

2017

1

2015 5 28

2015 1034

2015 9 2

2017 3 2
2016 12 31

.....	1
.....	2
.....	6
.....	17
.....	24
.....	32
.....	33
.....	34
.....	35
.....	44
.....	50
.....	54
.....	56
.....	57
.....	62
.....	65
.....	67
.....	68
.....	74
.....	77
.....	79
.....	105
.....	121
.....	124
.....	127
.....	128

28

29

3

30

31

32

5

15

33

34 T

35 $T+n$ T n (T) n

36

37

38

41

42

43

44

45

(

)

20%

46

47

48

49

50

51

52

53

			/
			EMBA
			EMBA 2002

1

2 2017 3 22

2007 6

2011 12

2016

			2001
			<p>CIM</p> <p>LOF</p> <p>Investors Group Financial Services Co. Ltd 2002</p>

4

			EMBA
		<p>2016 12</p> <p>13</p>	<p>2004 9</p>

			LOF
		2017 1 25	

5

			LOF
			100
		(LOF)	
	FOF		LOF

1					
2	2017	3	8		
3	2017	3	18		
4	2017	3	29		
5	2017	4	14		LOF
6					

1

2

3

4

5

6

7

8

9

10

11

12

1

2

1

2

3

4

5

6

7

8

3

1

2

3

4

1

1

2

3

4

2

1

2

3

4

5

6

7

8

9

10

11

3

1

2

3

4

5

4

5

1

2

3

4

5

6

7

8

6

1

2

3

4

5

6

7

7

1

2

1

2

2

1996 2 7

2004 101

28,365,585,227

010-58560666

2000 12 19 A 600016

2003 3 18 40

2004 11 8

58

2005 10 26

2009 11 26

2009 6 2009

2009

2009 9

2009

2009

2009 11 21 21

2009

2009 12 9 , 2009

2009 2009

2009

2010 2 3 2009

2009

2010 10 2009

2011 12 CFCA 40

2011 2011

2009 2010

2012	6	20	,	
2011-2012			2012	
		2010		
2012	11	29	The Asset	2012 AAA
			-	
2013	16	F@S		21
2013	PE/VC			PE/VC
2013				
		21		2013
2013				2013

2015				2015
2015		EUROMONEY		2015
2015				2015
2015			2014-2015	
2				
3			2004 7 9	
	36	100%		68
		100%		
				80%
				2016
12	31		147	
	2946.99		2007	

2010

21

()

1

2

3

(1)

(2)

(3)

(4)

(5)

4

(1)

(2)

(3)

(4)

(5)

(6)

5

(1)

(2)

(3)

(4)

(5)

(6)

()

1	
68 9	
68 9	
021-61009916	021-61009917
400-700-5566	www.cxfund.com.cn
2	
1	
7088	
7088	
0755-83198888	0755-83195109

400

8	
8	
027-65799999	027-85481900
95579 4008-888-999	www.95579.com
6	
115 20	
115 18	
0755-23838751	0755-25831754
95358	www.firstcapital.com.cn
7	
5	
5	
0512-62938521	0512-65588021
400 860 1555	www.dwzq.com.cn
8	
1508	
1508	
021-22169999	021-22169134
95525	www.ebscn.com
9	
3 9 10	
3 9 10	
010-84183389	010-84183311-3389
400-818-8118	www.guodu.com
10	
95	
95	
028-86690057 028-86690058	028-86690126
95310	www.gjzq.com.cn
11	
618	

168		29	
95521		021-38670666	
4008888666		www.gtja.com	
12			
100		57	
100		57	
021-68777222		021-68777822	
400-820-9898		www.cnhbstock.com	
13			
638		21	
638			
0931-4890208		0931-4890628	
4006898888		www.hlzqgs.com.cn	
14			
		8	
		8 518048	
0755-22626391		0755-82400862	
95511 8		www.stock.pingan.com	
15			
86			
86			
0531-68889155		0531-68889752	
95538		www qlzq.com.cn	
16			
336			
336			
021-53519888		021-53519888	
4008918918 021-962518		www.962518.com	
17			
:		2	
		2	

