	2.36%

1

2

3

3.2

3.2.1

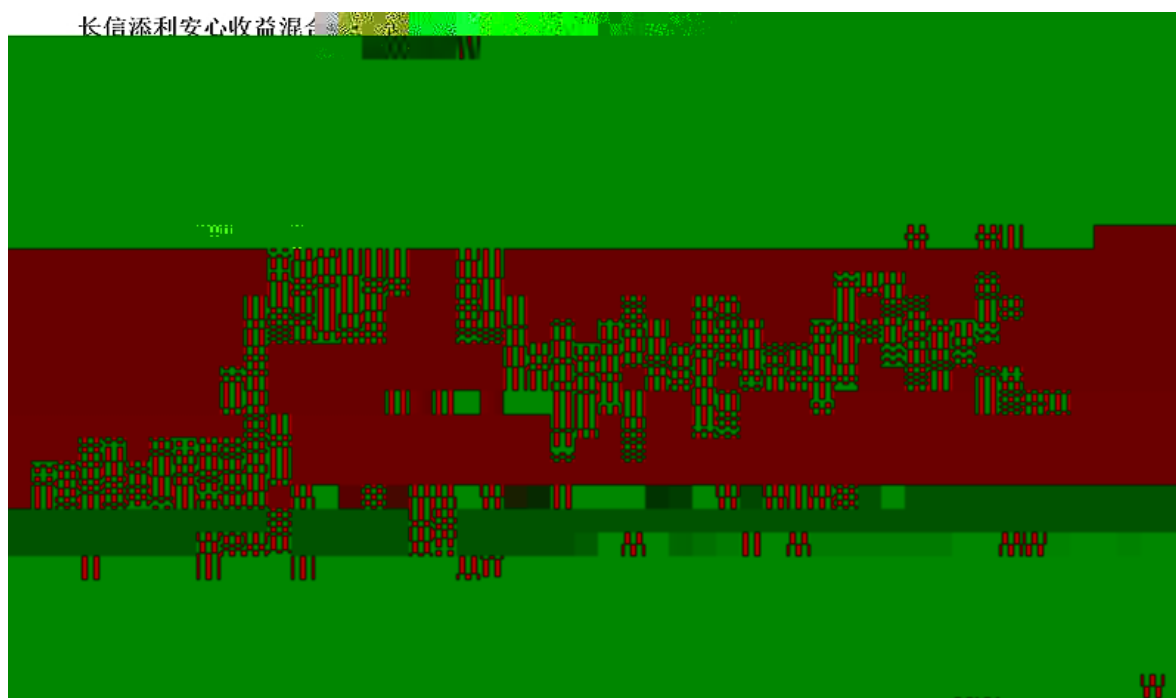
A

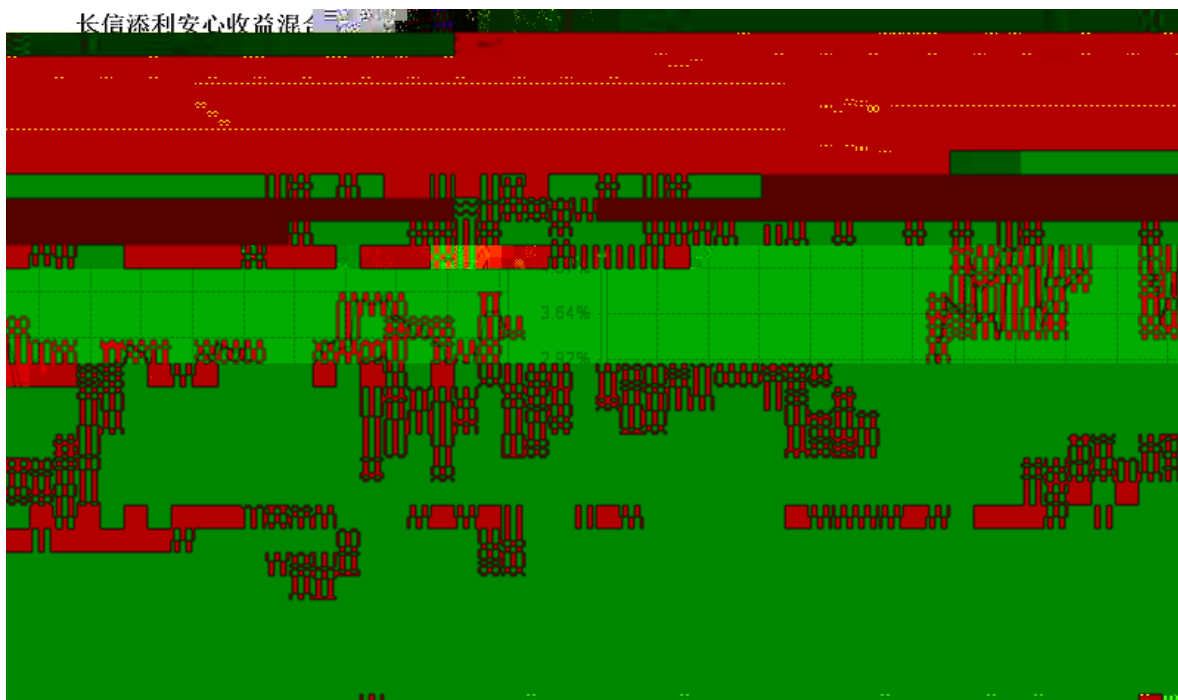
	-0.87%	0.22%	-0.30%	0.18%	-0.57%	0.04%
	-0.51%	0.28%	0.91%	0.21%	-1.42%	0.07%
	0.55%	0.47%	1.18%	0.29%	-0.63%	0.18%
	2.65%	0.41%	3.87%	0.26%	-1.22%	0.15%

