

2021 3

2021 9 30

2021 10 27

## § 1

2021 10 25

2021 7 1 2021 9 30

## § 2

	519937
	2020 1 23
	178,243,260.34
	*20%+ *70%+ 300 *10%
	A C
	CXXRA -
	519937 008918
	171,258,390.99 6,984,869.35

## §3

### 3.1

	2021 7 1	2021 9 30
	A	C
1	4,421,436.74	164,575.46
2	4,994,907.32	173,893.54
3	0.0273	0.0249
4	228,879,301.72	9,238,023.56
5	1.3365	1.3226

1

2

### 3.2

#### 3.2.1

A

	2.08%	0.45%	-2.29%	0.36%	4.37%	0.09%
	4.28%	0.41%	-1.09%	0.32%	5.37%	0.09%
	9.48%	0.45%	3.59%	0.34%	5.89%	0.11%
	16.88%	0.48%	3.59%	0.38%	13.29%	0.10%

C

	1.92%	0.44%	-2.29%	0.36%	4.21%	0.08%
	3.95%	0.41%	-1.09%	0.32%	5.04%	0.09%
	8.80%	0.45%	3.59%	0.34%	5.21%	0.11%
	15.66%	0.48%	3.59%	0.38%	12.07%	0.10%

### 3.2.2



1 2020 1 23 2021 9 30

2 6

## §4

## 4.1

					2017 5
		2020 1	-	6	
	6	23			
					6

1

2

## 4.2

### 4.3

#### 4.3.1

#### 4.3.2

5%

### 4.4

0.64%

5.62%

6.69%

7

10

20BP

2.88%

2.8-2.9%

taper

#### 4.5

2021	9	30	A	1.3365	1.3365
			A	2.08%	C
					1.3226
				C	1.92%
					1.3226
					-2.29%

#### 4.6

### §5

#### 5.1

			%
1		70,919,750.93	29.70
		70,919,750.93	29.70
2		-	-
3		158,699,934.90	66.47
		158,699,934.90	66.47
		-	-
4		-	-
5		-	-
6		-	-

		-	-
7		6,071,392.50	2.54
8		3,077,207.09	1.29
9		238,768,285.42	100.00

## 5.2

### 5.2.1

			(%)
A		12,350.52	0.01
B		2,979,436.00	1.25
C		41,660,539.17	17.50
D		585,101.00	0.25
E		20,183.39	0.01
F		18,400.20	0.01
G		1,266,237.00	0.53
H		-	-
I		2,613,195.64	1.10
J		11,979,749.00	5.03
K		-	-
L		1,990,300.00	0.84
M		6,839,227.20	2.87
N		48,971.81	0.02
O		-	-
P		-	-
Q		906,060.00	0.38
R		-	-
S		-	-
		70,919,750.93	29.78

### 5.2.2

### 5.3

#### 5.3.1

1	600030		149,800	3,786,944.00	1.59
2	603259		24,500	3,743,600.00	1.57
3	601677		88,000	3,040,400.00	1.28
4	603806		23,300	2,954,440.00	1.24
5	601965		185,900	2,909,335.00	1.22
6	600588		73,000	2,418,490.00	1.02
7	600171		66,800	2,390,104.00	1.00
8	300750		4,500	2,365,785.00	0.99
9	601877		41,000	2,320,600.00	0.97
10	600399		104,000	2,260,960.00	0.95

#### 5.3.2

### 5.4

1			78,124,854.90	32.81
2			-	-
3			-	-
			-	-
4			40,283,080.00	16.92
5			20,104,000.00	8.44
6			20,188,000.00	8.48
7			-	-
8			-	-
9			-	-
10			158,699,934.90	66.65

### 5.5

1	019649	21 01	640,000	64,051,200.00	26.90
2	102000110	20	200,000	20,188,000.00	8.48

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		MTN001			
3	122723	12 05	200,000	20,178,000.00	8.47
4	042100078	21 CP001	200,000	20,104,000.00	8.44
5	149379	21 D1	200,000	20,024,000.00	8.41

## 5.6

## 5.7

## 5.8

## 5.9

### 5.9.1

### 5.9.2

## 5.10

### 5.10.1

### 5.10.2

### 5.10.3

## 5.11

### 5.11.1

(2021)5

2012 30

92

2013

105

137

172

2017

**5.11.2****5.11.3**

1		215,329.13
2		5,294.32
3		-
4		2,856,233.91
5		349.73

6		-
7		-
8		3,077,207.09

**5.11.4****5.11.5****5.11.6****§6**

	A	C
	207,102,495.72	6,984,570.52
	117,785.22	298.83
:	35,961,889.95	-
"_"	-	-
	171,258,390.99	6,984,869.35

**§7****7.1****7.2**

**§8**

**8.1**

**20%**

20%

**8.2**

**§9**

**9.1**

1

2

3

4

5

6

**9.2**

**9.3**

2021 10 27