027-87618882	027-87618863
028-86711410	www.tfzq.com
18	

19

010-63081000	010-63080978
95321	www.cindasc.com
24	
8	
8	
023-63786633	023-63786212
4008096096	www.swsc.com.cn
25	
7650 205	
68 8 801	
021-38602377	021-38509777
400-821-5399	www.noah-fund.com
26	
685 37 4 449	
1118 903-906	
021-58870011	021-68596916
4007009665	www.ehowbuy.com
27	
22 10	
22 10	
021-68419822	021-20835779
400-920-0022	www.licaik.com
28	
190 2 2	
195 30 7	
021-54509998	021-64385308
400-1818-188	fund.eastmoney.com
29	
1 903	
7 2 2	
0571-88911818	0571-86800423

4008-773-772	www.5ifund.com
30	
100	19
100	19
021-33323999-5611	021-33323830
4008202819	www.chinapnr.com
31	
1333	14 09
1333	14
021-20665952	021-22066653
4008219031	www.lufunds.com
32	
359	B 4 A506
1386	15
021-22267943	021-22268089
400-928-2266	www.dtfortune.com

36				
	5475	1033		
	91	61	10	12

	010-59378907	021-51150398	021-62881889

				2015	5	28		2015
1034								
		2015	8	17	2015	8	28	
								1.00
	516,038,428.69					6,470		

9 2 2015

20 200

5000

60

5

15

15

2015 4

15

2015 4

15 2016 4 14

2016 4 15 2016

5 6

2016 5 7 2017 5 6

1

2

2016 8 31

2016 9 2 2016 9 14

2016 9 15 2017 9 14

1

2

3

4

5

1

2

T 7 ()

3

(T)
T

T+1
T+2 ()

1

1

1

2

3

1

1

1

4

5

1

2

3

1

2

2

2

3

$$= \quad / \quad 1$$

$$= \quad -$$

$$= \quad /$$

1

5

1.052

$$=50000/(1+0\%)=50000$$

$$=50000-50000=0$$

$$47528.52 = 50000/1.052$$

4

/

2

10

0

1.25

125,000

0

$$125,000-0=125,000$$

125,000

5

÷

4

5

1

2

3

4

5

6

1 2 3 5 6

1

2

3

4

5

1

(

)