C

	-0.91%	0.22%	-0.30%	0.18%	-0.61%	0.04%
	-0.60%	0.28%	0.91%	0.21%	-1.51%	0.07%
	0.33%	0.47%	1.18%	0.29%	-0.85%	0.18%
	2.36%	0.41%	3.87%	0.26%	-1.51%	0.15%

3.2.2





1 2020 10 19
2020 10 19 2021 6 30
2 6

§4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2021 6 30

76

100

(LOF)

(LOF)

LOF

500

FOF

300

FOF 50

1-3

87

FOF 6

4.1.2

		2020	10	-	2013
		19		8	

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

4.4.1

AAA

4.4.2

2021	6	30	A	1.0095	
1.0265			A	0.55%	C
	1.0086		1.0236		C
0.33%			1.18%		

4.5**4.6**

4.7

	A	2021 6 28	2021 6 28	10
0.170		6,877,743.79	6,766,874.24	
13,644,618.03				
	C	2021 6 28	2021 6 28	10
0.150		291,448.16	0.00	
291,448.16				

1

3

2

A

C

3

4

5

4.8

§5

5.1

5.2

5.3

§6

6.1

2021 6 30

		2021 6 30	2020 12 31
	6.4.6.1	4,022,468.20	2,512,407.59
		5,143,061.52	5,936,690.13
		297,515.94	87,171.20
	6.4.6.2	793,667,557.22	662,367,405.92
		178,994,862.42	147,863,575.92
		-	-
		614,672,694.80	514,503,830.00
		-	-
		-	-
	6.4.6.3	-	-
	6.4.6.4	23,000,000.00	89,000,000.00
		3,336,647.95	42,377,629.00
	6.4.6.5	8,346,060.69	4,811,790.07
		-	-
		-	-
		-	-
	6.4.6.6	-	-
		837,813,311.52	807,093,093.91
		2021 6 30	2020 12 31
		-	-
		-	-
	6.4.6.3	-	-
		-	-
		-	42,901,619.85
		-	-
		555,454.27	448,331.04
		104,147.68	84,062.07
		6,546.59	280.02
	6.4.6.7	446,008.59	414,846.65
		13,713.17	19,175.10

" -"		
4.	" -"	-
5.	" -"	6.4.6.18
		58,452.74
		5,726,033.69
1		6.4.9.2.1
		3,425,802.10
2		6.4.9.2.2
		642,337.88
3		6.4.9.2.3
		31,973.96
4		6.4.6.19
		1,440,078.29
5		
		60,654.05
		60,654.05
6		
		14,861.47
7		6.4.6.20
		110,325.94
" -"		2,591,539.78
		-
" -"		2,591,539.78

2021 1 1 2021 6 30

2020 10 19

6.3

2021 1 1 2021 6 30

	2021 1 1 2021 6 30		
	747,492,522.47	15,657,256.71	763,149,779.18
	-	2,591,539.78	2,591,539.78
	81,263,550.69	3,525,335.20	84,788,885.89

" -"			
1.	121,993,884.65	4,768,999.51	126,762,884.16
2.	-40,730,333.96	-1,243,664.31	-41,973,998.27
	-	-13,936,066.19	-13,936,066.19
" -"			
	828,756,073.16	7,838,065.50	836,594,138.66
	2021 1 1	2021 6 30	2020 10 19