20%

2

1

2

20%

20

3

3

1

2

1

1

3

1

2

2

2

1

4

2

2

1

2

2

80%

5%

1

1

2

3

4

5

6

7

2

1

1

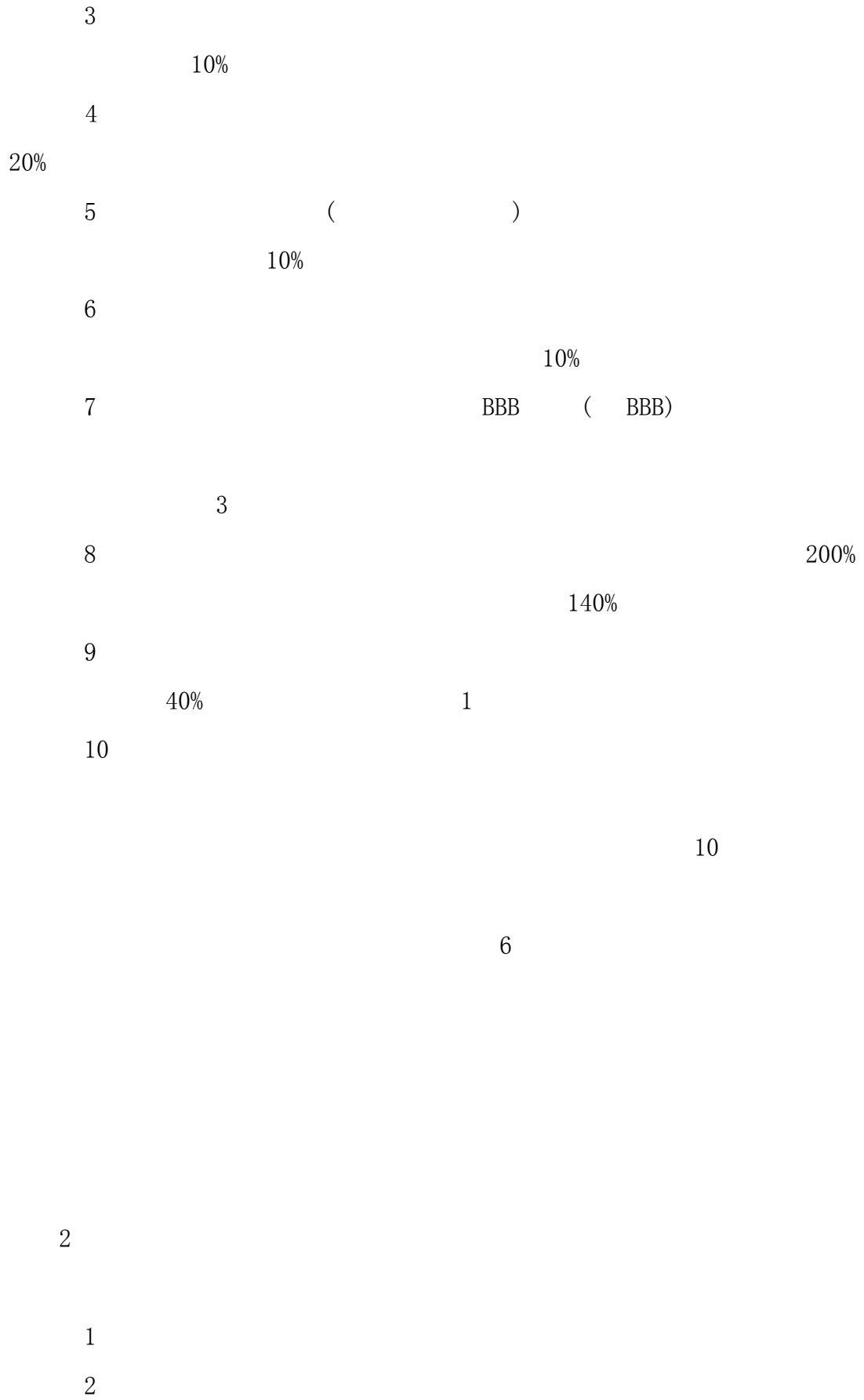
80%

5%

2

10%

10%

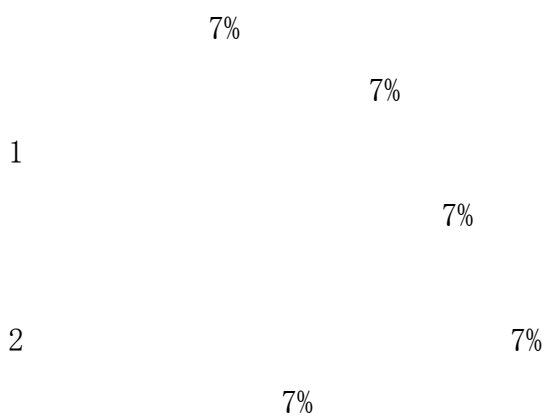


3

4

5

6



1

2

3

2017 3

2016 12 31

			%
1		-	-
		-	-
2		1,145,636,294.10	80.27
		1,145,636,294.10	80.27
		-	-
3		-	-
4		-	-
5		239,733,159.60	16.80
		-	-
6		14,819,673.03	1.04
7		26,954,522.06	1.89
8		1,427,143,648.79	

			%
1			
2			
3			
4		984,995,294.10	69.11
5		109,801,000.00	7.70
6		50,840,000.00	3.57
7		-	-
8		-	-
9		-	-
10		1,145,636,294.10	80.38

					%
1	1480126	14	800,000	85,024,000.00	5.97
2	1480589	14	600,000	63,780,000.00	4.48
3	1680113	16	600,000	60,246,000.00	4.23
4	041659021	16 CP001	600,000	60,036,000.00	4.21
5	1680263	16 02	600,000	59,904,000.00	4.20

1

2

3

1

2

3

1		4,688.38
2		-
3		-
4		26,949,833.68
5		-
6		-
7		-
8		-

9		26,954,522.06
---	--	---------------

4

5

6

2016 4

					-	-
2015 9 2 -2015 12 31	4.48%	0.12%	2.33%	0.02%	2.15%	0.10%
2016 1 1 2016 6 30	2.63%	0.06%	3.55%	0.02%	-0.92%	0.04%
2016 7 1 2016 9 30	1.69%	0.04%	1.78%	0.02%	-0.09%	0.02%
2016 10 1 2016 12 31	-2.10%	0.13%	1.78%	0.03%	-3.88%	0.10%

1

1

2

3

4

2

1

2

3

3

4

5

6

1

0.0001

2

4 (4)

1

2

1

2

3

4

3

1

2

3

4

4

1

2

0.25%

0.5%

3

1

2

3

1

5

2

1

2

3

4

5

6

7

8

9

10

1

0.7%

H

H

E

2

2

2

0.2%

H

H

E

2

2

3

0.4%

0.4%

H

H

E

2

4 10

1

2

3

4

1
1
100%
2
3
4
5

2

15

1

2

1 1 12 31

2

3

4

5

6

7

1

2

3

2

1
2
3
4
5
6

1

1

2

45

15

3

6

3

2

3

4

5

6

90

60

15

2

2

7

2

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

8

9

30

10

10

11

1

2

3

4

5

1 80%

2

3

1

1

2

3

4

5

6

7

2

3

1

2

3

4

5

1

2

1

2

6

3

4

1

30

2

3

4

1

2

3

4

5

6

7

5

6

5

15

1

1

2

3

4

5

6

7

8

9

2

1

2

3

4

5

6

7

8

9

1

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

2

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

15

17

18

19

20

21

22

23

24

30

25

26

27

1

1

2

3

4

5

6

7

2