6.1 6.4

6.4

6.4.1

(" ")

(" ") (" ")
 " ") [2020]2089

A

C 219,402,660.41
 () () (20) 00578
 2020 10 19

(
) (

6.4.4.2

6.4.5

- [2008]1
- 2008 9 18
- [2012]85
- 2019 78
- [2015]101
- [2016]36
- [2016]140
- [2017]56
- [2017]90
- 1) ()
- 3%
- 2)
- 3)
- 1 (1)
- 1 1 (1) 50%
- 1 20%
- 4) A 0.10% ()

6.4.6

6.4.6.1

	2021 6 30
--	-----------

	4,022,468.20
	-
1	-
1-3	-
3	-
	-
	4,022,468.20

6.4.6.2

		2021 6 30		
		179,799,001.59	178,994,862.42	-804,139.17
-		-	-	-
		120,939,479.11	121,330,694.80	391,215.69
		491,023,846.29	493,342,000.00	2,318,153.71
		611,963,325.40	614,672,694.80	2,709,369.40
		-	-	-
		-	-	-
		-	-	-
		791,762,326.99	793,667,557.22	1,905,230.23

6.4.6.3

/

/

6.4.6.4**6.4.6.4.1**

:

		2021 6 30	
		23,000,000.00	-
		-	-
		23,000,000.00	-

6.4.6.4.2

6.4.6.5

	2021 6 30
	1,025.52
	-
	-
	2,314.30
	8,338,049.99
	-
	4,536.98
	-
	-
	-
	133.90
	8,346,060.69

6.4.6.6**6.4.6.7**

	2021 6 30
	444,908.59
	1,100.00
	446,008.59

6.4.6.8

	2021 6 30
	-
	-
	-
	9,000.00
	24,795.19
	59,507.37
	93,302.56

6.4.6.9

A		
	2021 1 1	2021 6 30
	746,688,320.80	746,688,320.80
	102,911,736.00	102,911,736.00
("-")	-40,273,860.74	-40,273,860.74
- /	-	-
/	-	-
	-	-
("-")	-	-
	809,326,196.06	809,326,196.06

C		
	2021 1 1	2021 6 30
	804,201.67	804,201.67
	19,082,148.65	19,082,148.65
("-")	-456,473.22	-456,473.22
- /	-	-
/	-	-
	-	-
("-")	-	-
	19,429,877.10	19,429,877.10

6.4.6.10

A			
	6,939,878.25	8,701,173.04	15,641,051.29
	7,407,612.75	-4,356,749.64	3,050,863.11
	350,834.51	2,272,544.35	2,623,378.86
	1,080,785.69	2,770,362.47	3,851,148.16
	-729,951.18	-497,818.12	-1,227,769.30
	-13,644,618.03	-	-13,644,618.03
	1,053,707.48	6,616,967.75	7,670,675.23

C			
	6,837.49	9,367.93	16,205.42
	54,209.84	-513,533.17	-459,323.33
	238,816.31	663,140.03	901,956.34
	245,082.88	672,768.47	917,851.35
	-6,266.57	-9,628.44	-15,895.01
	-291,448.16	-	-291,448.16
	8,415.48	158,974.79	167,390.27

6.4.6.11

	2021 1 1	2021 6 30
		35,633.78
		-
		-
		26,215.53
		6,843.67
		68,692.98

6.4.6.12**6.4.6.12.1**

	2021 1 1	2021 6 30
		454,692,303.41
		452,053,417.71
		2,638,885.70

6.4.6.13**6.4.6.13.1**

	2021 1 1	2021 6 30
--	----------	-----------

---	-201,058.12
---	-
---	-
	-201,058.12

6.4.6.13.2 —

	2021 1 1	2021 6 30
		519,778,440.18
		512,981,898.12
		6,997,600.18
		-201,058.12

6.4.6.13.3 —**6.4.6.13.4** —**6.4.6.13.5****6.4.6.14****6.4.6.14.1****6.4.6.15****6.4.6.15.1** —**6.4.6.15.2** —**6.4.6.16**

	2021 1 1	2021 6 30
		1,589,444.67
		-
		-
		1,589,444.67

6.4.6.17

	2021 1 1	2021 6 30
1.		-4,870,282.81
---		-6,717,235.12
---		1,846,952.31
---		-
---		-
---		-
2.		-
---		-
3.		-
		-
		-4,870,282.81