1

2

3

4

5

6

,

7

8

9

10

11

15

12

13

14

15

16

17

18

19

20

21

22

1

1

2

3

4

5

6

7

8

9

10

11

10%

10%

12

13

2

1

2

3

4

5

6

7

8

1

2

3

10

60

4

2

3

1

1

2

50% 50%

2

1

2

2

3
4 3 50% 50%

5
3 1 2 2

3

4

5

1

10%

10%

35

30

1

2

10%

10%

6

30

30

2

1

90

1

1

2

3

4

,

2

5

2

1
1
2
3
4

100%

5

2

15

1

2

3

4

5

6

7

8

9

10

1

0.7%

H

H

E

2

2

2

0.2%

H

H

E

2

2

3

0.4%

0.4%

H

H

E

2

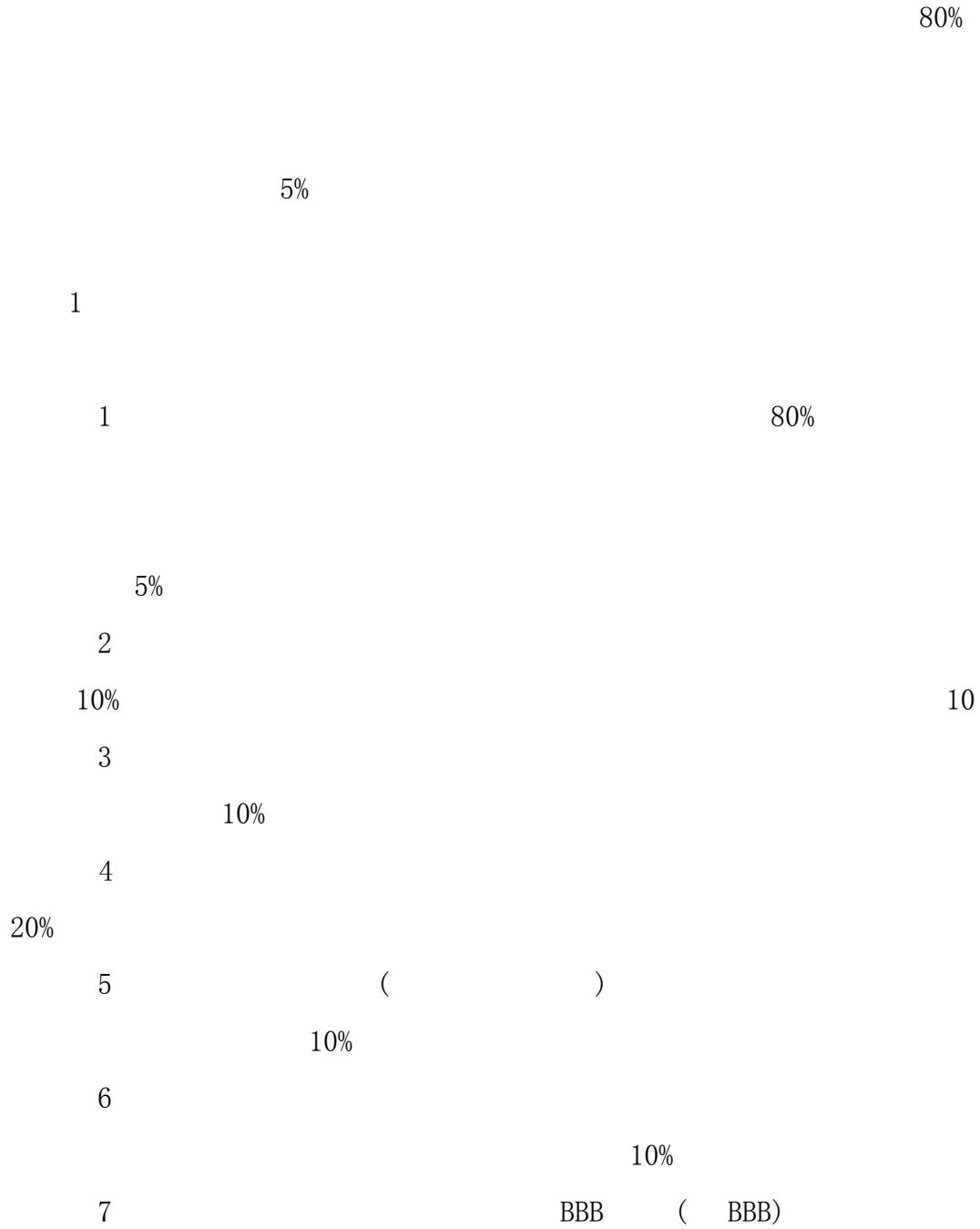
4 10

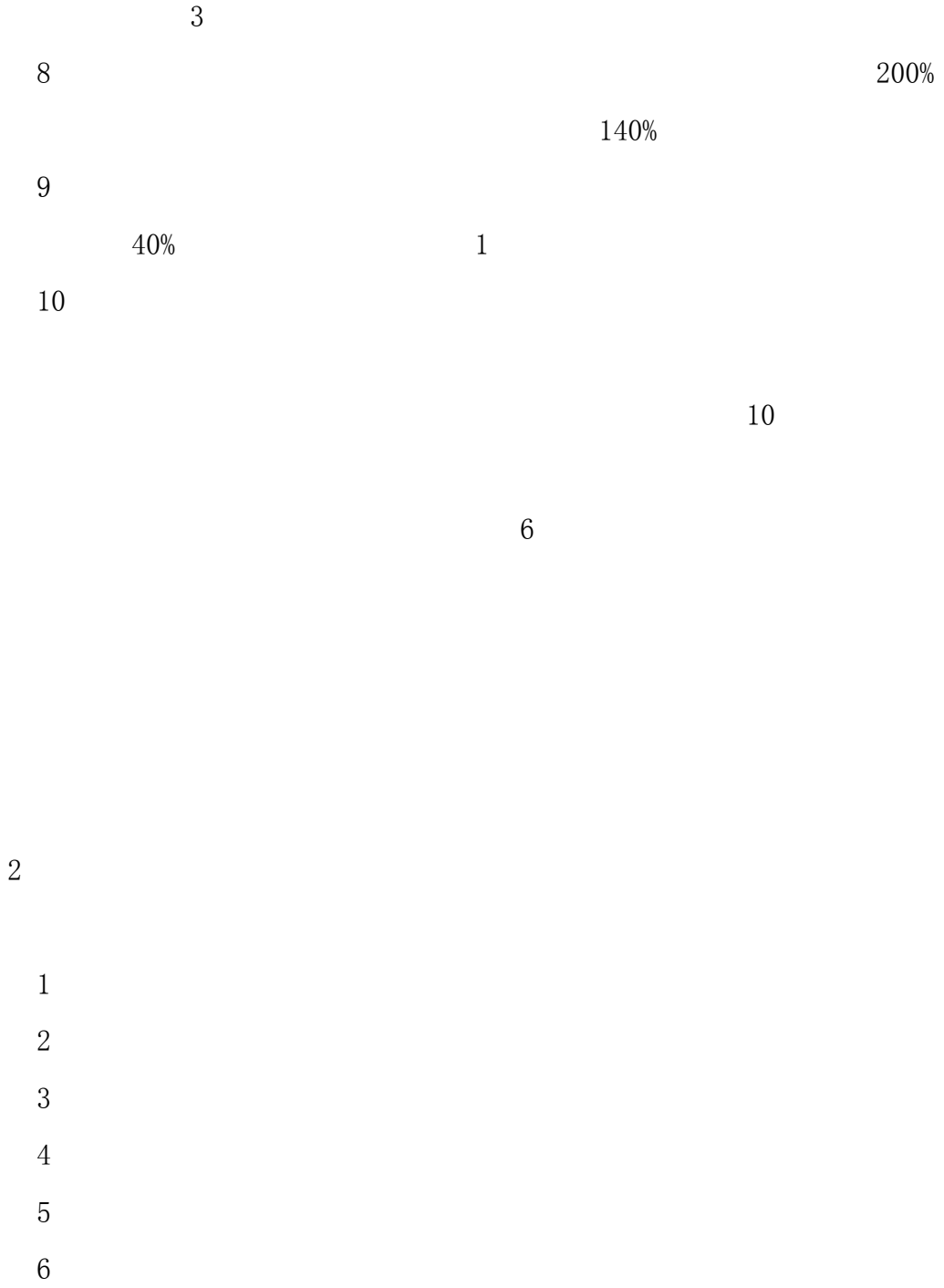
1

2

3

4





1

1

2

3

4

2

3

4

5

1

0.0001

2

4 (4)

1

2

1

2

3

4

3

1

2

3

4

4

1

2

0.25%

0.5%

3

1

2

3

1

5

2

1

2

1

2

6

3

4

1

30

2

3

4

1

2

3

4

5

6

7

5

6

5

15

68 9

68 9

200120

2003 5 9

[2003]63

1.5

2

2

100031

1996 2 7

[2004]101

28,365,585,227

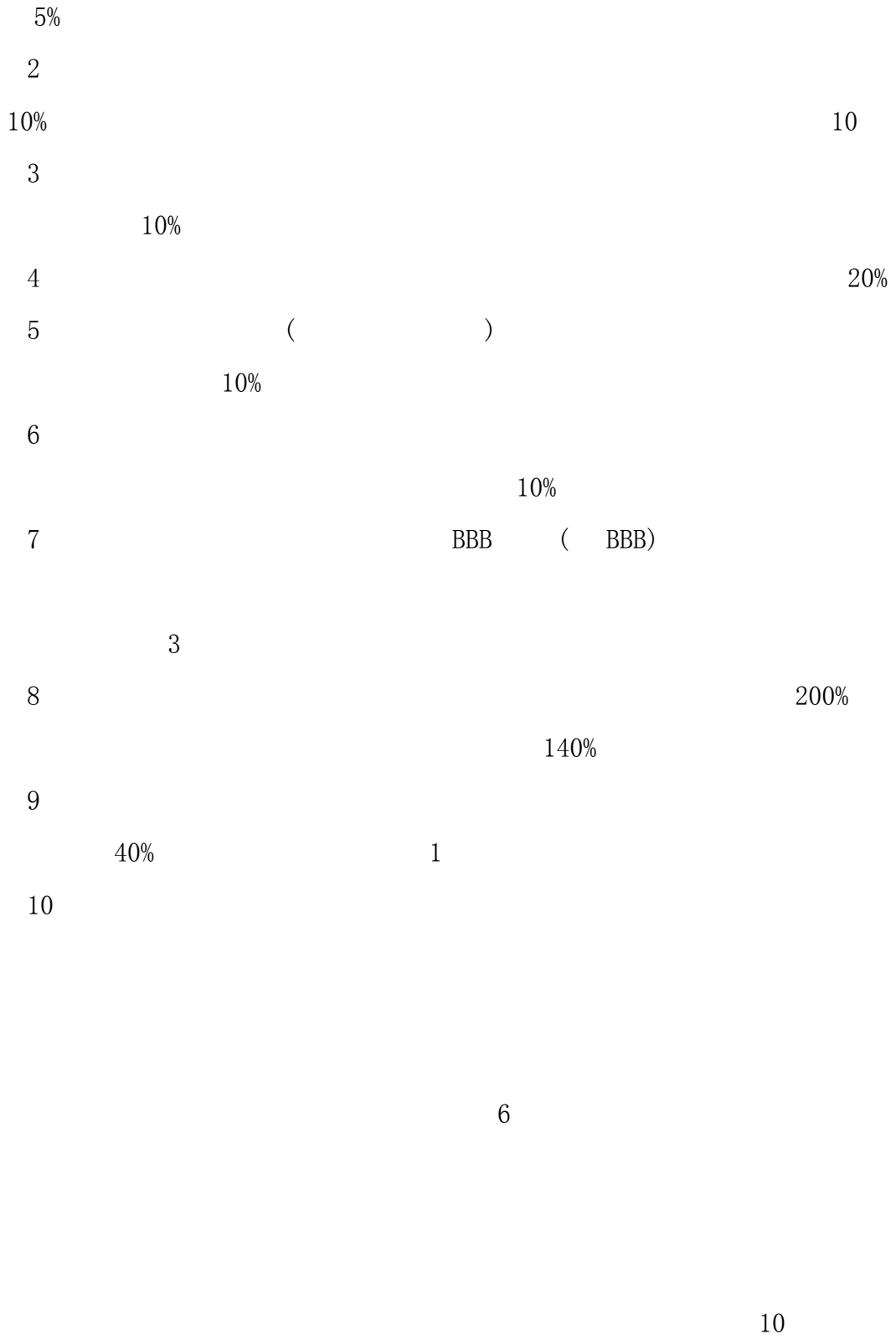
2017 2 18

80%

5%

1

80%



1

2

3

4

5

6

7

8

1

2

2 2

3

1

2

3

3

4

5

1

2

/

15

1

0.0001

2

3

1

2

1

A

B

C

D

2

3

4

5

3

5

1 ()

0.25%

0.5%

2

A

B

C

D

3

4

5

1

2

3

1

2

3

1

5

15

60

90

2

15

1

2

3

4

1

1

30

2

3

2

1

2

3

4

5

6

7

6

3

4

5

5

6

15

			T 2
			5
			/ /
			T+2 /
			1
			2

			www.cxfund.com.cn
			7 24 5 ,
			400-700-5566 www.cxfund.com.cn (service@cxfund.com.cn) (021-61009865)
			400-700-5566

			www.cxfund.com.cn
		4007005566	8 30-12 00 13
			00-17:00
		021-61009865	
		http://www.cxfund.com.cn	
		service@cxfund.com.cn	

1			2016/9/12
2			2016/9/20
3			2016/10/14
4	2016	2	2016/10/15
5			2016/10/19
6	2016	3	2016/10/24
7			2016/10/27
8			2016/10/27
9			2016/11/15
10			2016/11/22
11	2016		2016/11/23
12			2016/11/23

13			2016/11/24
14			2016/11/29
15			2016/12/1
16			2016/12/6
17			2016/12/6
18			2016/12/13
19			2016/12/17
20			2016/12/20
21			2016/12/21
22			2016/12/22
23			2016/12/27
24			2016/12/29

安 永 德

25

28			2017/1/13
29			2017/1/14
30			2017/1/17
31			2017/1/17
32	2016	4	2017/1/21
33			2017/1/25
34			2017/2/8
35			2017/2/23
2017 3 2			