6.4.6.18

	2021 1 1	2021 6 30
		58,452.74
		58,452.74

30

30

3

75%

3

6

50%

6

25%

6.4.6.19

	2021 1 1	2021 6 30
		1,435,253.29

	4,825.00
	-
	-
	-
	1,440,078.29

6.4.6.20

	2021 1 1	2021 6 30
		24,795.19
		59,507.37
		-
		21,000.00
		5,023.38
		110,325.94

6.4.7**6.4.7.1****6.4.7.2****6.4.8****6.4.8.1****6.4.8.2**

"	"
"	"
"	"

6.4.9**6.4.9.1****6.4.9.1.1**

	2021 1 1		2021 6 30	
	474,621,230.22		50.68%	
	2020 10 19			

6.4.9.1.2

	2021 1 1		2021 6 30	
	46,080,968.50		100.00%	
	2020 10 19			

6.4.9.1.3

	2021 1 1		2021 6 30	
	2,513,000,000.00		100.00%	
	2020 10 19			

6.4.9.1.4**6.4.9.1.5**

	2021 1 1		2021 6 30	
--	----------	--	-----------	--

	442,011.93	50.67%	-	-

1 2020 10 19

2

3

6.4.9.2**6.4.9.2.1**

	2021 1 1	2021 6 30
		3,425,802.10
		300,054.34

1 2020 10 19

2

× 0.80%

=

× 0.80% ÷

6.4.9.2.2

	2021 1 1	2021 6 30
		642,337.88

1 2020 10 19

2

× 0.15%

=

× 0.15% ÷

6.4.9.2.3

	2021 1 1			2021 6 30		
	A	C				
	-	31,971.73				31,971.73
	-	31,971.73				31,971.73

1 2020 10 19

2 A

C $\times 0.40\%$

C = C

$\times 0.40\% \div$

6.4.9.3 ()

()

6.4.9.4

6.4.9.4.1

6.4.9.4.2

6.4.9.5

6.4.9.5.1

6.4.9.5.2

6.4.9.6

	2021 1 1			2021 6 30		

				4,022,468.20				35,633.78
1		2020	10	19				
2		"						"
					2021	6		30

5,143,061.52

6.4.9.7**6.4.9.8****6.4.10**

A

				10				
1	2021 6 28	-	2021 6 28	0.170	6,877,743.79	6,766,874.24	13,644,618.03	
	-	-		0.170	6,877,743.79	6,766,874.24	13,644,618.03	

C

				10				
1	2021 6 28	-	2021 6 28	0.150	291,448.16	-	291,448.16	
	-	-		0.150	291,448.16	-	291,448.16	

6.4.11 2021 6 30**6.4.11.1 /**

6.4.11.1.1										
002372		2021 5 31	2021 12 1		21.19	19.35	700,000	14,833,000.00	13,545,000.00	-
300614		2021 5 18	2021 11 25		9.19	40.38	329	3,023.51	13,285.02	-
300926		2020 12 29	2021 7 7		10.76	22.20	359	3,862.84	7,969.80	-
300927		2020 12 29	2021 7 7		13.39	30.10	217	2,905.63	6,531.70	-
300929		2021 1 12	2021 7 20		13.87	29.55	183	2,538.21	5,407.65	-
300931		2021 1 13	2021 7 21		4.31	13.11	672	2,896.32	8,809.92	-
300933		2021 1 15	2021 7 22		3.37	10.44	1,112	3,747.44	11,609.28	-
300937		2021 1 20	2021 7 27		12.25	53.71	244	2,989.00	13,105.24	-
300940		2021 1 27	2021 8 3		12.76	43.38	307	3,917.32	13,317.66	-

300942		2021 2 2	2021 8 9		5.31	28.52	443	2,352.33	12,634.36-	
300943		2021 2 3	2021 8 10		9.79	23.01	255	2,496.45	5,867.55-	
300945		2021 2 3	2021 8 10		4.56	18.88	474	2,161.44	8,949.12-	
300948		2021 2 18	2021 8 25		8.67	27.42	324	2,808.00	8,884.08-	
300952		2021 3 3	2021 9 13		11.72	27.08	340	3,984.80	9,207.20-	
300955		2021 3 16	2021 9 24		16.53	46.07	232	3,834.96	10,688.24-	
300957		2021 3 18	2021 9 27		47.33	251.96	485	22,955.05	122,200.60-	
300960		2021 3 22	2021 9 29		12.08	23.06	234	2,826.72	5,396.04-	
300961		2021 3 23	2021 9 30		8.48	22.07	394	3,341.12	8,695.58-	
300962		2021 3 29	2021 10 11		3.40	16.37	576	1,958.40	9,429.12-	
300963		2021 3 30	2021 10 11		12.13	22.49	283	3,432.79	6,364.67-	

300966		2021 3 31	2021 10 11		8.24	31.54	286	2,356.64	9,020.44-	
300967		2021 4 2	2021 10 13		4.54	11.54	507	2,301.78	5,850.78-	
300968		2021 4 6	2021 10 15		6.87	12.07	1,162	7,982.94	14,025.34-	
300973		2021 4 8	2021 10 15		28.28	115.71	360	10,180.80	41,655.60-	
300975		2021 4 14	2021 10 21		5.48	13.03	492	2,696.16	6,410.76-	
300978		2021 4 15	2021 10 26		8.42	13.60	593	4,993.06	8,064.80-	
300979		2021 4 15	2021 10 26		33.22	87.50	842	27,971.24	73,675.00-	
300981		2021 4 19	2021 10 27		48.59	90.39	438	21,282.42	39,590.82-	
300982		2021 4 19	2021 10 27		15.83	45.29	317	5,018.11	14,356.93-	
300986		2021 4 21	2021 11 1		14.79	30.57	264	3,904.56	8,070.48-	
300987		2021 4 28	2021 11 11		6.79	26.81	301	2,043.79	8,069.81-	

300993		2021 5 17	2021 11 24		12.10	19.29	410	4,961.00	7,908.90-	
300995		2021 5 17	2021 11 26		14.72	23.32	191	2,811.52	4,454.12-	
300998		2021 5 25	2021 12 2		6.02	21.32	201	1,210.02	4,285.32-	
301007		2021 6 4	2021 12 16		5.29	14.44	303	1,602.87	4,375.32-	
301009		2021 6 8	2021 12 17		12.54	27.89	801	10,044.54	22,339.89-	
301011		2021 6 9	2021 12 17		14.20	33.84	175	2,485.00	5,922.00-	
301012		2021 6 15	2021 12 22		8.05	24.26	170	1,368.50	4,124.20-	
301015		2021 6 22	2021 12 30		7.64	38.83	462	3,529.68	17,939.46-	
301018		2021 6 28	2021 7 7		8.29	8.29	7,811	64,753.19	64,753.19-	
301020		2021 6 28	2021 7 6		10.64	10.64	4,210	44,794.40	44,794.40-	
301021		2021 6 28	2021 7 6		9.46	9.46	2,899	27,424.54	27,424.54-	

605287		2021 6 28	2021 7 6		31.56	31.56	349	11,014.44	11,014.44	-
688087		2021 6 30	2021 7 9		21.96	21.96	2,469	54,219.24	54,219.24	-
688226		2021 6 28	2022 1 7		6.42	6.42	2,693	17,289.06	17,289.06	-
688350		2021 1 21	2021 7 28		13.58	22.44	2,552	34,656.16	57,266.88	-
688619		2021 2 8	2021 8 23		19.31	26.74	5,856	113,079.36	156,589.44	-
688656		2021 1 6	2021 7 13		35.26	72.60	1,371	48,341.46	99,534.60	-
688660		2021 5 11	2021 11 19		5.44	7.26	45,739	248,820.16	332,065.14	-
688661		2021 3 19	2021 9 29		17.71	84.01	1,452	25,714.92	121,982.52	-
688680		2021 1 15	2021 7 22		69.94	243.61	2,795	195,482.30	680,889.95	-
688686		2020 12 24	2021 7 1		78.49	451.00	1,903	149,366.47	858,253.00	-

=(

- + ×) ÷ (1+ +)

6.4.11.1.2										
113050		2021 6 17	2021 7 1		100.00	100.00	2,410	241,000.00	241,000.00	-

6.4.11.2**6.4.11.3****6.4.11.3.1****6.4.11.3.2****6.4.11.4****6.4.12****6.4.12.1**

"

"

6.4.12.2

10%

10%

6.4.12.2.1

	2021 6 30	2020 12 31
A-1	0.00	0.00
A-1	0.00	0.00
	180,237,000.00	293,811,830.00
	180,237,000.00	293,811,830.00

6.4.12.2.2**6.4.12.2.3**

	2021 6 30	2020 12 31
A-1	0.00	0.00
A-1	0.00	0.00

	0.00	49,293,000.00
	0.00	49,293,000.00

AAA

6.4.12.2.4

	2021 6 30	2020 12 31
AAA	374,683,694.80	141,118,000.00
AAA	0.00	10,143,000.00
	59,752,000.00	20,138,000.00
	434,435,694.80	171,399,000.00

6.4.12.2.5**6.4.12.2.6****6.4.12.3****6.4.12.3.1**

6.4.12.4

6.4.12.4.1

6.4.12.4.1.1

2021 6 30	6	6 -1	1-5	5		
	4,022,468.20	-	-	-	-	4,022,468.20
	5,143,061.52	-	-	-	-	5,143,061.52
	297,515.94	-	-	-	-	297,515.94
	200,369,000.00	20,987,694.80	373,066,000.00	20,250,000.00	178,994,862.42	793,667,557.22
	23,000,000.00	-	-	-	-	23,000,000.00
	-	-	-	-	3,336,647.95	3,336,647.95
	-	-	-	-	8,346,060.69	8,346,060.69
	232,832,045.66	20,987,694.80	373,066,000.00	20,250,000.00	190,677,571.06	837,813,311.52
	-	-	-	-	555,454.27	555,454.27
	-	-	-	-	104,147.68	104,147.68
	-	-	-	-	6,546.59	6,546.59
	-	-	-	-	446,008.59	446,008.59
	-	-	-	-	13,713.17	13,713.17
	-	-	-	-	93,302.56	93,302.56
	-	-	-	-	1,219,172.86	1,219,172.86
	232,832,045.66	20,987,694.80	373,066,000.00	20,250,000.00	189,458,398.20	836,594,138.66
	6	6 -1	1-5	5		

2020 12 31						
	2,512,407.59	-	-	-	-	2,512,407.59
	5,936,690.13	-	-	-	-	5,936,690.13
	87,171.20	-	-	-	-	87,171.20
	349,429,030.00	74,008,800.00	91,066,000.00	-	147,863,575.92	662,367,405.92
	89,000,000.00	-	-	-	-	89,000,000.00
	-	-	-	-	42,377,629.00	42,377,629.00
	-	-	-	-	4,811,790.07	4,811,790.07
	446,965,298.92	74,008,800.00	91,066,000.00	-	195,052,994.99	807,093,093.91
	-	-	-	-	42,901,619.85	42,901,619.85
	-	-	-	-	448,331.04	448,331.04
	-	-	-	-	84,062.07	84,062.07
	-	-	-	-	280.02	280.02
	-	-	-	-	414,846.65	414,846.65
	-	-	-	-	19,175.10	19,175.10
	-	-	-	-	75,000.00	75,000.00
	-	-	-	-	43,943,314.73	43,943,314.73
	446,965,298.92	74,008,800.00	91,066,000.00	-	151,109,680.26	763,149,779.18

6.4.12.4.1.2

		2021 6 30	2020 12 31
27		2,913,176.25	791,942.45
27		-2,913,176.25	-791,942.45

6.4.12.4.2**6.4.12.4.3**

VaR(Value at Risk)

6 30

6.4.12.4.3.1

	2021 6 30		2020 12 31	
		%		%
-	178,994,862.42	21.40	147,863,575.92	19.38
	-	-	-	-
	614,672,694.80	73.47	514,503,830.00	67.42
	-	-	-	-
	-	-	-	-
	-	-	-	-
	793,667,557.22	94.87	662,367,405.92	86.79

6.4.12.4.3.2

		95%	
		2021 6 30	2020 12 31
VaR 31	1.17% VaR 0.79%)	-9,797,054.11	-6,056,679.74

VaR

2020

6.4.13

(1)

(a)

(b)

(i)

2021 6 30

162,335,293.22

614,651,189.67

16,681,074.33

(ii)

()

(iii)

412,476.96

16,268,597.37

16,681,074.33

(2)

§7

7.1

			%
1		178,994,862.42	21.36
		178,994,862.42	21.36
2		-	-
3		614,672,694.80	73.37
		614,672,694.80	73.37
		-	-
4		-	-
5		-	-
6		23,000,000.00	2.75
		-	-
7		9,165,529.72	1.09
8		11,980,224.58	1.43
9		837,813,311.52	100.00

7.2

7.2.1

			(%)
A		5,850.78	0.00
B		16,521,000.00	1.97
C		122,178,658.01	14.60
D		4,662.00	0.00
E		25,371.37	0.00
F		10,577,204.58	1.26
G		29,306,390.00	3.50
H		-	-
I		192,663.65	0.02
J		97,319.40	0.01
K		-	-

L		49,470.30	0.01
M		-	-
N		36,272.33	0.00
O		-	-
P		-	-
Q		-	-
R		-	-
S		-	-
		178,994,862.42	21.40

7.2.2

7.3

1	002415		500,000	32,250,000.00	3.85
2	000661		57,000	22,059,000.00	2.64
3	603128		1,500,000	21,135,000.00	2.53
4	600519		10,000	20,567,000.00	2.46
5	600660		359,937	20,102,481.45	2.40
6	002372		700,000	13,545,000.00	1.62
7	601225		900,000	10,665,000.00	1.27
8	002311		110,000	8,976,000.00	1.07
9	002352		120,700	8,171,390.00	0.98
10	002727		210,000	6,953,100.00	0.83
11	601088		300,000	5,856,000.00	0.70
12	603233		70,000	3,577,700.00	0.43
13	002014		119,942	1,037,498.30	0.12
14	688686		1,903	858,253.00	0.10
15	688680		2,795	680,889.95	0.08
16	688660		45,739	332,065.14	0.04
17	688161		3,069	325,467.45	0.04
18	688619		5,856	156,589.44	0.02
19	688625		2,672	142,764.96	0.02
20	688216		2,000	133,400.00	0.02
21	300957		485	122,200.60	0.01
22	688661		1,452	121,982.52	0.01

23	688656		1,371	99,534.60	0.01
24	300979		842	73,675.00	0.01
25	301018		7,811	64,753.19	0.01
26	601665		6,731	62,598.30	0.01
27	603529		1,019	59,224.28	0.01
28	688350		2,552	57,266.88	0.01
29	688087		2,469	54,219.24	0.01
30	601156		2,090	49,470.30	0.01
31	688618		1,117	47,718.24	0.01
32	301020		4,210	44,794.40	0.01
33	300894		628	42,704.00	0.01
34	300973		360	41,655.60	0.00
35	300981		438	39,590.82	0.00
36	601528		2,230	34,721.10	0.00
37	301021		2,899	27,424.54	0.00
38	001207		682	22,581.02	0.00
39	301009		801	22,339.89	0.00
40	603511		553	18,249.00	0.00
41	301015		462	17,939.46	0.00
42	688226		2,693	17,289.06	0.00
43	300925		600	15,774.00	0.00
44	300982		317	14,356.93	0.00
45	300968		1,162	14,025.34	0.00
46	001208		1,754	13,558.42	0.00
47	300940		307	13,317.66	0.00
48	300614		329	13,285.02	0.00
49	300937		244	13,105.24	0.00
50	300942		443	12,634.36	0.00
51	603171		637	12,230.40	0.00
52	300933		1,112	11,609.28	0.00
53	605287		349	11,014.44	0.00
54	300955		232	10,688.24	0.00
55	300962		576	9,429.12	0.00
56	300952		340	9,207.20	0.00
57	300966		286	9,020.44	0.00
58	300945		474	8,949.12	0.00
59	300948		324	8,884.08	0.00
60	300931		672	8,809.92	0.00
61	300961		394	8,695.58	0.00

62	300986		264	8,070.48	0.00
63	300987		301	8,069.81	0.00
64	300978		593	8,064.80	0.00
65	300926		359	7,969.80	0.00
66	300993		410	7,908.90	0.00
67	300927		217	6,531.70	0.00
68	300975		492	6,410.76	0.00
69	300963		283	6,364.67	0.00
70	301011		175	5,922.00	0.00
71	300943		255	5,867.55	0.00
72	300967		507	5,850.78	0.00
73	300929		183	5,407.65	0.00
74	300960		234	5,396.04	0.00
75	605011		525	4,662.00	0.00
76	300995		191	4,454.12	0.00
77	301007		303	4,375.32	0.00
78	300998		201	4,285.32	0.00
79	301012		170	4,124.20	0.00

7.4

7.4.1

2% 20

1	600519		53,995,217.54	7.08
2	002372		47,829,485.28	6.27
3	002352		34,548,679.18	4.53
4	601225		32,980,134.00	4.32
5	002415		31,457,967.50	4.12
6	000661		25,350,426.54	3.32
7	603128		23,268,242.64	3.05
8	601021		19,605,823.00	2.57
9	600309		18,995,095.00	2.49
10	600660		18,133,722.30	2.38
11	000333		16,570,571.58	2.17
12	000651		15,472,192.98	2.03
13	603233		14,078,215.71	1.84

14	601088		13,925,378.34	1.82
15	688008		11,917,226.21	1.56
16	603816		11,868,977.00	1.56
17	300383		11,862,164.76	1.55
18	603833		11,793,089.00	1.55
19	000858		11,341,807.90	1.49
20	600486		10,459,140.98	1.37

" "

7.4.2

2% 20

1	002372		45,814,290.00	6.00
2	601021		35,120,252.10	4.60
3	002352		33,863,379.34	4.44
4	600309		33,044,635.34	4.33
5	600519		31,186,294.17	4.09
6	000333		27,286,068.00	3.58
7	000858		22,772,750.86	2.98
8	601225		21,952,517.00	2.88
9	002415		20,990,641.00	2.75
10	000651		20,953,529.00	2.75
11	600486		20,687,931.00	2.71
12	000725	A	18,225,952.00	2.39
13	002600		12,743,127.47	1.67
14	603833		11,850,774.00	1.55
15	603816		11,595,471.00	1.52
16	300383		11,021,966.26	1.44
17	688008		8,528,629.51	1.12
18	601088		7,820,841.00	1.02
19	000002	A	7,665,010.00	1.00
20	603005		6,785,903.96	0.89

" "

7.4.3

	489,901,939.33
	454,692,303.41

" " " "

7.5

1		69,377,000.00	8.29
2		-	-
3		302,449,000.00	36.15
		60,374,000.00	7.22
4		70,280,000.00	8.40
5		110,238,000.00	13.18
6		61,309,000.00	7.33
7		1,019,694.80	0.12
8		-	-
9		-	-
10		614,672,694.80	73.47

7.6

1	2128003	21	600,000	60,372,000.00	7.22	
2	2028054	20	500,000	50,595,000.00	6.05	
3	2028046	20	01	500,000	50,555,000.00	6.04
4	2128013	21	500,000	50,310,000.00	6.01	
5	012100793	21	SCP002	400,000	40,076,000.00	4.79

7.7**7.8**

7.9

7.10

7.10.1

7.10.2

7.11

7.11.1

7.11.2

7.11.3

7.12

7.12.1

2020 7 13

: 2020 32

3920

2021 5 17

2021 16

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7170

2021 5 17

2021 19

9830

7.12.2**7.12.3**

1		297,515.94
2		3,336,647.95
3		-
4		8,346,060.69
5		-
6		-
7		-
8		-
9		11,980,224.58

7.12.4**7.12.5**

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				%	
1	002372		13,545,000.00	1.62	

7.12.6

§8

8.1

	()					
A	3,734	216,745.10	586,555,840.34	72.47%	222,770,355.72	27.53%
C	6	3,238,312.85	19,082,148.65	98.21%	347,728.45	1.79%
	3,740	221,592.53	605,637,988.99	73.08%	223,118,084.17	26.92%

8.2

	A	0.00	0.00%
	C	0.00	0.00%
		0.00	0.00%

8.3

	A	0
	C	0
		0
	A	0
	C	0
		0

§9

	A	C
2020年10月19日	219,301,658.39	101,002.02
	746,688,320.80	804,201.67
	102,911,736.00	19,082,148.65
:	40,273,860.74	456,473.22
"_"	-	-
	809,326,196.06	19,429,877.10

§10

10.1

10.2

10.2.1

2021 1 25

2021 4 28

10.2.2

10.3

10.4

10.5

10.6

10.7

10.7.1

	2	474,621,230.22	50.68%	442,011.93	50.67%	-
	1	461,844,803.27	49.32%	430,334.29	49.33%	-
	1	-	-	-	-	-

	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-

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	4		22
3	2020 4		2021 1 22
4			2021 1 26
5			2021 3 26
6		2020	2021 3 30
7	2020		2021 3 30
8			2021 3 31
9		2021	2021 4 21
10	2021		2021 4 21
11			2021 4 30
12			2021 6 22
13			2021 6 24
14			2021 6 24
15			2021 6 25

§11

11.1

20%

		20%						
	1	2021 1 2021 30	1 6	179,032,225.98	3,014,309.04	0.00	182,046,535.02	21.97%
	-	-		-	-	-	-	-
1								
2								
3								

11.2

§12

12.1

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12.2

12.3

2021 8 31